# Adversarial ML (Update) + Understanding Privacy Valuations

Lujo Bauer

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CMU lablet project w/ Matt Fredrikson, Mike Reiter (UNC)

### Not a lablet project; w/ Michelle Mazurek (UMD)

## Adversarial Machine Learning: Curiosity, Benefit, or Threat?

### Lujo Bauer

Collaborators: Mahmood Sharif, Sruti Bhagavatula, Mike Reiter (UNC)



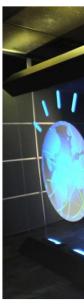




## Machine Learning Is Ubiquitous

- Cancer diagnosis
- Predicting weather
- Self-driving cars
- Surveillance and access-control



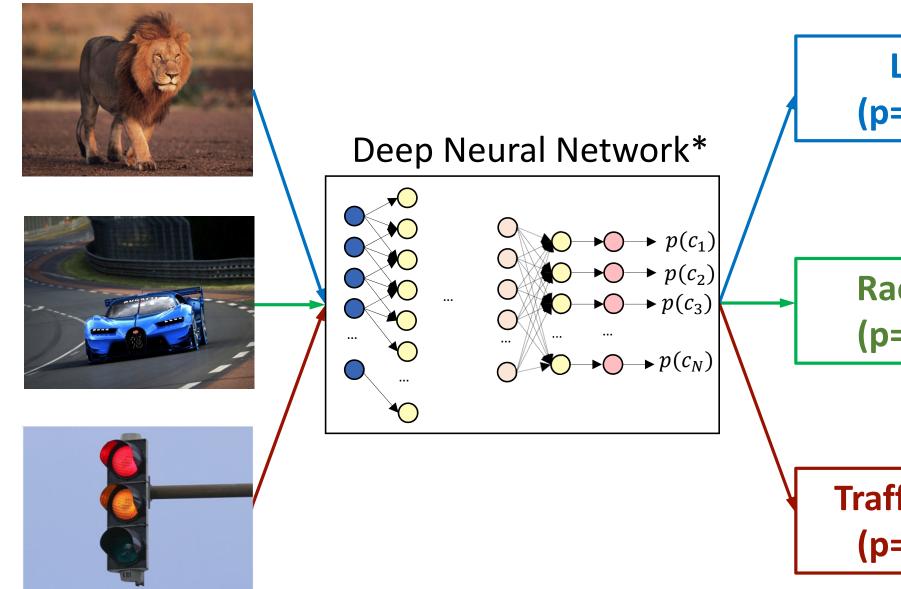








## What Do You See?



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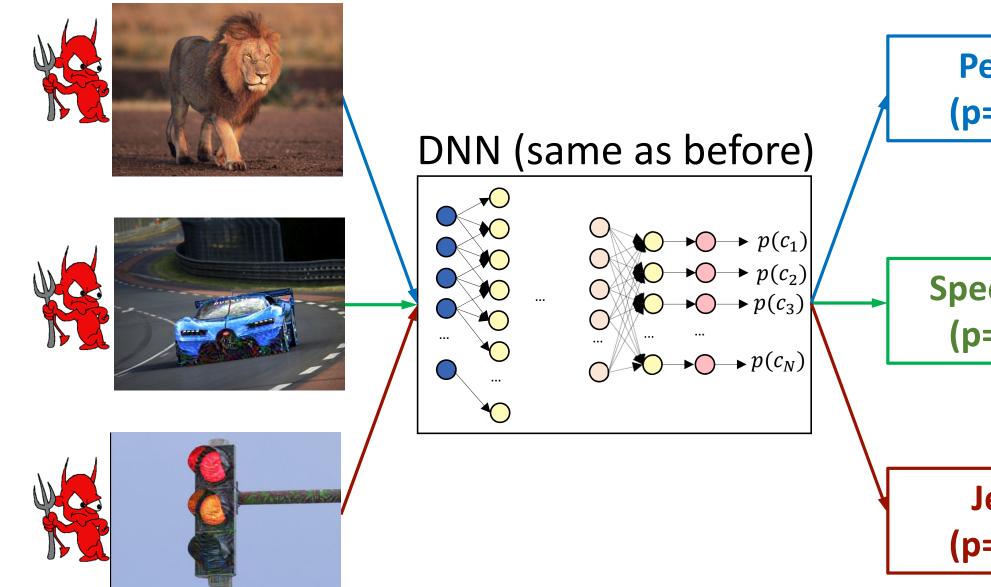
\*CNN-F, proposed by Chatfield et al., "Return of the Devil", BMVC '14

### Lion (p=0.99)

### Race car (p=0.74)

### Traffic light (p=0.99)

## What Do You See Now?



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\*The attacks generated following the method proposed by Szegedy et al.

