High-Confidence Java Card Applets and Runtime Environment

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joint work with:

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Kestrel Institute

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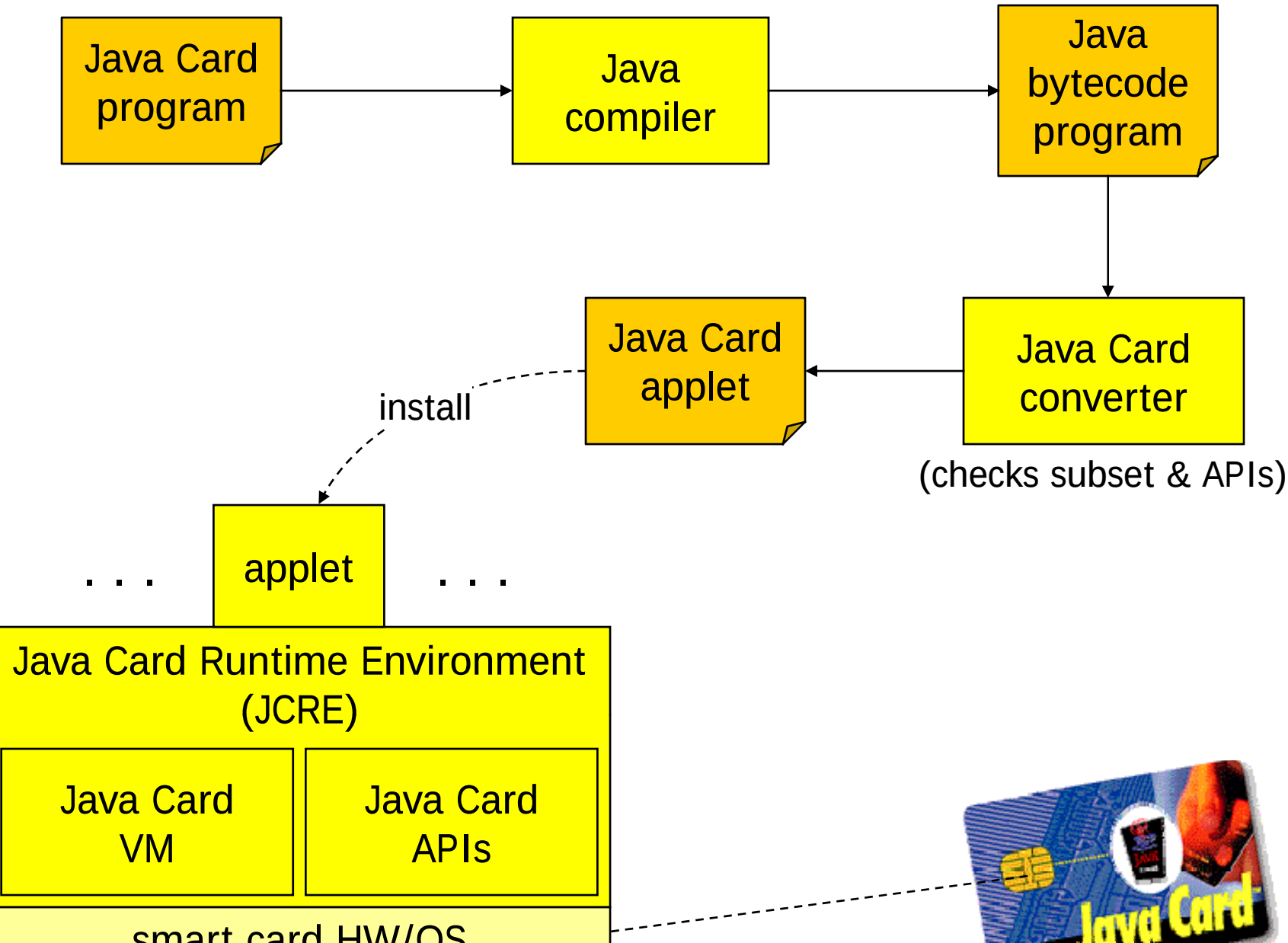
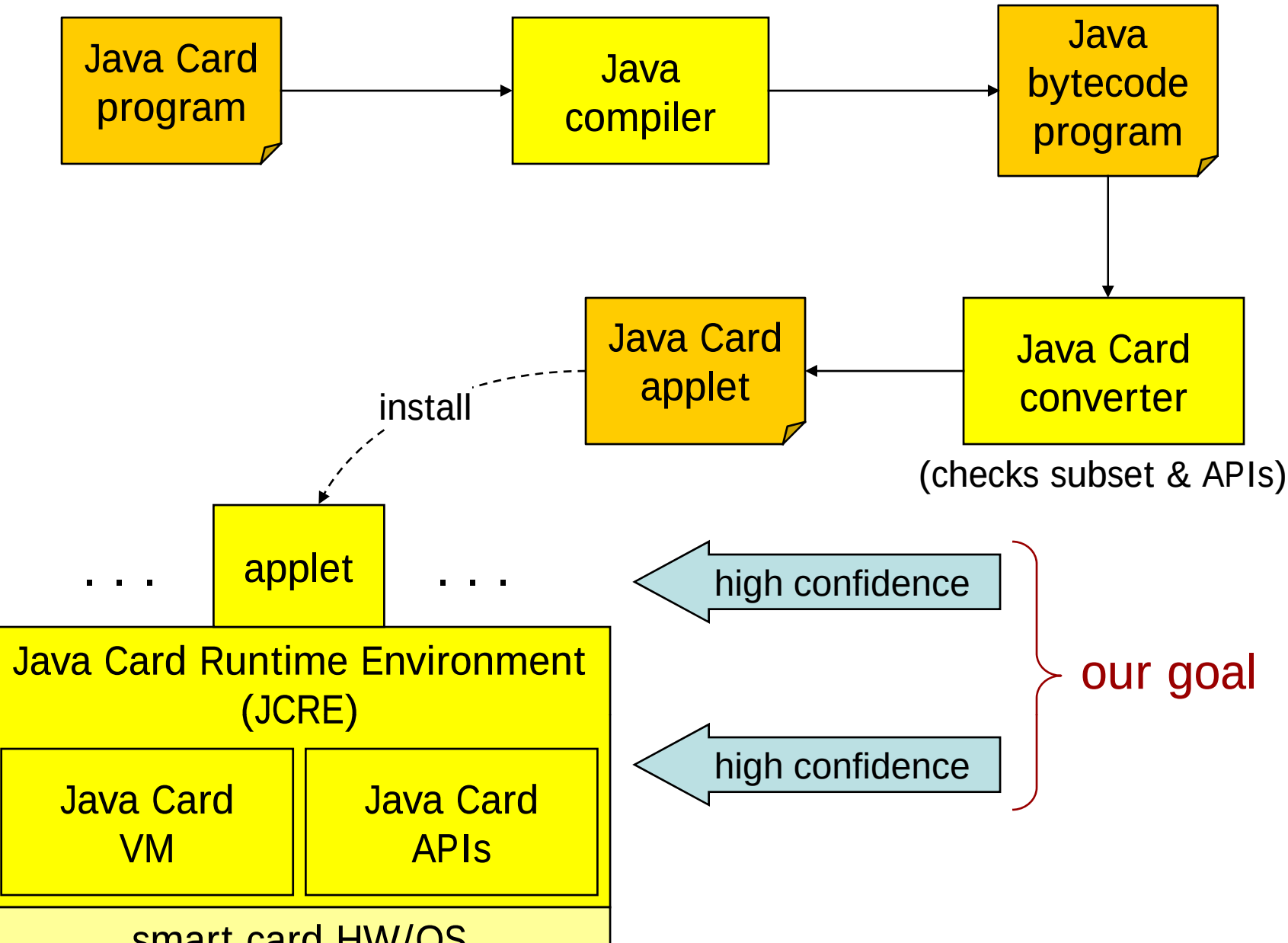
Java Card

Java Card = Java for smart cards

- language subset
- different APIs

authentication,
banking,
telephony,
health care,
...


Java Card program
Java compiler
Java bytecode program
Java Card converter
(checks subset & APIs)
Java Card applet
install
applet
. . .
. . .
Java Card Runtime Environment (JCRE)
Java Card VM
Java Card APIs
smart card HW/OS


Java Card program
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high confidence
high confidence
our goal
Java Card Runtime Environment (JCRE)
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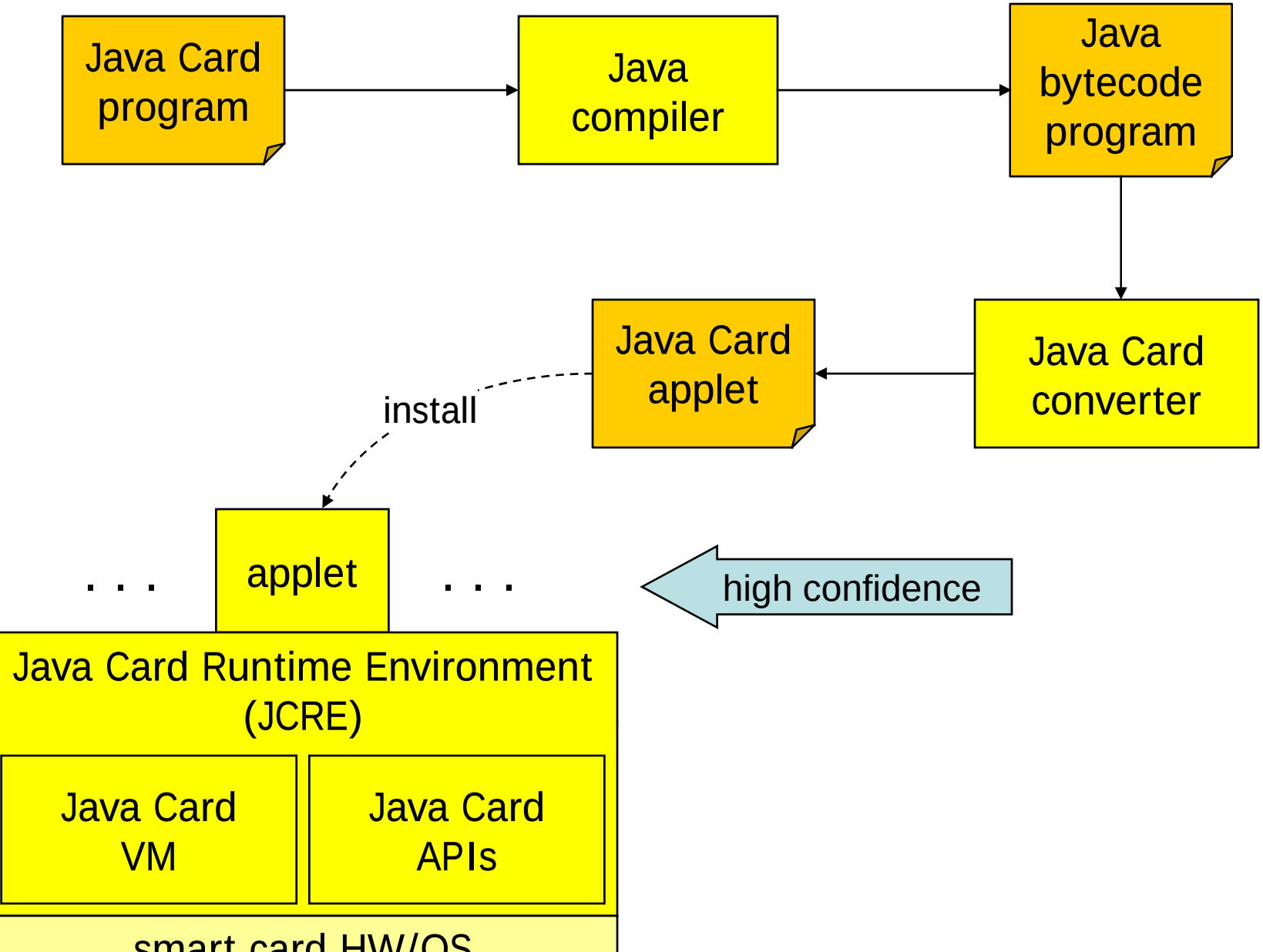
Java Card program
Java compiler
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install
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high confidence
Java Card Runtime Environment (JCRE)
Java Card VM
Java Card APIs
smart card HW/OS

