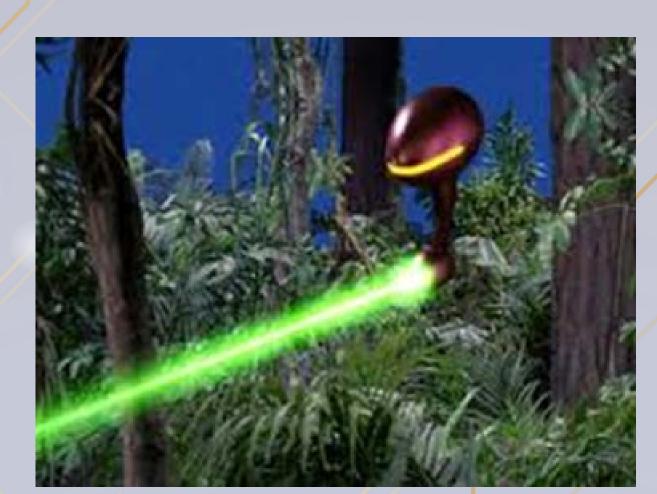
A Trustable Autonomous Systems Lifecycle

Howard Reubenstein, BAE Systems Technology Solutions

- · Autonomous unmanned systems (AUS) will operate in contested, complex environments.
- · Future fight will be urban, close, and vertical.
- Cross domain force protection, expedition, and situational awareness maneuvers with minimal C2 infrastructure.
- Warfighters require flexible AUS:
 - · Tunable rules of engagement and autonomous decision making.
- · Learn behaviors to increase in-mission adaptability.
- Good AUS performance builds warfighter confidence and reduces cognitive load.
 - · Autonomy reduces required bandwidth and security constraints.
 - · Autonomy provides a force multiplier operators of multiple AUS.

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Trustable? Obeys Rules of Engagement Implements Commander's Intent

- · Acquisitions lack methods to evaluate and certify AUS programs of record.
- How do you know a learning algorithm "works?"
- Manufacturers need to integrate multiple autonomous system modules. · How do you know collections of learning-based, autonomous modules do not cause unstable interactions?
- · Warfighters have to build trust with AUS in manned-unmanned teaming. · How much should a system adapt and learn, e.g. variable bounds on autonomy and engagement?
 - How should the commander/operator interact to encourage (or, discourage) the learning?

If an autonomous system does not have dynamic behavior guarantees, it will not be purchased, built, or used.

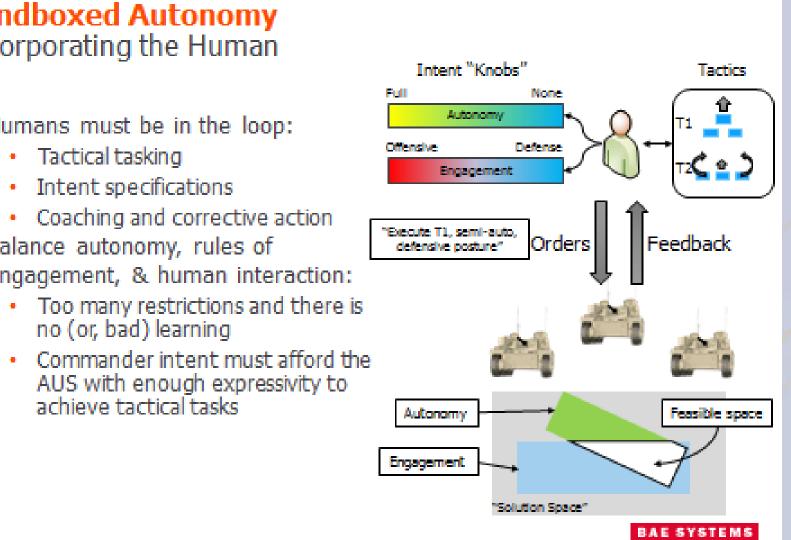
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Sandboxed Autonomy Notional Architecture Synthesized | Decision Making Knowledge Other AUS Built-In Decision Knowledge Intent Safety Human Commander Perception | Actuator Control Actuation Signals Environment (World and System) Learning must be confined to interior component logic in order to preserve system verification and validation arguments.