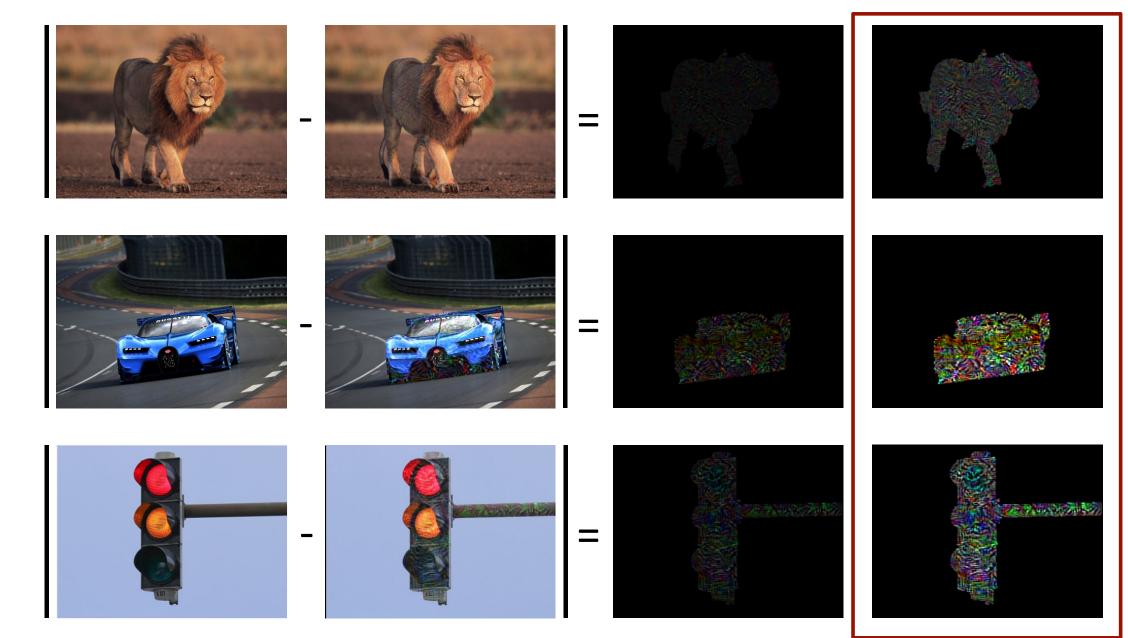
### Pelican (p=0.85)

### **Speedboat** (p=0.92)

### Jeans (p=0.89)

### The Difference

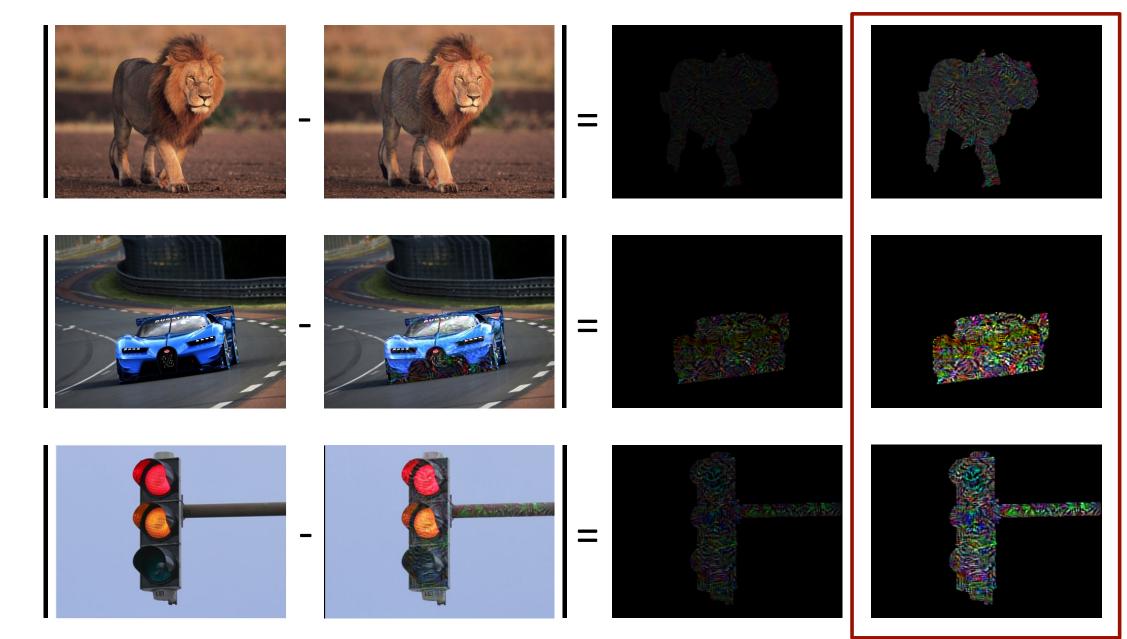
Amplify  $\times 3$ 



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## Is This an Attack?

Amplify  $\times 3$ 



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Privacy Institute

## Can an Attacker Fool ML Classifiers?

Fooling face recognition (e.g., for surveillance, access control)

- What is the attack scenario?
- Does scenario have constraints?
  - On how attacker can manipulate input?
  - On what the changed input can look like?

Defender / beholder doesn't notice attack (to be measured by user study)



[Sharif, Bhagavatula, Bauer, Reiter CCS'16,arXiv'17]

### Can change physical objects, in a limited way

### Can't control camera position, lighting

### Attempt #1

- 0. Start with Szegedy et al.'s attack
- 1. Restrict modification to eyeglasses
- 2. Smooth pixel transitions
- 3. Restrict to printable colors
- 4. Add robustness to pose

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### picuousness"

### ealizability

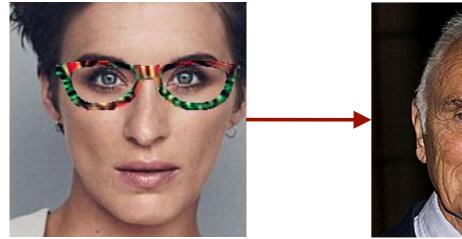
### Attempt #1

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- 0. Start with Szegedy et al.'s attack
- 1. Restrict modification to eyeglasses
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- 4. Add robustness to pose







**Terence Stamp** 

### 'Inconspicuousness"

### Physical realizability



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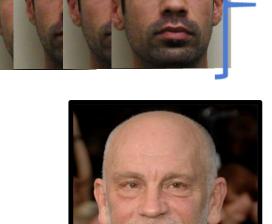
## Time to Test!