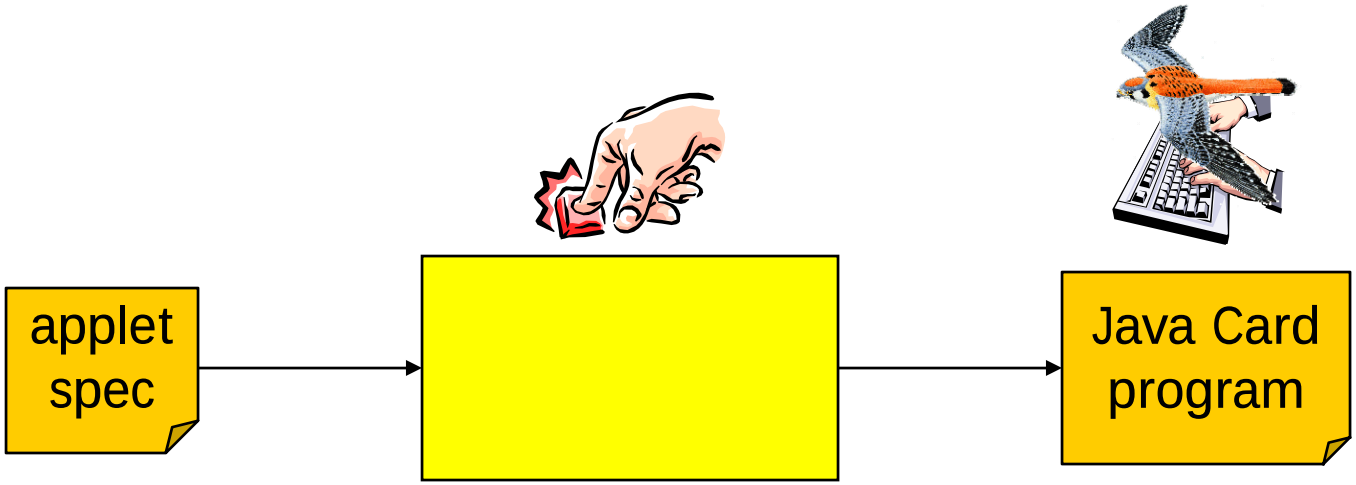
Java Card program

typically written by hand

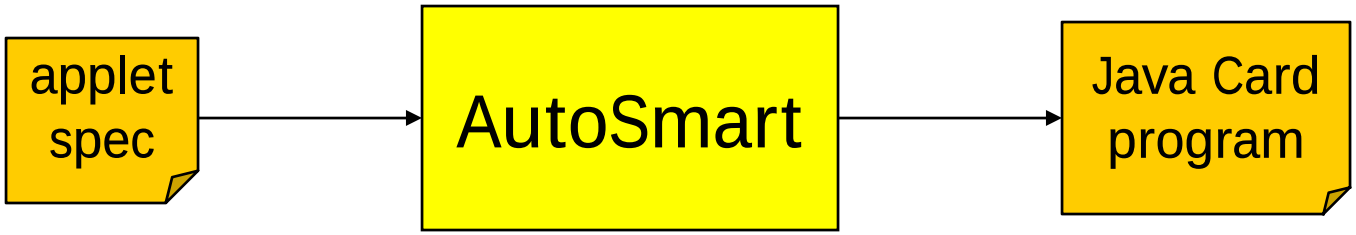
Java Card program

somewhat low-level

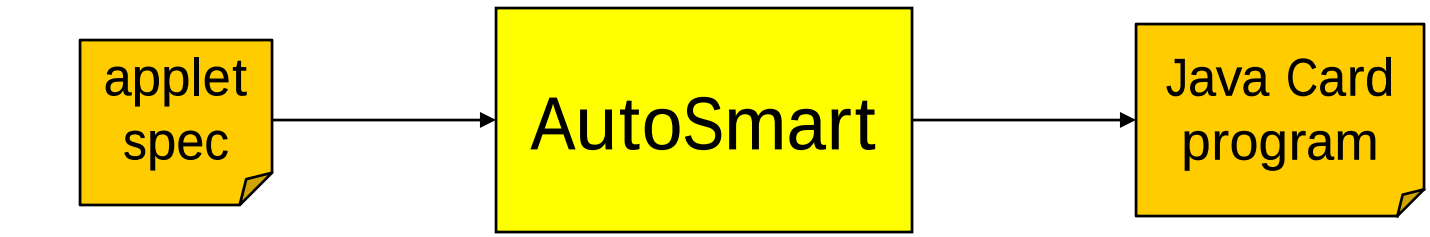
⇒ error-prone


applet
spec
Java Card
program

automatic generator of smart card applets



- high confidence
- productivity



written in

SmartSlang

SmartSlang
(smart card specification language)

SmartSlang
(smart card specification language)

domain-specific language

domain = smart cards
i.e. constructs specialized to smart card applications

evolving design

working version done,
additional constructs planned

precise semantics

in terms of state machines

$$applet : Command \times State \rightarrow Response \times State$$

but no formal background necessary

SmartSharing: PKI

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
ord  RSAPublicKey(1024)  pubKey, ... }
```

built-in crypto key types

include size

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];
```

array type

includes allowed size(s)

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
```

word name parameter(s)

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
```

eyword to
nd response

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
```

built-in crypto function

static check: argument sizes match

also checked in the presence of variables
(via automated theorem proving)

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};
```

declarative encoding of command
cl. parameters) as low-level APDU bytes

semantics: decoding/dispatching
and range checking at run time

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
```

ord

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
```

size (in bytes)

determines strength

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
```

name (multiple PINs allowed)

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
```

efore blocking

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
rotected = {privSignDecrypt, ...};
```

commands that require
PIN p to be verified
before they can be used

explicit rule of
security policy

semantics: state of PIN p checked
at run time, error response if not verified

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
rotected = {privSignDecrypt, ...};
erify apdu {0x80,0x20,0,0};
```

declarative APDU encoding of command to verify PIN p

header only, body implicit (= supplied PIN value)

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
rotected = {privSignDecrypt, ...};
erify apdu {0x80,0x20,0,0};
.. }
```

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
rotected = {privSignDecrypt, ...};
erify apdu {0x80,0x20,0,0};
.. }

ure command generateKeyPair () {
enerate(privKey,pubKey);
pdu {0x84,0x46,0,0,{},0};
```

built-in key generation statement

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
rotected = {privSignDecrypt, ...};
erify apdu {0x80,0x20,0,0};
.. }
```

modifier for Global Platform secure channels

```
ure command generateKeyPair () {
enerate(privKey,pubKey);
pdu {0x84,0x46,0,0,{},0};
```

channel must be established (involving authentication) for command to be used

SmartSlang example: PKI

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

mand privSignDecrypt (Message msg) {
espondok decrypt(key,msg);
pdu {0x80,0x42,0,0,msg,1024/8};

(8) p {
axtries = 3;
rotected = {privSignDecrypt, ...};
erify apdu {0x80,0x20,0,0};
.. }

ure command generateKeyPair () {
enerate(privKey,pubKey);
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```

PKI example

```
te { RSAPrivateKey(1024) privKey,
     RSAPublicKey(1024)  pubKey, ... }

e Message = Byte[1024/8];

ma      ignDecrypt (Message msg) {
es      ecrypt(key,msg);
p       0x42,0,0,msg,1024/8};

(8
ax      3;
r       {privSignDecrypt, ...};
e       {0x80,0x20,0,0};
.

ure command generateKeyPair () {
enerate(privKey,pubKey);
pdu {0x84,0x46,0,0,{},0};
```

SmartSlang spec

PKI example

~ 820 lines

$$\frac{\text{size(code)}}{\text{size(spec)}} = \sim 4$$

~ 190 lines

martSlang spec

AutoSmart

Java Card code

PKI Applet Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;

saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];

d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
 ISOException.throwIt(ISO7816.SW_SECURITY_STATUS_NOT_SATISFIED);
yte[] buffer = apdu.getBuffer();
f ((buffer[ISO7816.OFFSET_P1] != 0) ||
   (buffer[ISO7816.OFFSET_P2] != 0))
 ISOException.throwIt(ISO7816.SW_WRONG_P1P2);
nDataLength = nonNegativeByte(buffer[ISO7816.OFFSET_LC]);
f (inDataLength != 128)
 ISOException.throwIt(ISO7816.SW_WRONG_LENGTH);
eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

Java Card code

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;

saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];
```

method for command (after dispatching)

```
d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
 ISOException.throwIt(ISO7816.SW_SECURITY_STATUS_NOT_SATISFIED);
yte[] buffer = apdu.getBuffer();
f ((buffer[ISO7816.OFFSET_P1] != 0) ||
   (buffer[ISO7816.OFFSET_P2] != 0))
 ISOException.throwIt(ISO7816.SW_WRONG_P1P2);
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saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

PKI Java Card code

```
tic byte[] aux1;
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nDataLength = nonNegativeByte(buffer[ISO7816.OFFSET_LC]);
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eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

PIN check

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;

saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];

d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
 ISOException.throwIt(ISO7816.SW_SECURITY_STATUS_NOT_SATISFIED);
yte[] buffer = apdu.getBuffer();
f ((buffer[ISO7816.OFFSET_P1] != 0) ||
   (buffer[ISO7816.OFFSET_P2] != 0))
 ISOException.throwIt(ISO7816.SW_WRONG_P1P2);
nDataLength = nonNegativeByte(buffer[ISO7816.OFFSET_LC]);
f (inDataLength != 128)
 ISOException.throwIt(ISO7816.SW_WRONG_LENGTH);
eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

command APDU decoding and checking

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;

saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];

d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
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saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
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```

crypto operation

PKI Java Card code

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tic byte[] aux1;
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nDataLength = nonNegativeByte(buffer[ISO7816.OFFSET_LC]);
f (inDataLength != 128)
 ISOException.throwIt(ISO7816.SW_WRONG_LENGTH);
eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

response sending

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;
```

intermediate storage
for crypto operation

```
saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];

d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
 ISOException.throwIt(ISO7816.SW_SECURITY_STATUS_NOT_SATISFIED);
yte[] buffer = apdu.getBuffer();
f ((buffer[ISO7816.OFFSET_P1] != 0) ||
   (buffer[ISO7816.OFFSET_P2] != 0))
 ISOException.throwIt(ISO7816.SW_WRONG_P1P2);
nDataLength = nonNegativeByte(buffer[ISO7816.OFFSET_LC]);
f (inDataLength != 128)
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eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;
```

pre-allocated when applet is installed