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Sandboxed Autonomy Incorporating the Human

- · Humans must be in the loop:
 - Tactical tasking Intent specifications
- · Coaching and corrective action Balance autonomy, rules of
- engagement, & human interaction:
- no (or, bad) learning · Commander intent must afford the
- AUS with enough expressivity to achieve tactical tasks



Software Intent Specification Provides a Behavioral Envelope for Target System

Black Knight and ARV Autonomous Vehicle Test Bed



- Refresh perception and navigation modules using sensor advancements.
- Introducing autonomy into the system hierarchy introduces complexity: Vehicle, Tactical and Operational perception and decision making: leveraging
- advances in learning, behavior composition, formal methods. Need an agile lifecycle process: concept — design — build — deploy.

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Autonomous System V&V Notional Approach

- · Validate base system (no learning has occurred).
- · Verify that learning algorithms can only update interior behavior of welldefined components.
- Learning will not rewrite the overall control logic of the system as a whole. This preserves the structure of the validation argument for the base
- · Learning cannot "rewire" the system to either add or remove I/O channels. · Safety envelope is preserved by runtime behavior verification provided
- by the: Intent Safety Guard. · Intent specification is a broad description of overall required system
- · Underspecifies behavior significantly, admitting a wide range of possible implementations – pre-coded and learned.

BAE SYSTEMS INSPIRED WORK

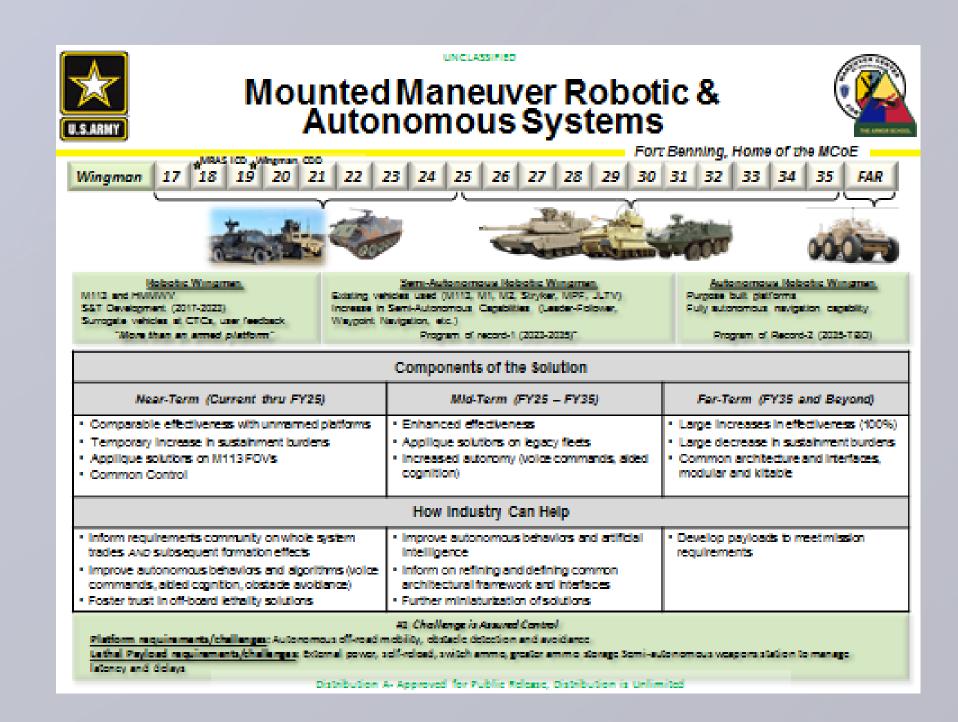
Intent Safety Guard Vehicle Maneuvers Example

- · Basic intent behaviors include:
 - · Do not exceed 80MPH land speed
 - Do not accelerate towards solid obstructions · Maintain safe following distance to vehicle ahead
- Do not pass on the right More complicated tactical maneuvers:
- Move from point A to point B avoiding exposed environments.
- Encircle red forces while avoiding blue force targeting.

The intent safety guard allows a wide range of behaviors but keeps the AUS in a safety envelope (potentially over-ridable)



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Army Maneuver Center of Excellence (MCOE) Major Alan Stephens



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