Procedure:

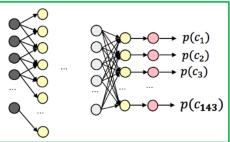
- 1. Collect images of attacker
- 2. Choose random target
- 3. Generate and print eyeglasses
- 4. Collect images of attacker wearing eyeglasses
- 5. Classify collected images

### Success metric: fraction of images misclassified as target











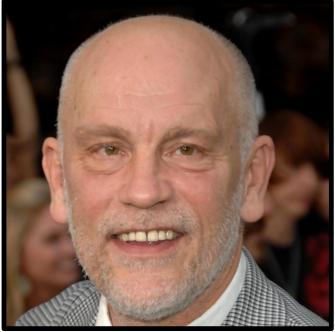
## Physically Realized Impersonation Attacks Work



Lujo



### John Malkovich



100% success

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## Physically Realized Impersonation Attacks Work

### Mahmood





### Carson Daly



100% success



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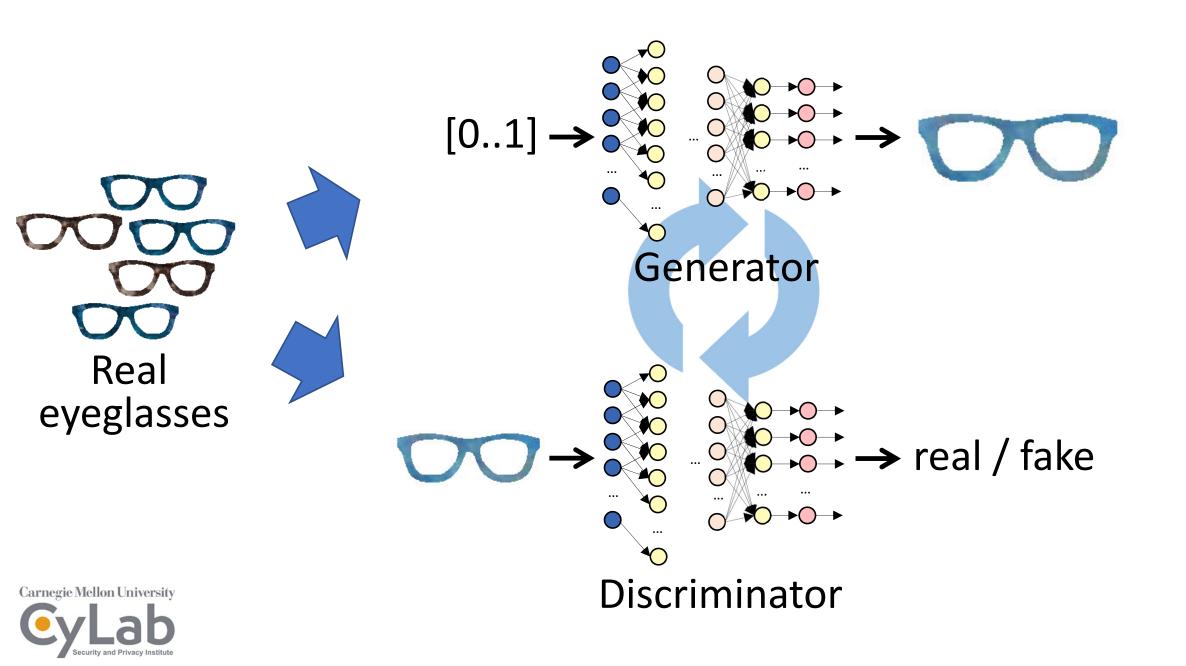
### Attempt #2

# **Goal:** Capture hard-to-formalize constraints, i.e., "inconspicuousness"

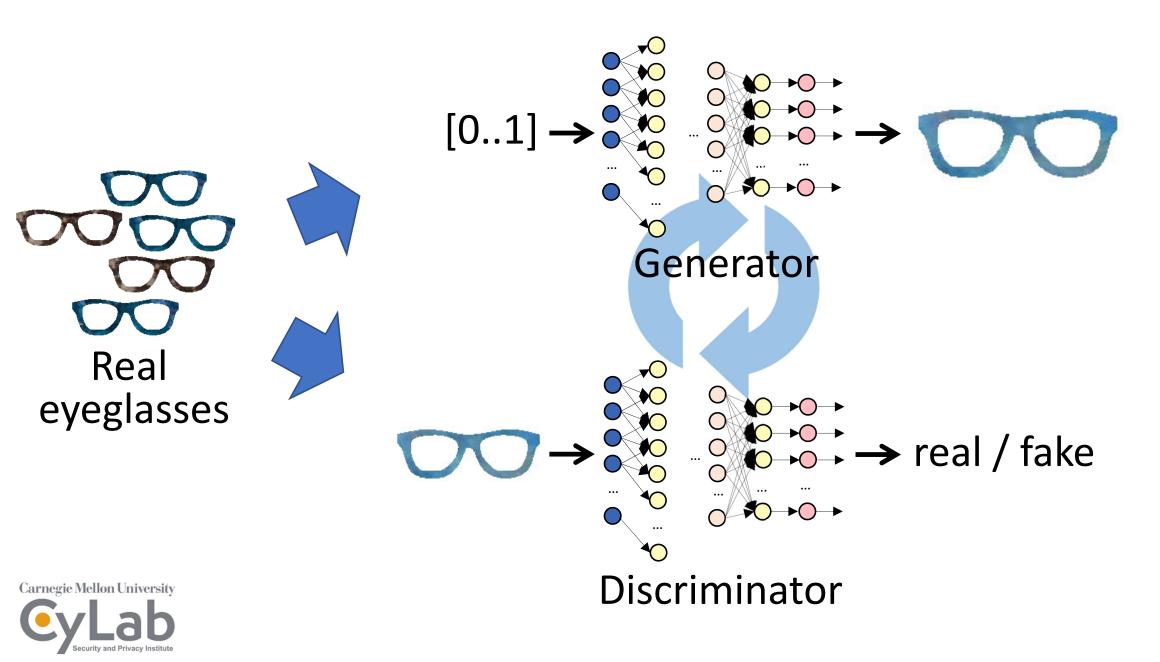
### Approach: Encode constraints using a neural network