```
saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];

d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
 ISOException.throwIt(ISO7816.SW_SECURITY_STATUS_NOT_SATISFIED);
yte[] buffer = apdu.getBuffer();
f ((buffer[ISO7816.OFFSET_P1] != 0) ||
   (buffer[ISO7816.OFFSET_P2] != 0))
 ISOException.throwIt(ISO7816.SW_WRONG_P1P2);
nDataLength = nonNegativeByte(buffer[ISO7816.OFFSET_LC]);
f (inDataLength != 128)
 ISOException.throwIt(ISO7816.SW_WRONG_LENGTH);
eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

storage re-used for every operation

because memory is scarce in smart cards

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;

saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
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d privSignDecrypt (APDU apdu) {
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f ((buffer[ISO7816.OFFSET_P1] != 0) ||
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f (inDataLength != 128)
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eceiveIncomingData(apdu);
saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

no run-time "surprises"

guaranteed not to throw exceptions thanks to AutoSmart's static checking

PKI Java Card code

```
tic byte[] aux1;
tic Cipher rsaCipher;

saCipher = Cipher.getInstance(Cipher.ALG_RSA_NOPAD,false);
ux1 = new byte[(short)128];

d privSignDecrypt (APDU apdu) {
f (! pin.isValidated())
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saCipher.init(privKey,Cipher.MODE_DECRYPT);
saCipher.doFinal(buffer,(short)0,(short)128,aux1,(short)0);
endOutgoingData(apdu, aux1);
```

idiomatic code, tuned for space efficiency

SmartSlang counterpart

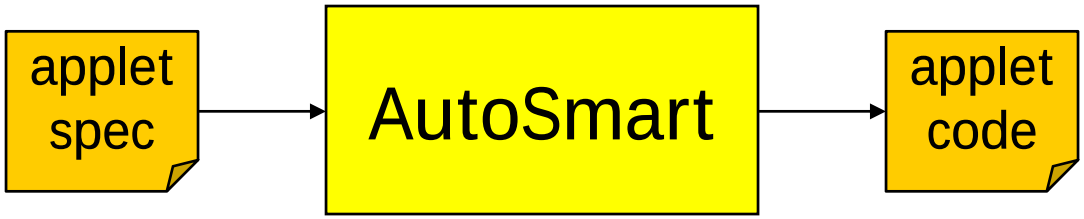
```
command privSignDecrypt (Message msg) {
  respondok decrypt(key,msg);
} apdu {0x80,0x42,0,0,msg,1024/8};

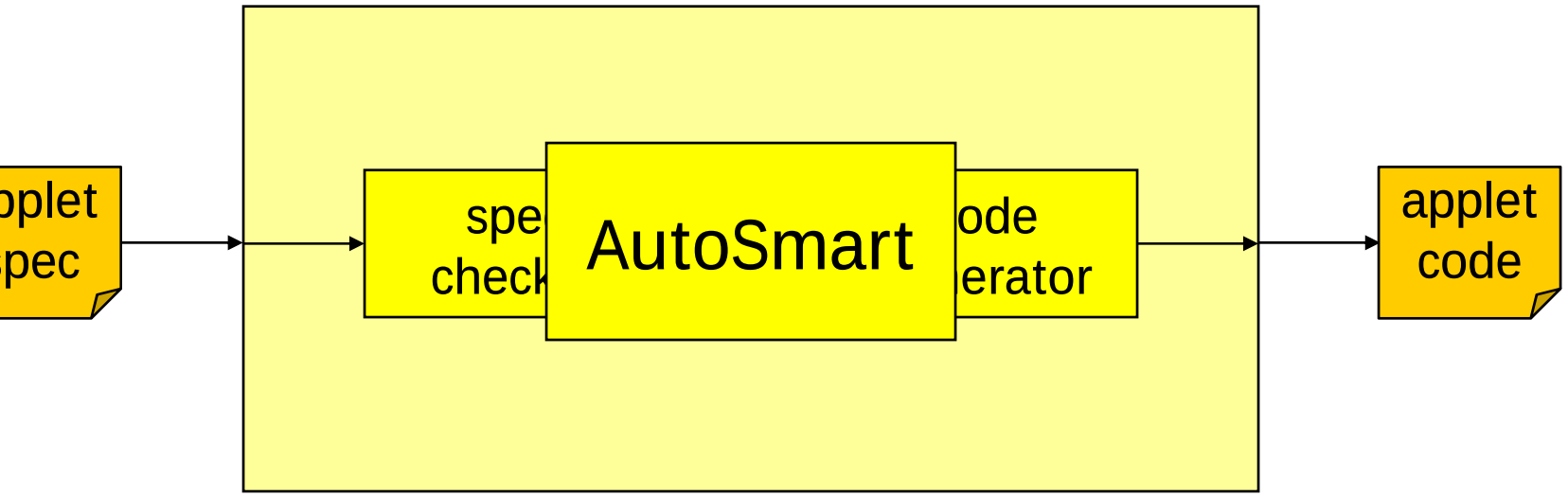
PIN(8) p {
  protected = {privSignDecrypt, ...};
  ... }
```

crypto result computed and returned "on the fly"

no concern for storage

taken care of by AutoSmart


applet
spec
AutoSmart
applet
code


pplet
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check
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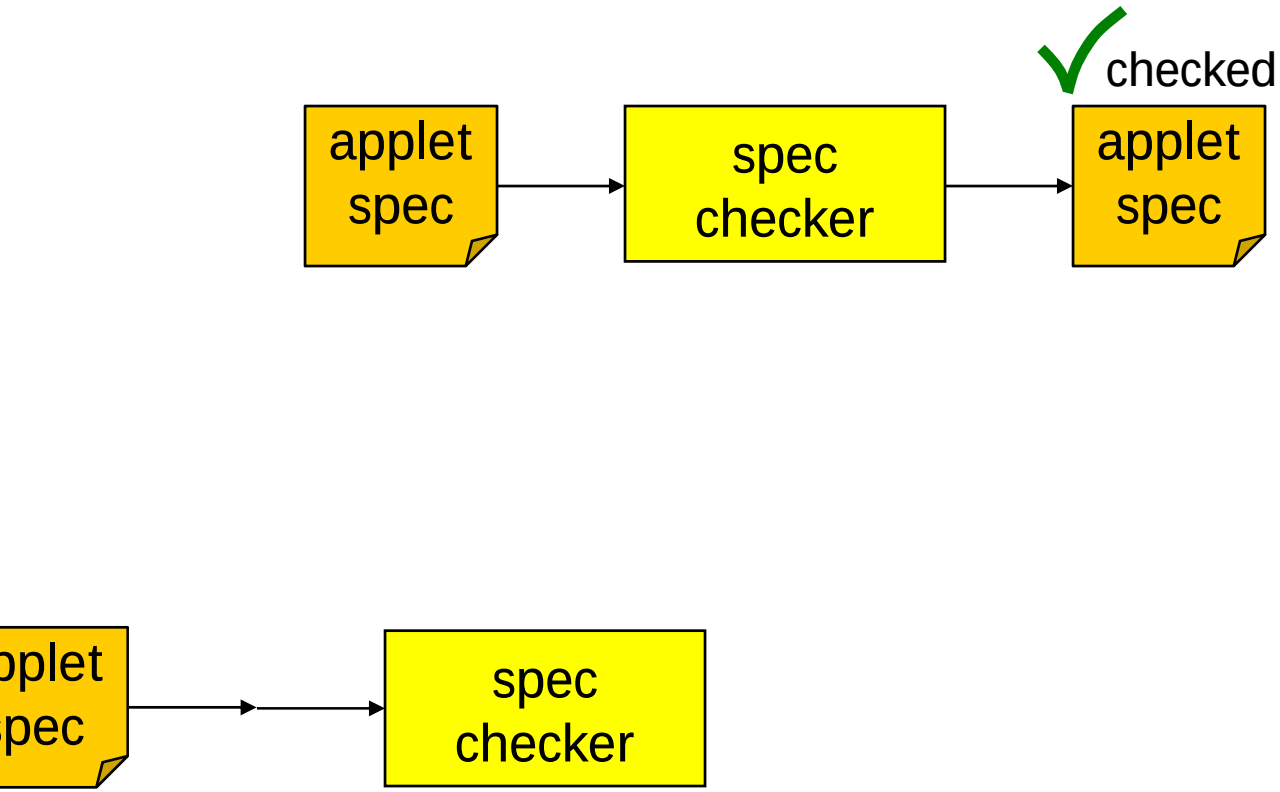
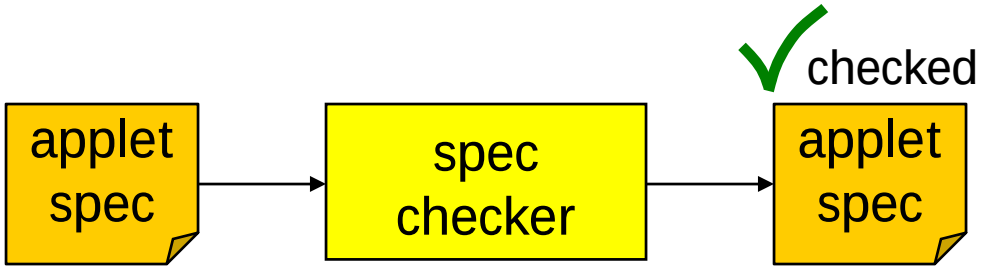
ode
erator
applet
code


checked
applet spec
spec checker
applet spec
pplet pec
spec checker



parses spec

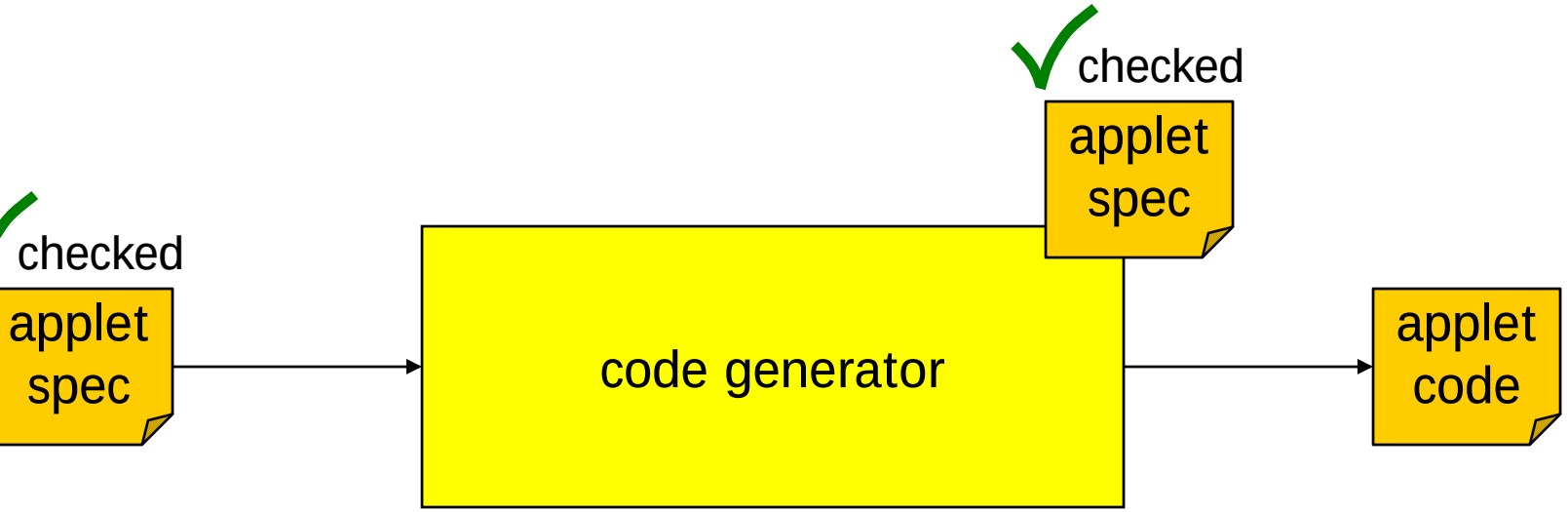
parser generated from grammar
via our own parser generator