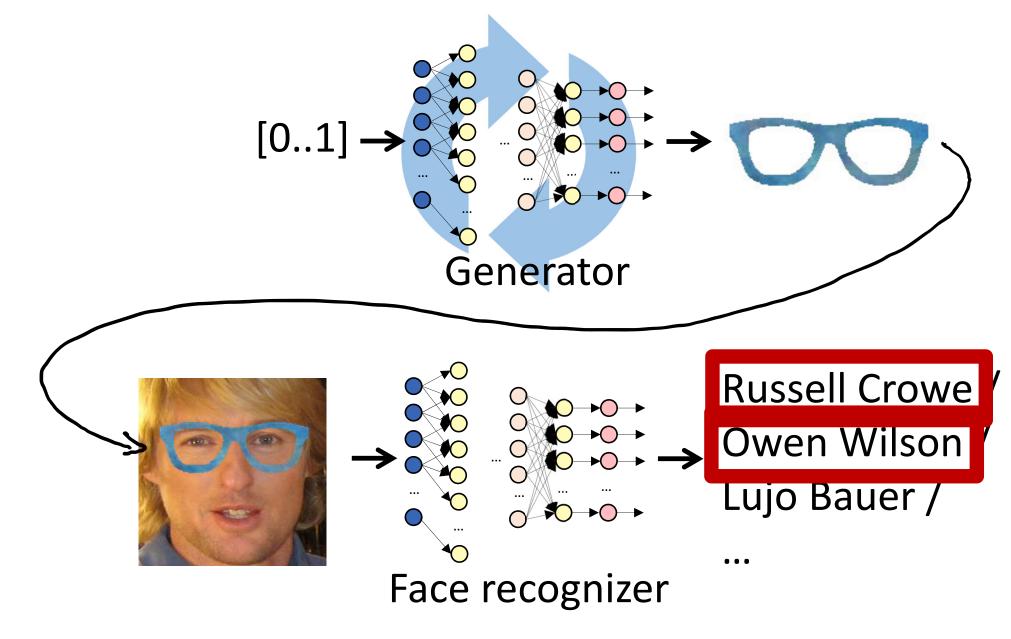
### Step #1: Generate Realistic Eyeglasses



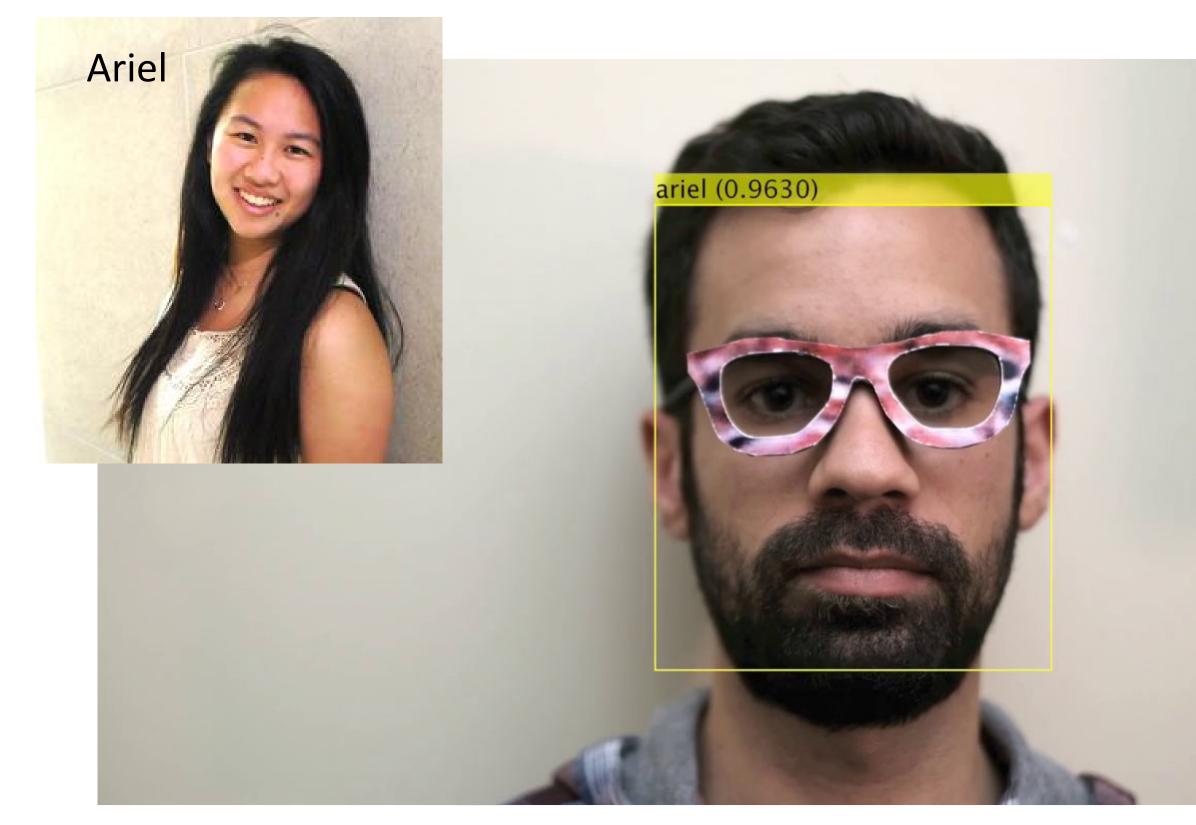
## Step #2: Generate Realistic, Eyeglasses Adversarial