checks type safety

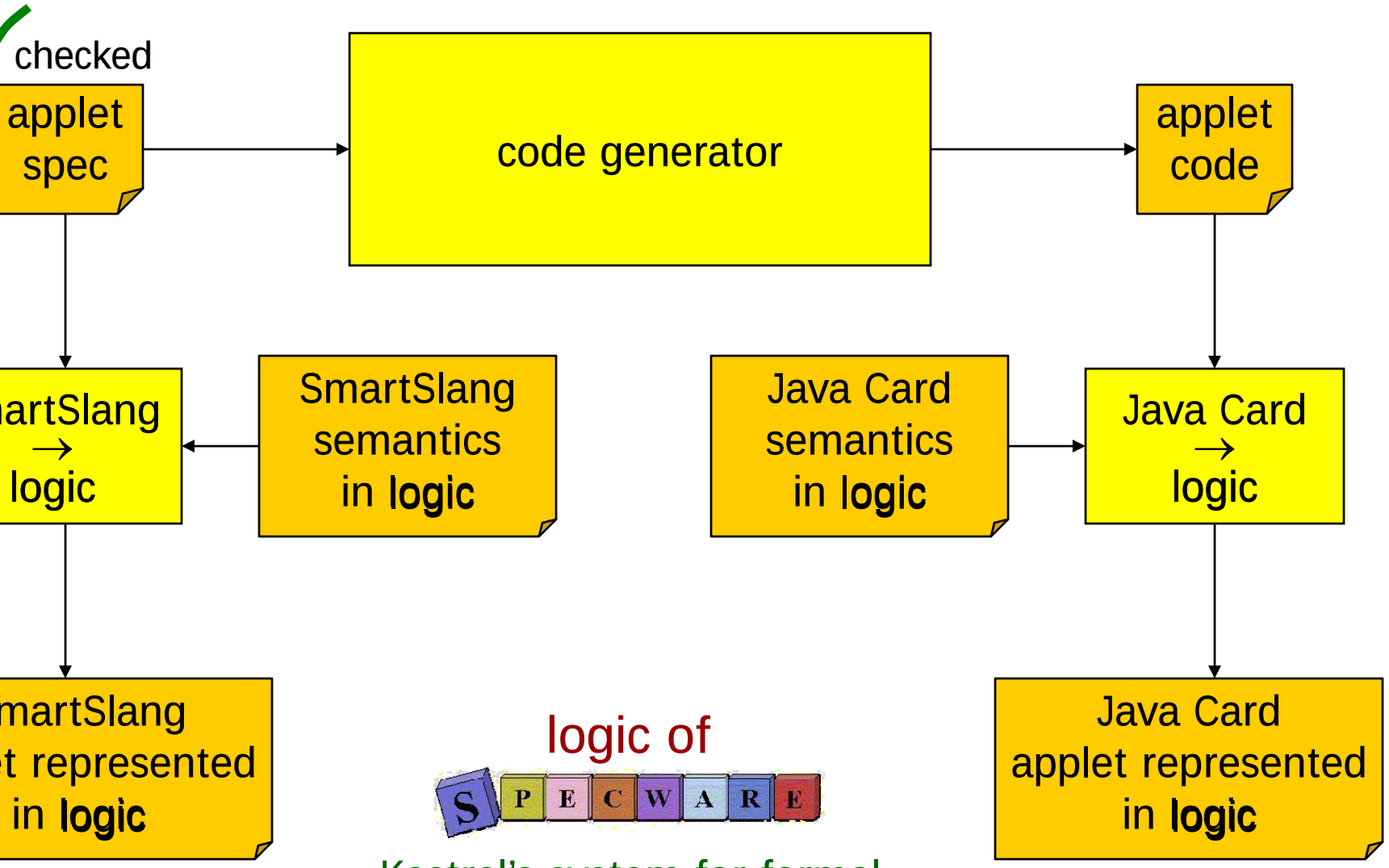
includes Fourier-Motzkin decision
procedure for linear arithmetic

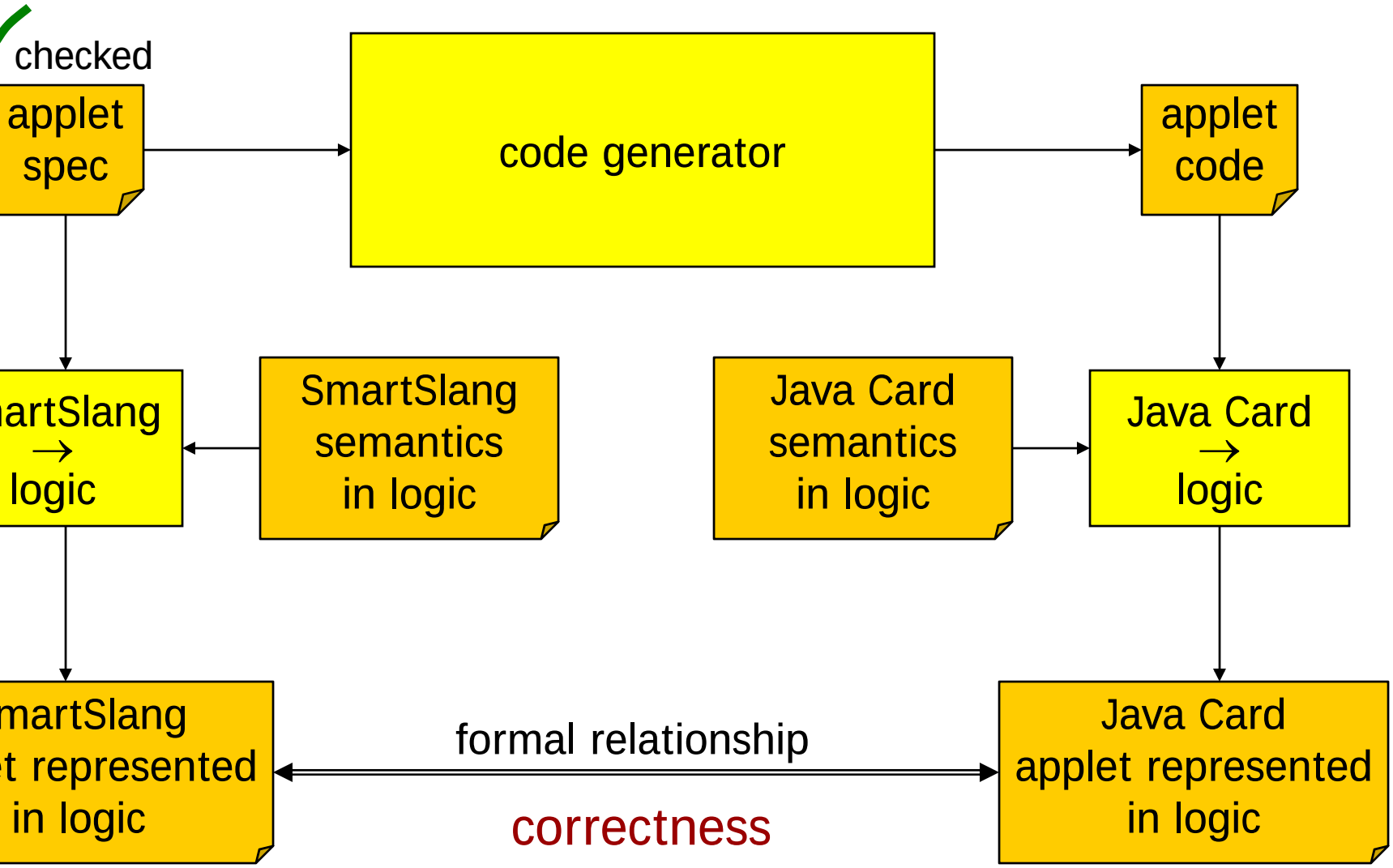
checks other properties

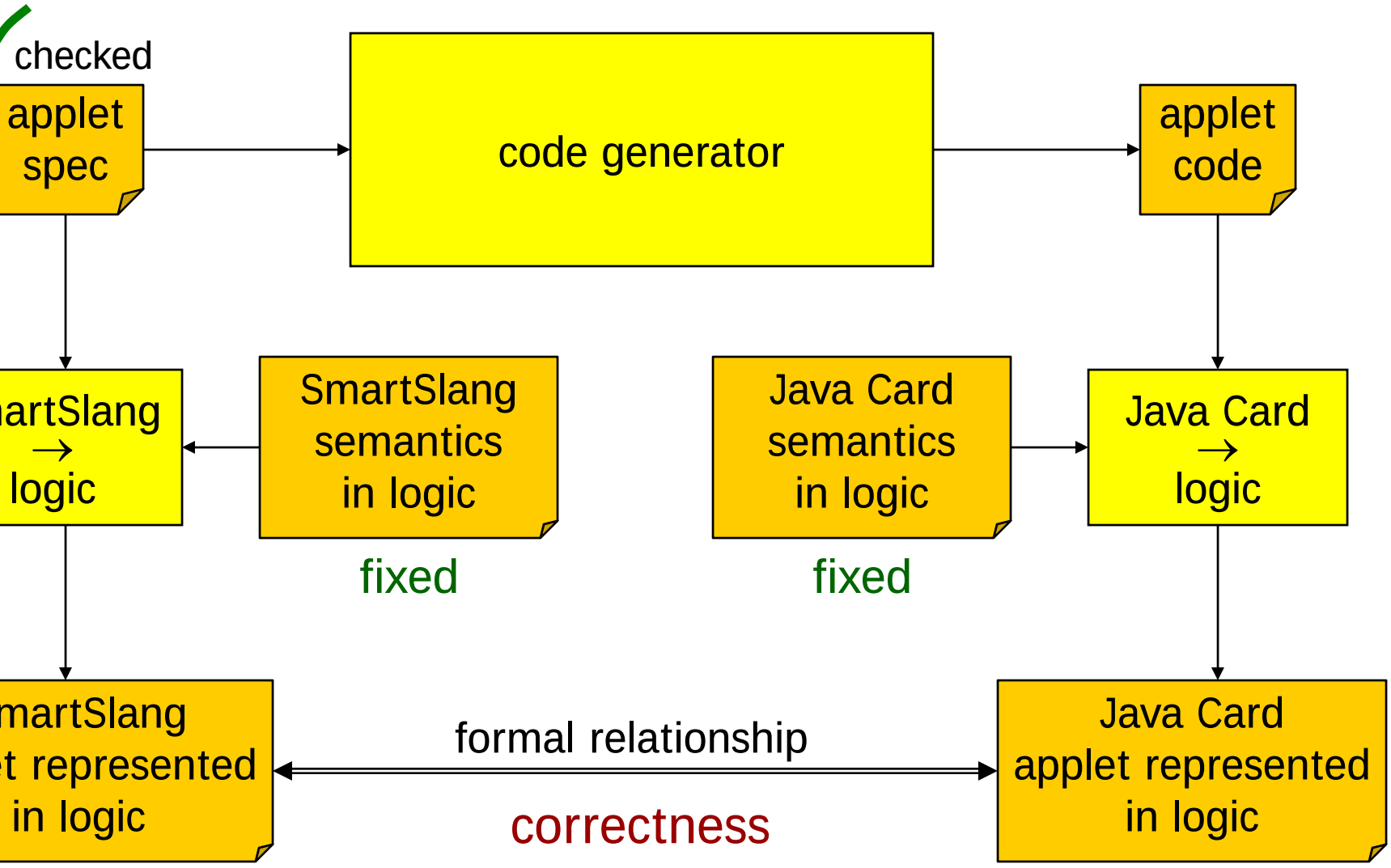
e.g. restrictions to allow generation
of code to check and decode APDUs

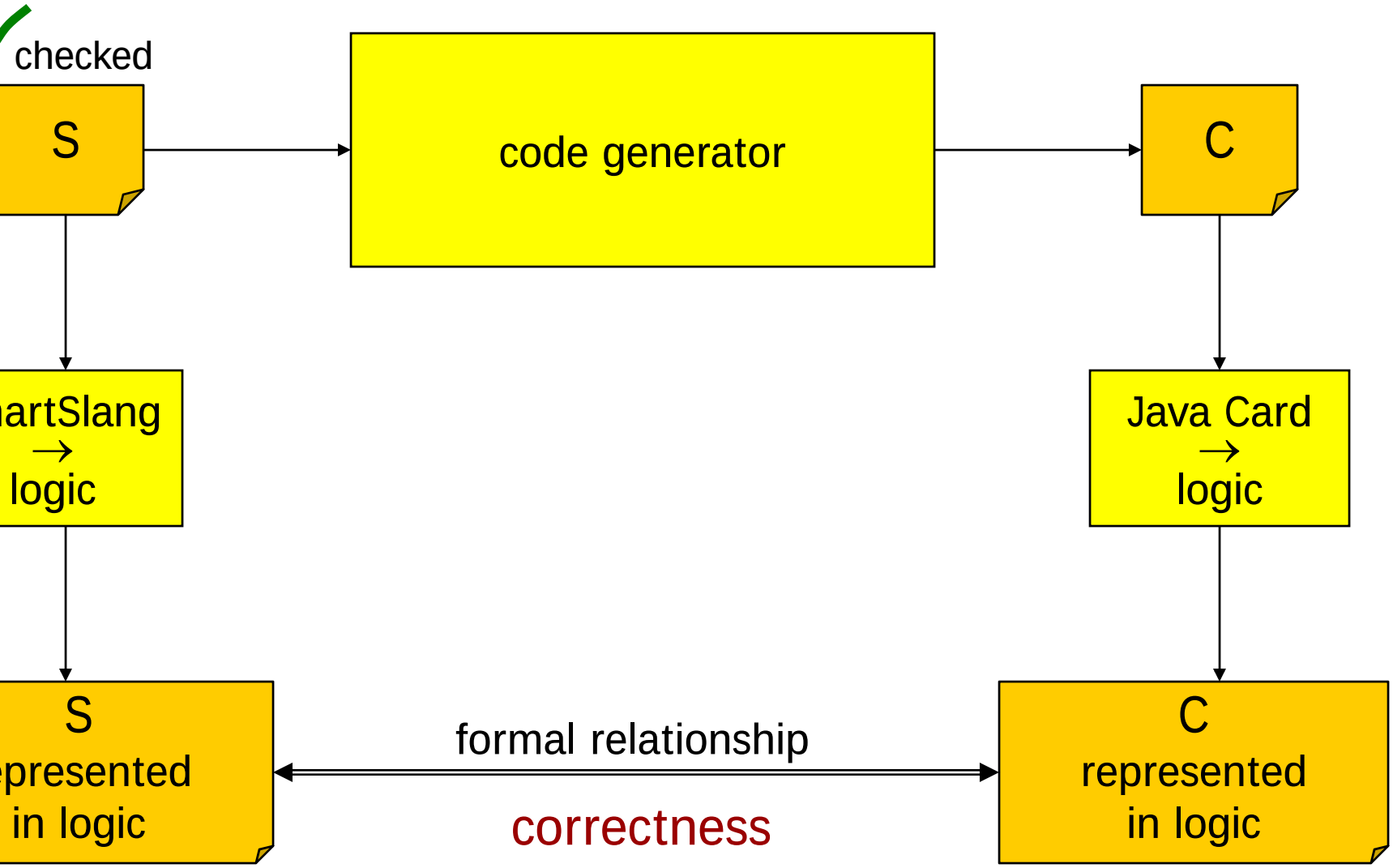


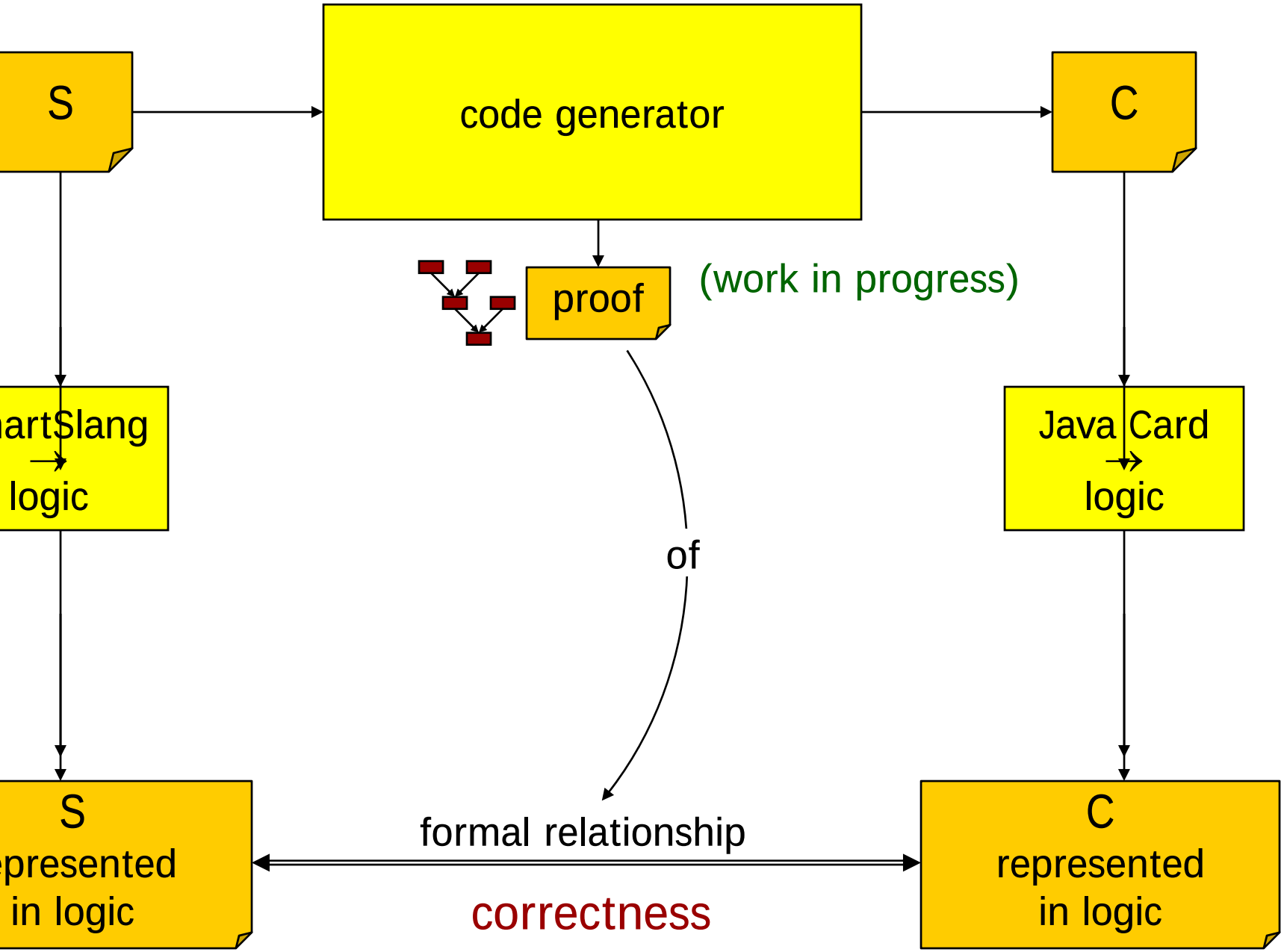
correctness?

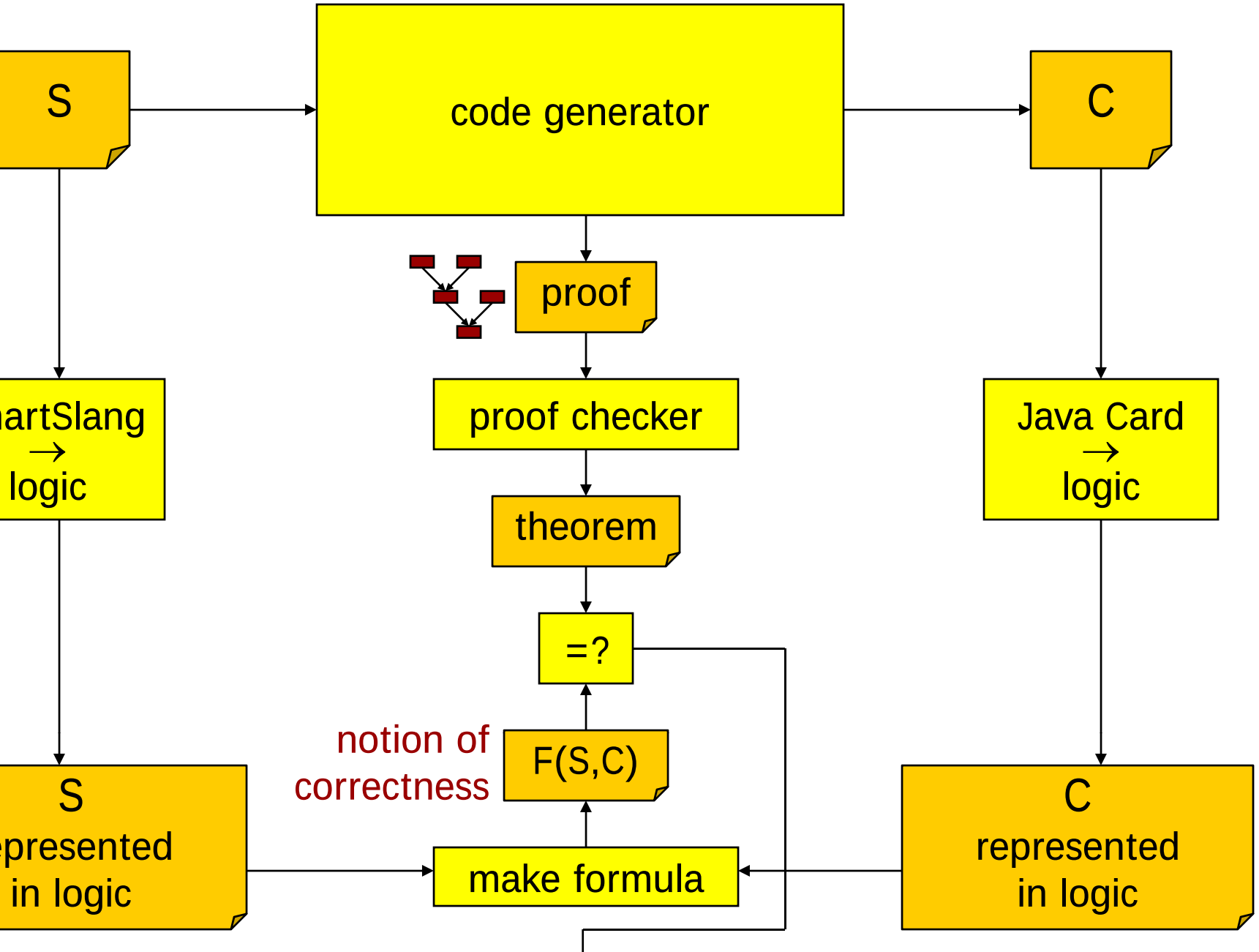

checked
applet spec
code generator
applet code
artSlang → logic
SmartSlang semantics in logic
Java Card semantics in logic
Java Card → logic
martSlang t represented in logic
Java Card applet represented in logic
logic of
SPECWARE
Kestrel's system for formal

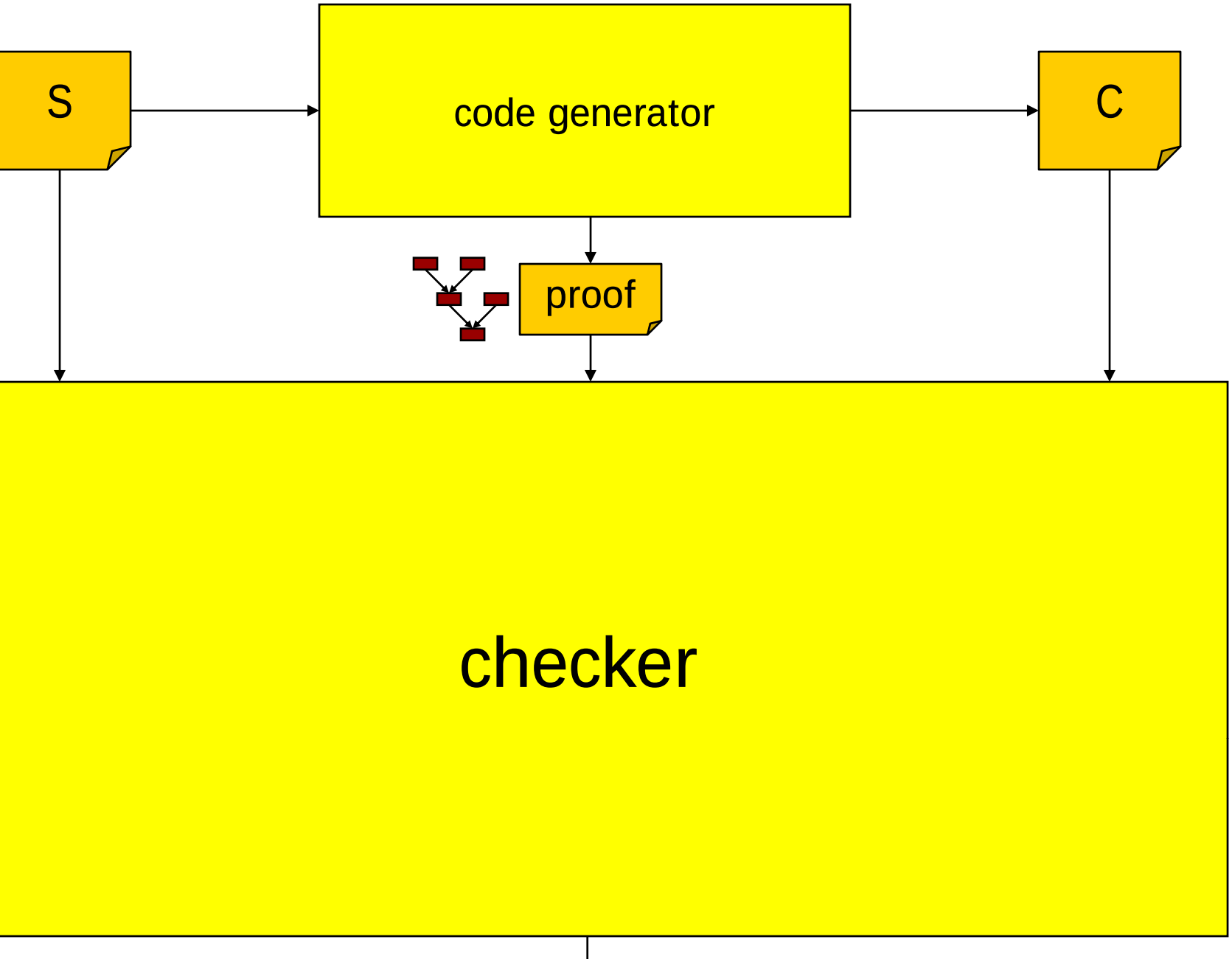

checked
applet
spec
code generator
applet
code
artSlang
→
logic
SmartSlang
semantics
in logic
Java Card
semantics
in logic
Java Card
→
logic
martSlang
t represented
in logic
formal relationship
correctness
Java Card
applet represented
in logic