## Step #2: Generate Realistic, Eyeglasses Adversarial



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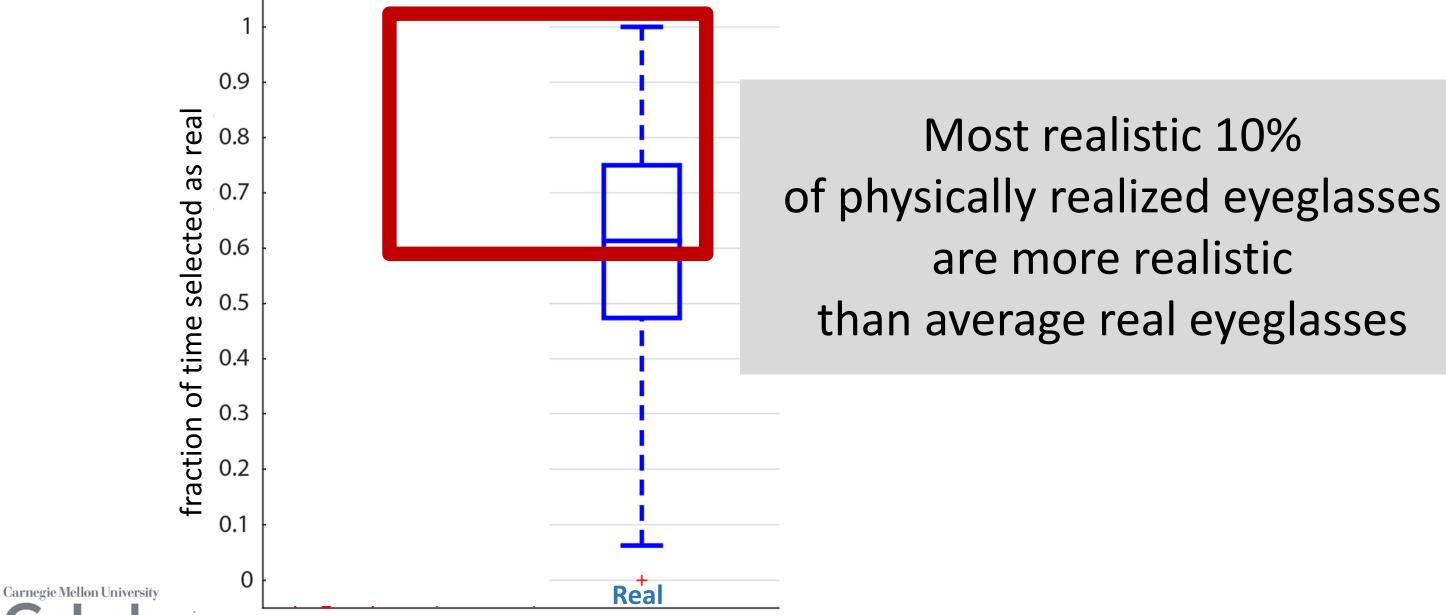


## Are Adversarial Eyeglasses Inconspicuous?

### real / fake real / fake real / fake ... . . .

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## Are Adversarial Eyeglasses Inconspicuous?



Can an Attacker Fool ML Classifiers? (Attempt #2)

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Can change physical objects in a limited way

### Can't contro camera position, lighting

## Considering Camera Position, Lighting

- Used algorithm to measure pose (pitch, roll, yaw)
- Mixed-effects logistic regression
  - Each 1° of pitch = 0.94x (VGG) or 1.12x (OpenFace) attack success rate
  - Each  $1^{\circ}$  of yaw = 0.94x attack success rate
- Varied luminance (add 150W incandescent light at 45°, 5 luminance levels)
  - Not included in training  $\rightarrow$  50% degradation in attack success
  - Included in training  $\rightarrow$  no degradation in attack success

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## What if Defenses Are in Place?

- Already:
  - Augmentation to make face recognition more robust to eyeglasses
- New:
  - Train attack detector (Metzen et al. 2017)
    - 100% recall and 100% precision
  - Attack must fool original DNN and detector
- Result (digital environment): attack success unchanged



Can an Attacker Fool ML Classifiers? (Attempt #2)

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## Other Attack Scenarios?

Dodging: One pair of eyeglasses, many attackers?

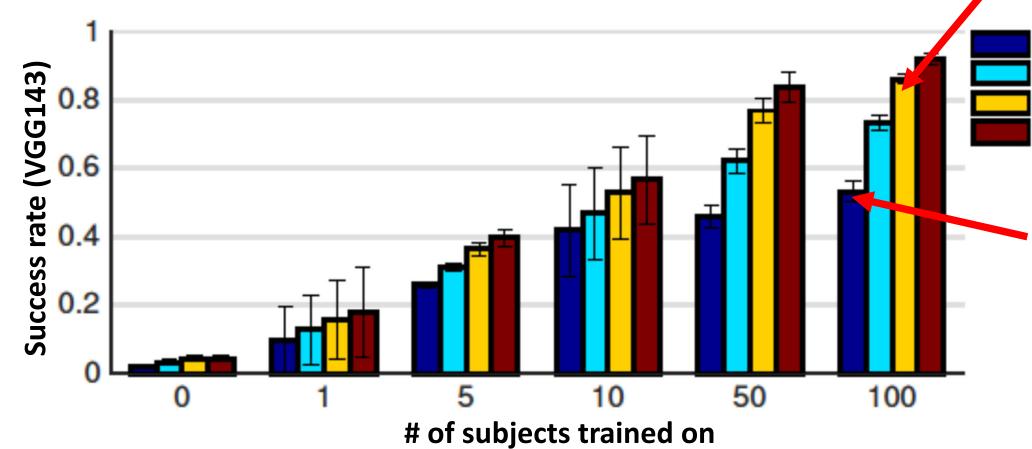
Change to training process: Train with multiple images of one user  $\rightarrow$  train with multiple images of *many* users

Create multiple eyeglasses, test with large population



## Other Attack Scenarios?

Dodging: One pair of eyeglasses, many attackers?





5 pairs of eyeglasses, 85+% of population avoids recognition

# of eyeglasses
used for dodging

1 pair of eyeglasses,50+% of populationavoids recognition

# Other Attack Scenarios? or Defense

**Privacy protection?** 

• E.g., against mass surveillance at a political protest

Unhappy speculation: probably not

 90% of video frames successfully misclassified  $\rightarrow$  100% success at defeating laptop face logon  $\rightarrow$  0% at avoiding being recognized at a political protest

Exception: "privacy" through denial of service

• To preserve privacy, be "identified" in many locations at once

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## Fooling ML Classifiers: Summary and Takeaways

- "Attacks" may not be meaningful until we fix context
  - E.g., for face recognition:
    - Attacker: physically realized (i.e., constrained) attack
    - Defender / observer: attack isn't noticed as such
- Even in a practical (constrained) context, real attacks exist
  - Relatively robust, inconspicuous; high success rates
- Hard-to-formalize constraints can be captured by a DNN
- Similar principles about constrained context apply to other domains: e.g., malware, spam detection

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For more: www.ece.cmu.edu/~lbauer/proj/advml.php

## Comparing Hypothetical and Realistic Privacy Valuations Joshua Tan, Mahmood Sharif, Sruti Bhagavatula, Matthias Beckerle,

Joshua Tan, Mahmood Sharif, Sruti Bhagavatula, Matthias Bec Michelle L. Mazurek<sup>\*</sup>, Lujo Bauer





## Why measure privacy preferences?

- Privacy preferences = willingness/comfort sharing personal info
- Who benefits from understanding privacy preferences?
  - System designers
    - What data are users okay sharing?
    - How much value should users receive for sharing?
  - Policy makers
    - How much "loss" do consumers incur through data breaches?
    - What kind of data sharing (if any) should be disincentivized?



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## Measuring privacy preferences is challenging

- Contextual factors influence users' privacy preferences and behaviors
  - E.g., willingness to share PII depends on how it will be used
- Valuations of goods (estimations of worth) influenced by framing effects and cognitive biases
  - Endowment effect = value more if own / value less if shared
  - Hypothetical bias = overestimate value in hypothetical scenario
- Stated privacy attitudes often do not align with actual behavior (privacy paradox)
- In this talk, privacy preferences are measured in \$ valuations



## This talk: Can we predict privacy valuations?

- Privacy valuation = willingness to sell and selling price for personal info
- How do privacy valuations depend on combinations of factors? •



• Does hypothetical bias explain the privacy paradox?

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## Methodology

- Online study with 434 Prolific participants
- Participants asked to assign selling prices to personal attributes
  - Could also choose to not sell
  - Selling scenario was information marketplace operated by CMU
  - Attributes in market are sold to buyers via an auction
  - Buyers have limited budgets and purchase lowest-priced offers first
- Collected demographics and IUIPC scores



## Prices assigned to 7 attributes and 6 parties

For how much do you agree to sell your [attribute] lo each one of the following parties?

### Choice

Sell Do not sell

### \$ amount

### **Attributes:**

- Age
- Email address
- Gender
- Relationship status •
- Home address  $\bullet$
- Occupation
- Phone number  $\bullet$

### **Receiving parties:**

- Ad networks
- Federal agencies
- Insurance companies
- Market research companies
- Political parties
- Research pools