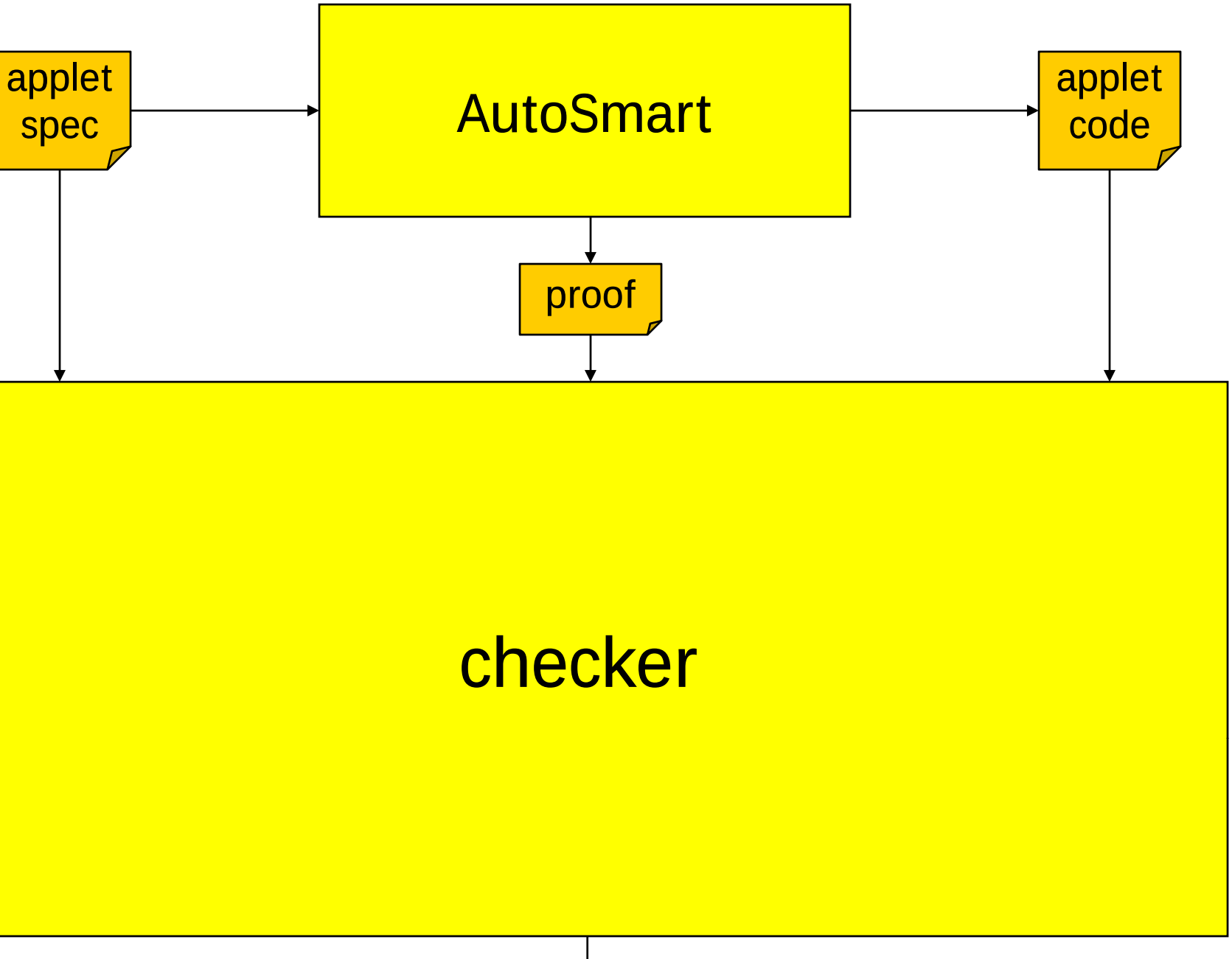

checked
applet
spec
code generator
applet
code
artSlang
→
logic
SmartSlang
semantics
in logic
Java Card
semantics
in logic
Java Card
→
logic
fixed
fixed
martSlang
t represented
in logic
formal relationship
correctness
Java Card
applet represented
in logic

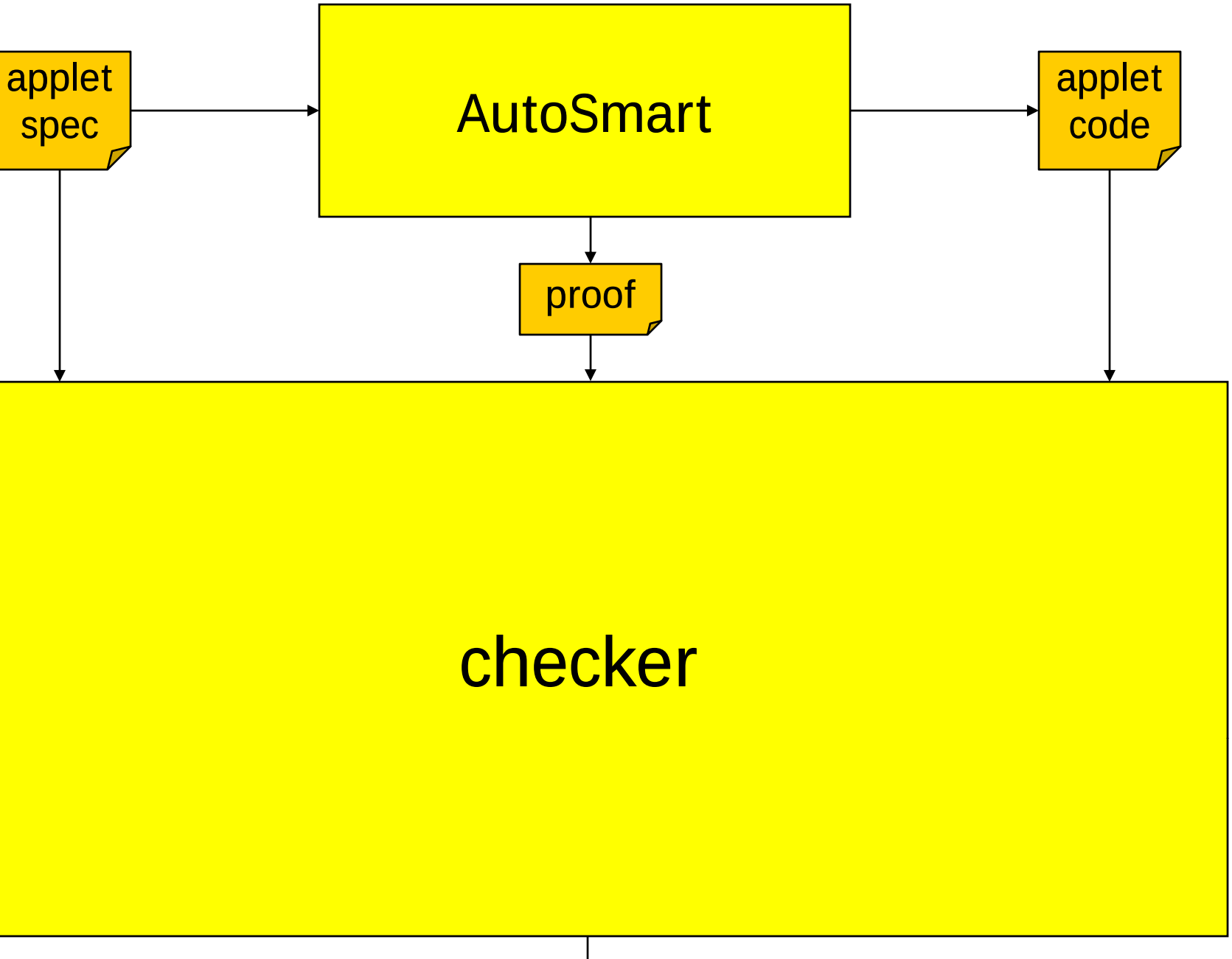

checked
S
code generator
C
artSlang
→
logic
Java Card
→
logic
S
epresented
in logic
formal relationship
correctness
C
represented
in logic

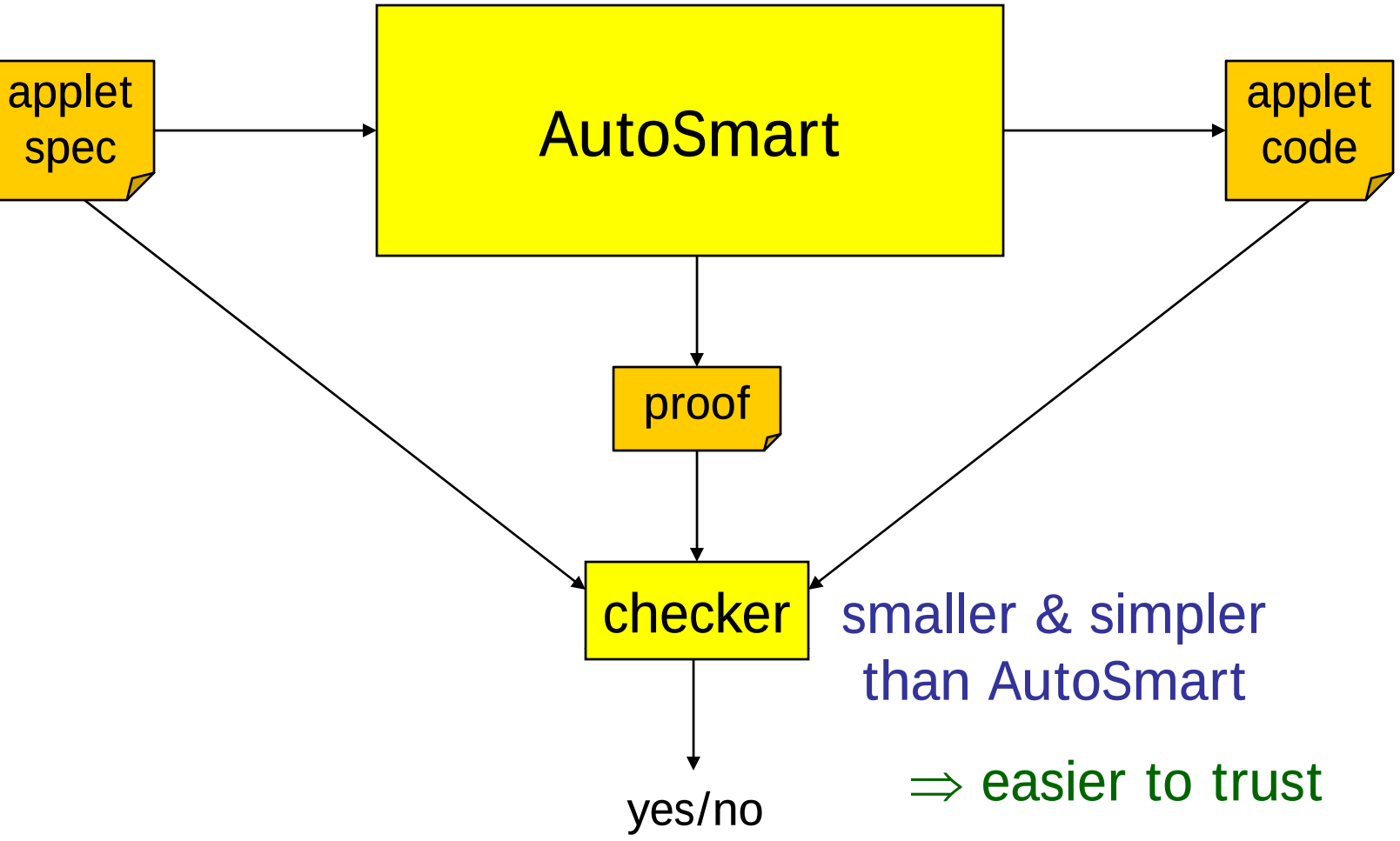

S
code generator
C
proof
(work in progress)
artSlang
logic
Java Card
logic
of
S
epresented
in logic
formal relationship
correctness
C
represented
in logic


S
code generator
C
proof
artSlang
→
logic
proof checker
Java Card
→
logic
theorem
=?
notion of
correctness
F(S,C)
S
epresented
in logic
make formula
C
represented
in logic


S
code generator
C
proof
checker


applet
spec
AutoSmart
applet
code
proof
checker


applet
spec
AutoSmart
applet
code
proof
checker

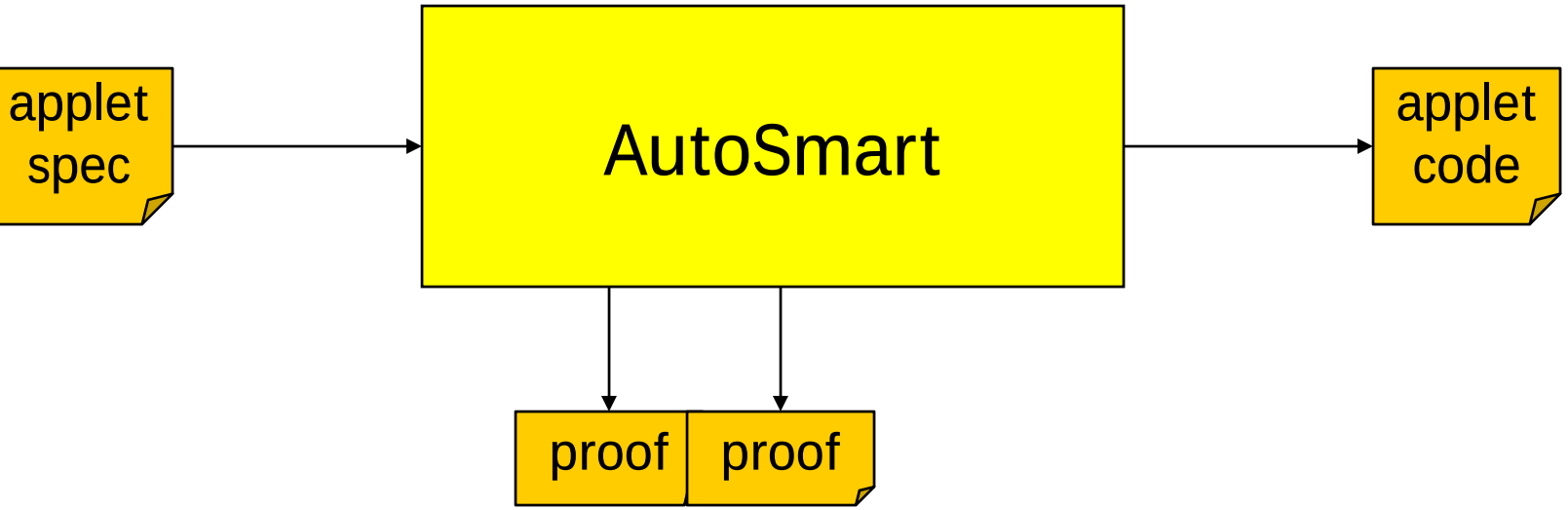


smaller & simpler
than AutoSmart

⇒ easier to trust

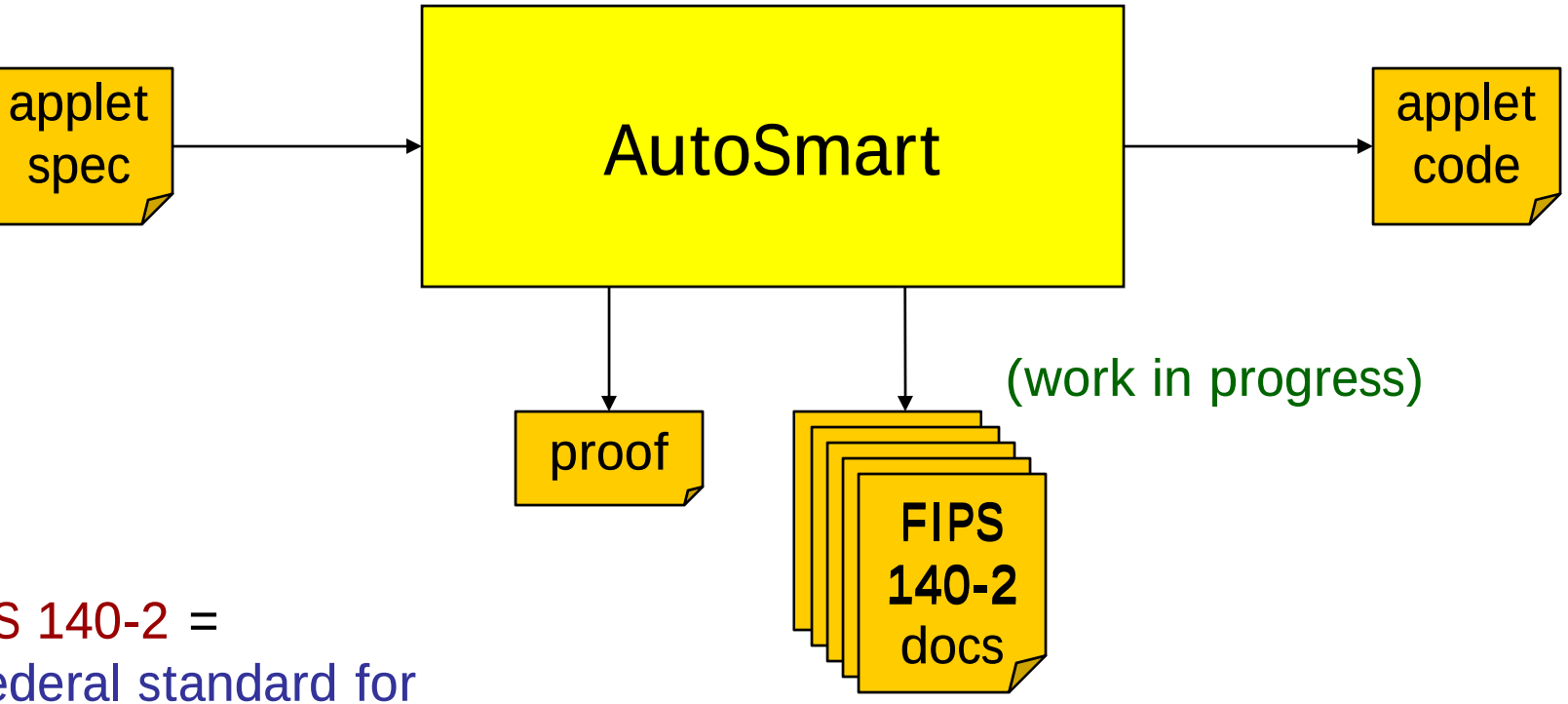
independent certification

independent certification

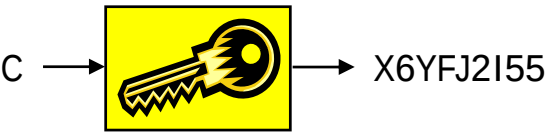


independent certification

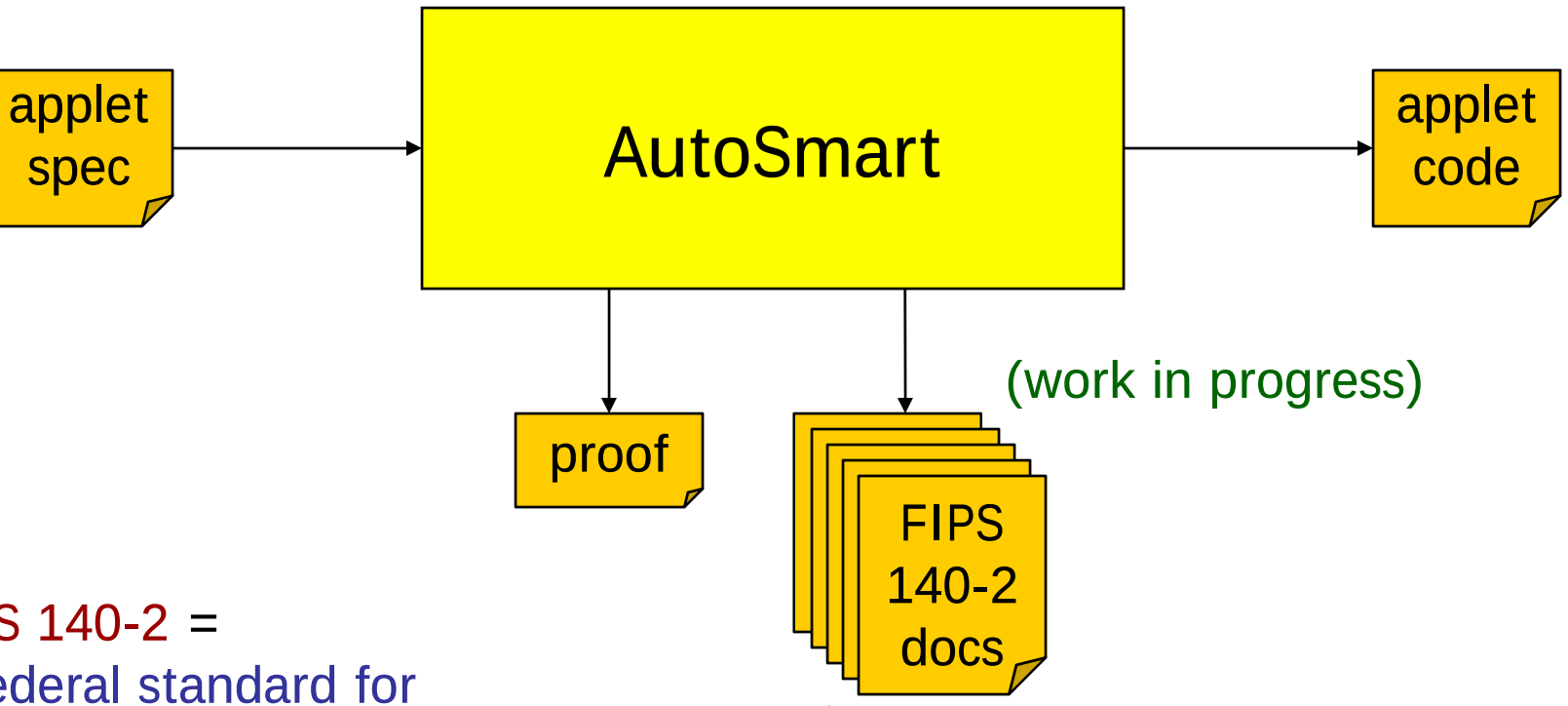
independent certification



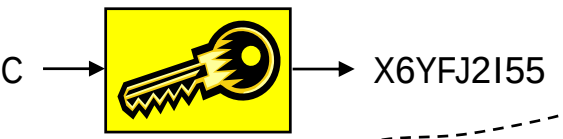
S 140-2 =
ederal standard for
ryptographic modules