## We varied the realism of the scenario

### More realistic

Carnegi Mels Snirealistic

### **Realistic with endowment (Real<sub>End</sub>)** Google SSO *before* valuations (functional market)

### **Realistic without endowment (Real<sub>NoEnd</sub>)** Google SSO *after* valuations

### Less realistic (Hyp<sub>Low</sub>)

Evaluate near-operational market

### **Even less realistic (Hyp<sub>Medium</sub>)** Evaluate market concept

### Least realistic (Hyp<sub>High</sub>)

Participate in research on buying/selling preferences

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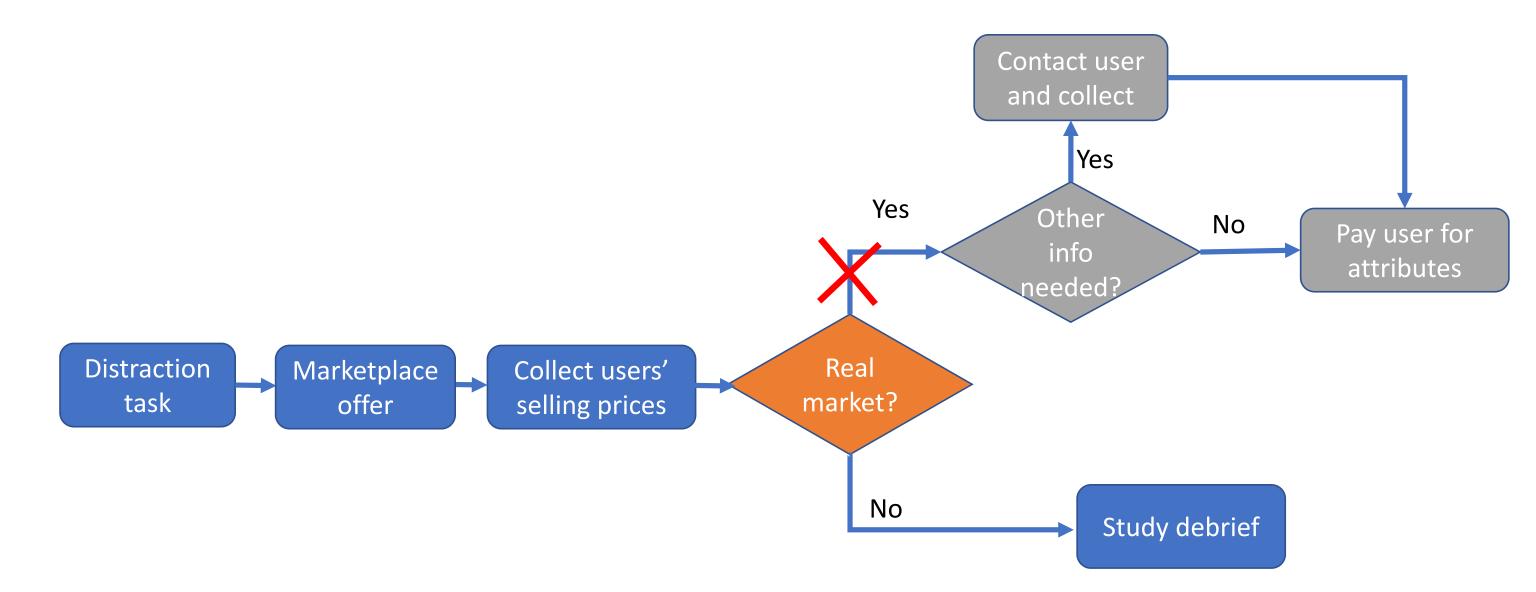
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Allow

## Marketplace realistic except for payment



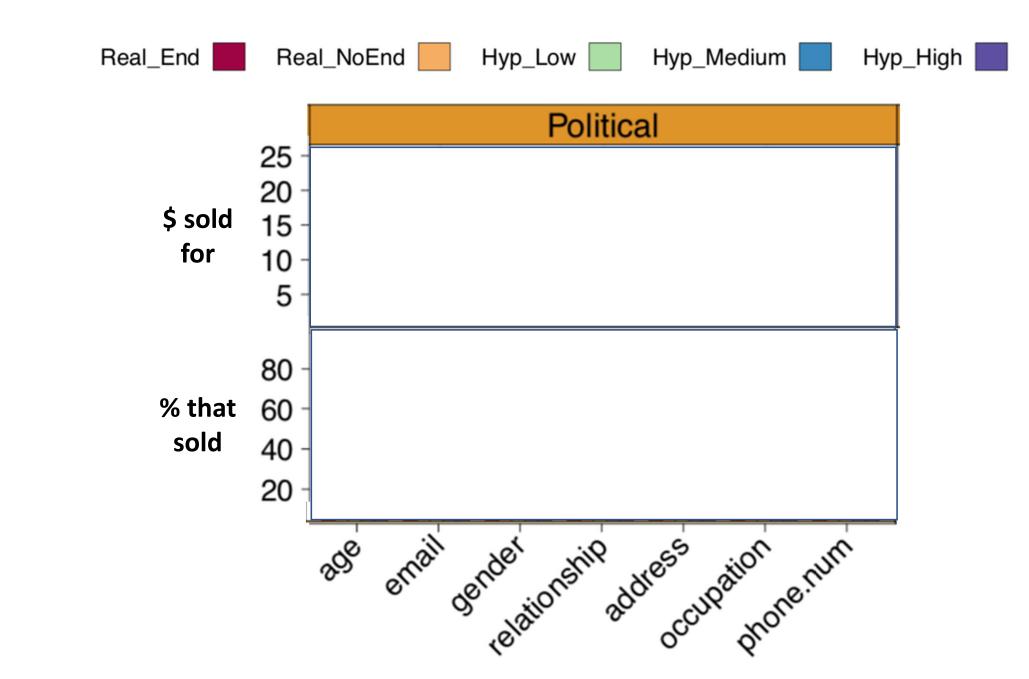
## Valuations analyzed using regressions and ML

- Likelihood of selling
  - Mixed-effect logistic regression
- Dollar values
  - Mixed-effect linear regression
- Modeled two-way interactions between scenario realism, attribute type, and receiving party
  - Applied Holm-Bonferonni correction to significance tests
- Predictions of attribute rankings
  - Machine learning classifier



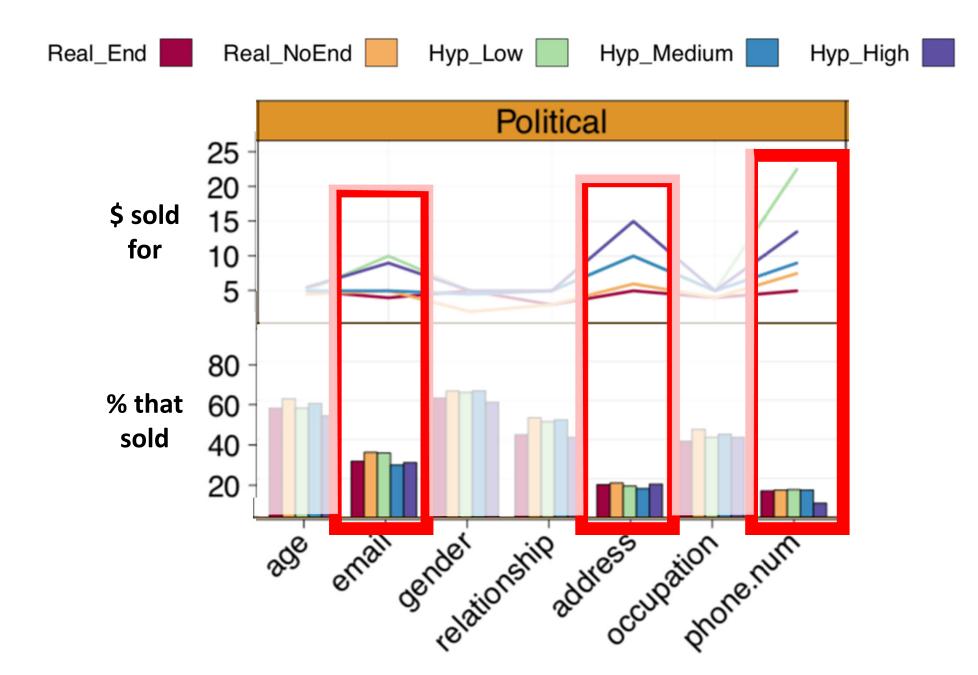
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## Comparing privacy valuations: Results



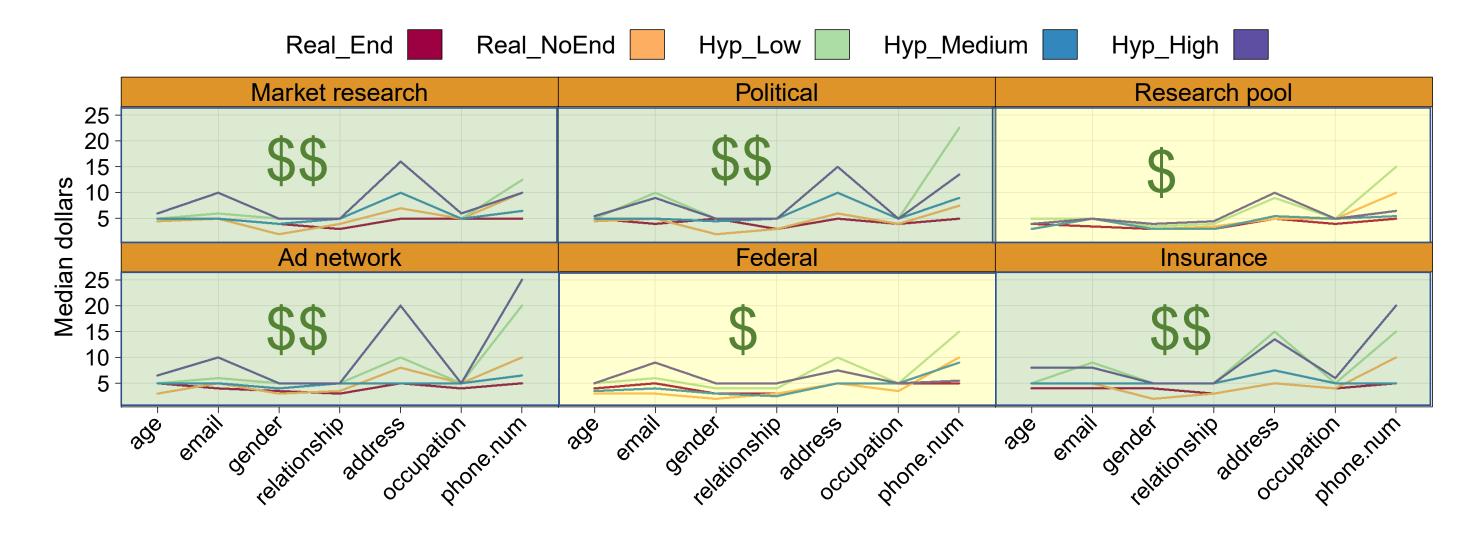
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## Contact info sold for more \$ and less often





## Selling price depends on who is buying



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## Can we predict valuations?

- Dollar values? Not yet.
- Scenario realism, attribute type, and receiving party insufficient for accurate prediction of absolute valuations
  - Conditional  $R^2 = 74.8\%$
  - Marginal  $R^2 = 13.3\%$
- Individual users have very different baselines in terms of \$
  - Given baseline, accurate \$ prediction possible



## Can we predict valuations?

- Attribute rankings? Yes.
  - Same average rankings regardless of scenario realism or buyer
- Subset of attribute rankings for hypothetical scenario further improves prediction of full rankings in realistic scenario
  - E.g., by asking a user to rank three attributes, can predict full rankings more accurately than if used average rankings



### r buyer rio further nario ict full

## Privacy paradox often doesn't hold

- Surprisingly, *Hypothetical* values not generally different than *Realistic* values
  - Exceptions:
    - Phone number (Real<sub>End</sub>: ~\$9, Real<sub>NoEndow</sub>: ~\$14)
    - Home address (Real<sub>Fnd</sub>: ~\$8, Real<sub>NoEndow</sub>: ~\$11)
- Calibration factor = Hypothetical / Real
  - Largest calibration factor predicted by our model was 1.61
  - List and Gallet (2001): 4.44 for public goods, 8.41 for private goods
- No significant differences in likelihood of selling by scenario realism



## Comparing privacy valuations: Takeaways

- Attribute rankings stable regardless of scenario realism and receiving party
- Selling prices can be accurately predicted based on attribute type and receiving party, given baseline price for individual person
- In contrast to other types of goods, privacy valuations not generally affected by hypothetical bias
  - Some attribute types (e.g., contact info) may not be exempt
- Privacy paradox not attributable to hypothetical bias Carnegie

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