independent certification

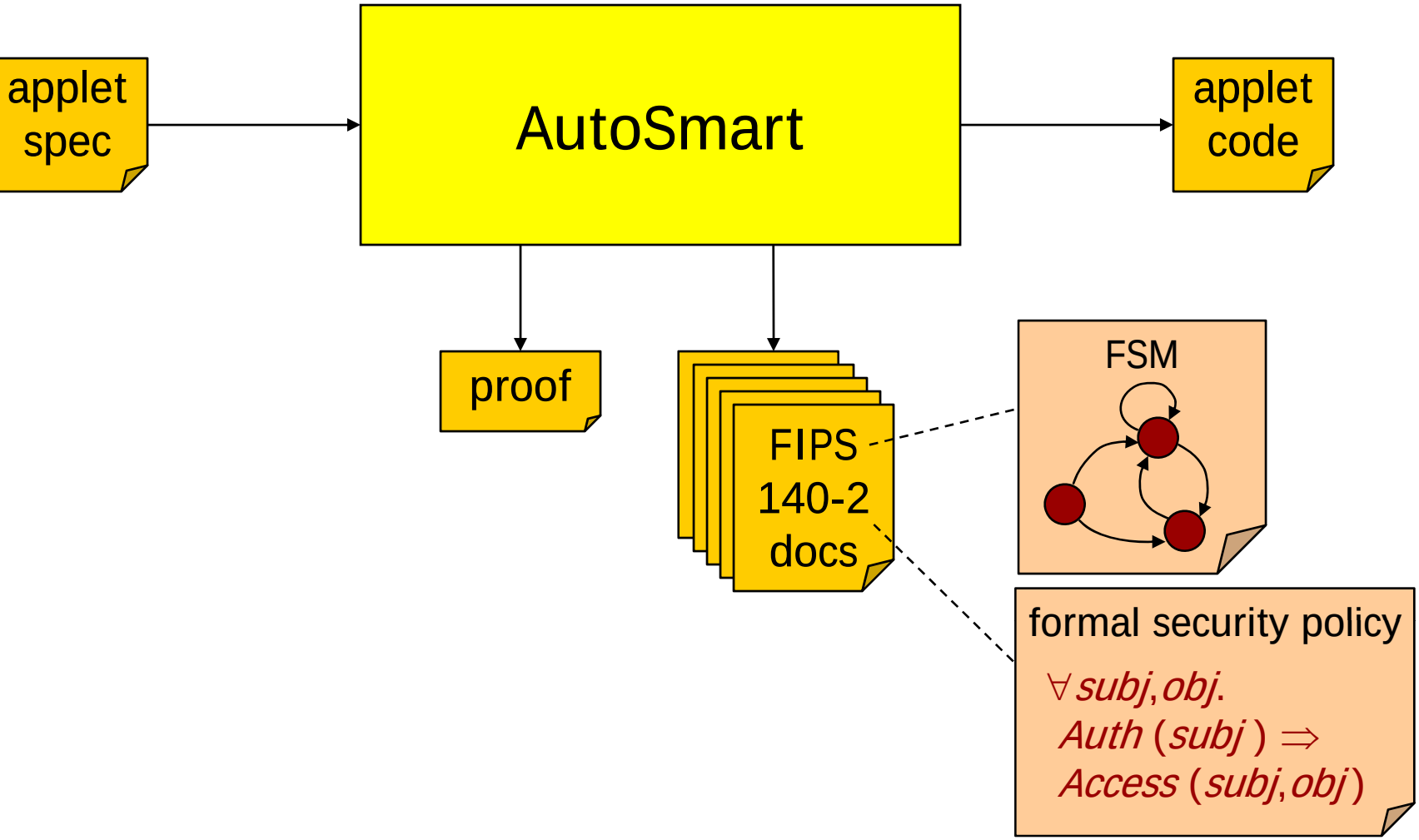


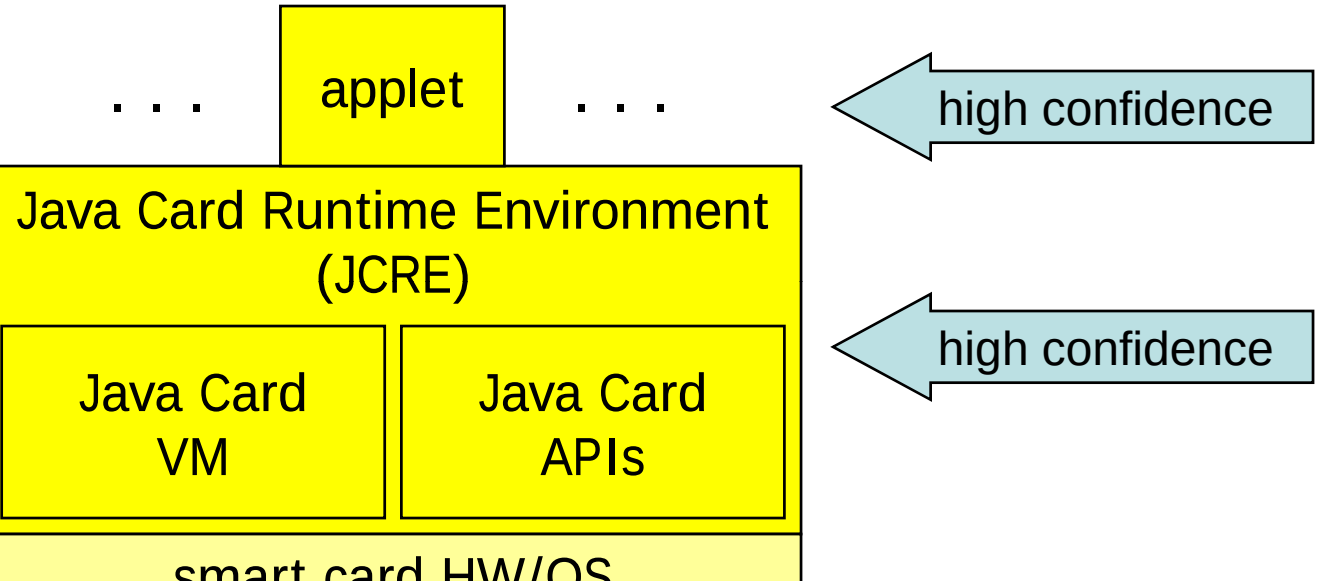
S 140-2 =
ederal standard for
ryptographic modules

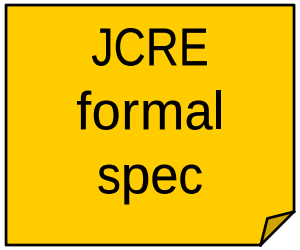


pecifies docs required for

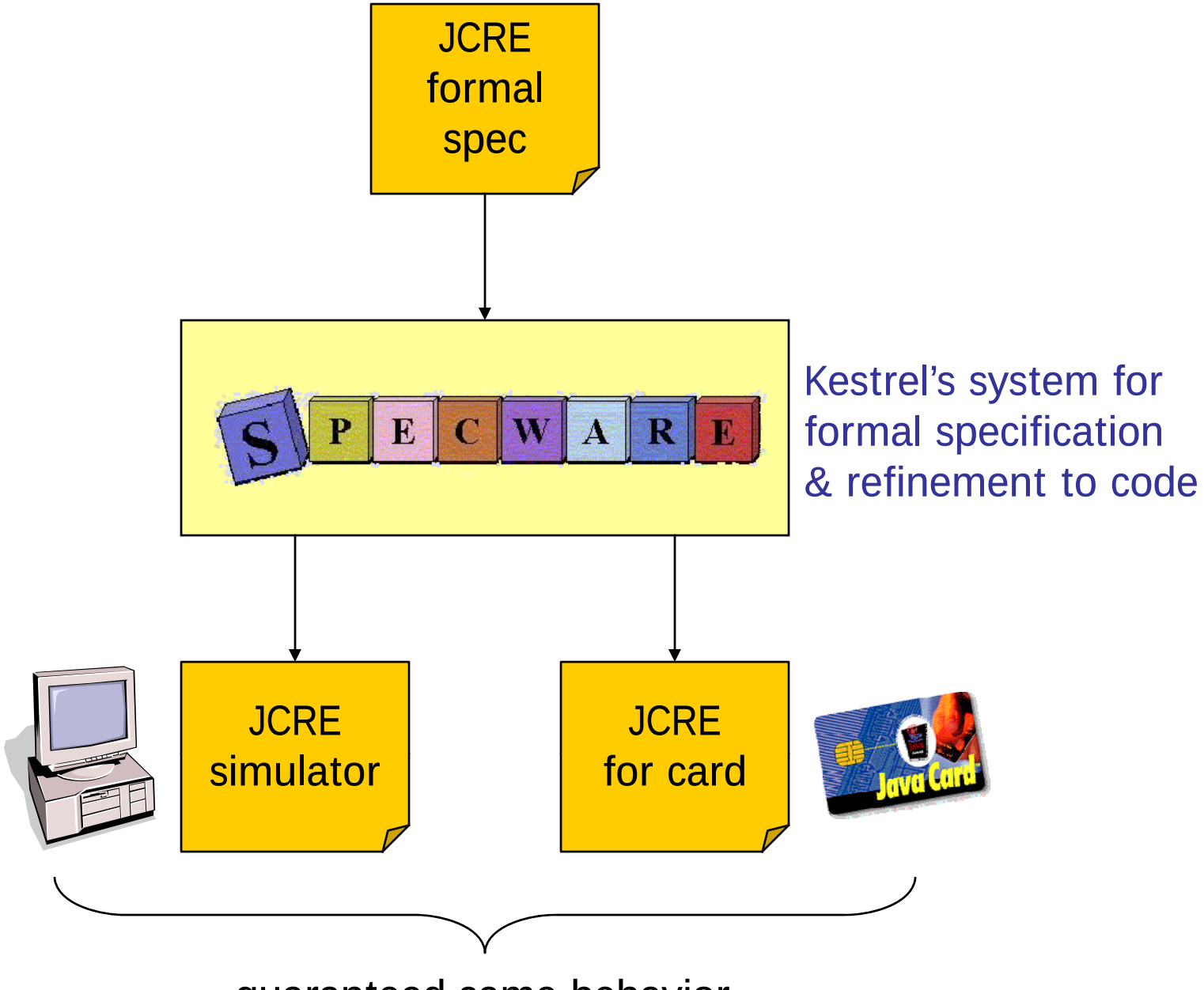
independent certification




. . .
applet
. . .
high confidence
Java Card Runtime Environment (JCRE)
Java Card VM
Java Card APIs
high confidence

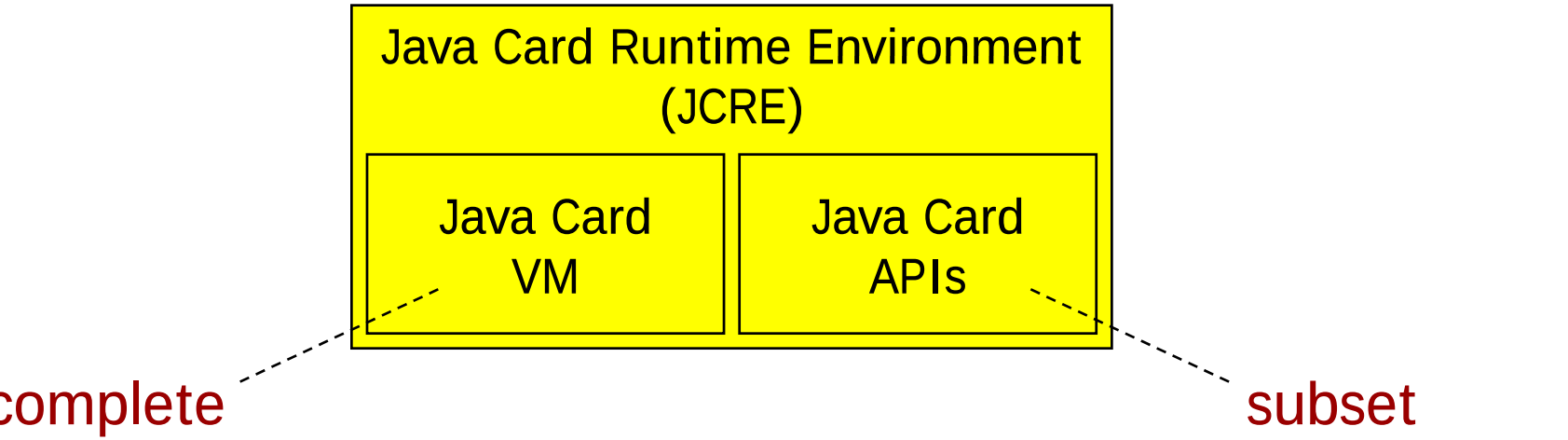


JCRE


JCRE
formal
spec
SPECWARE
Kestrel's system for
formal specification
& refinement to code
JCRE
simulator
JCRE
for card
Java Card
guaranteed same behavior

JCRE formal spec

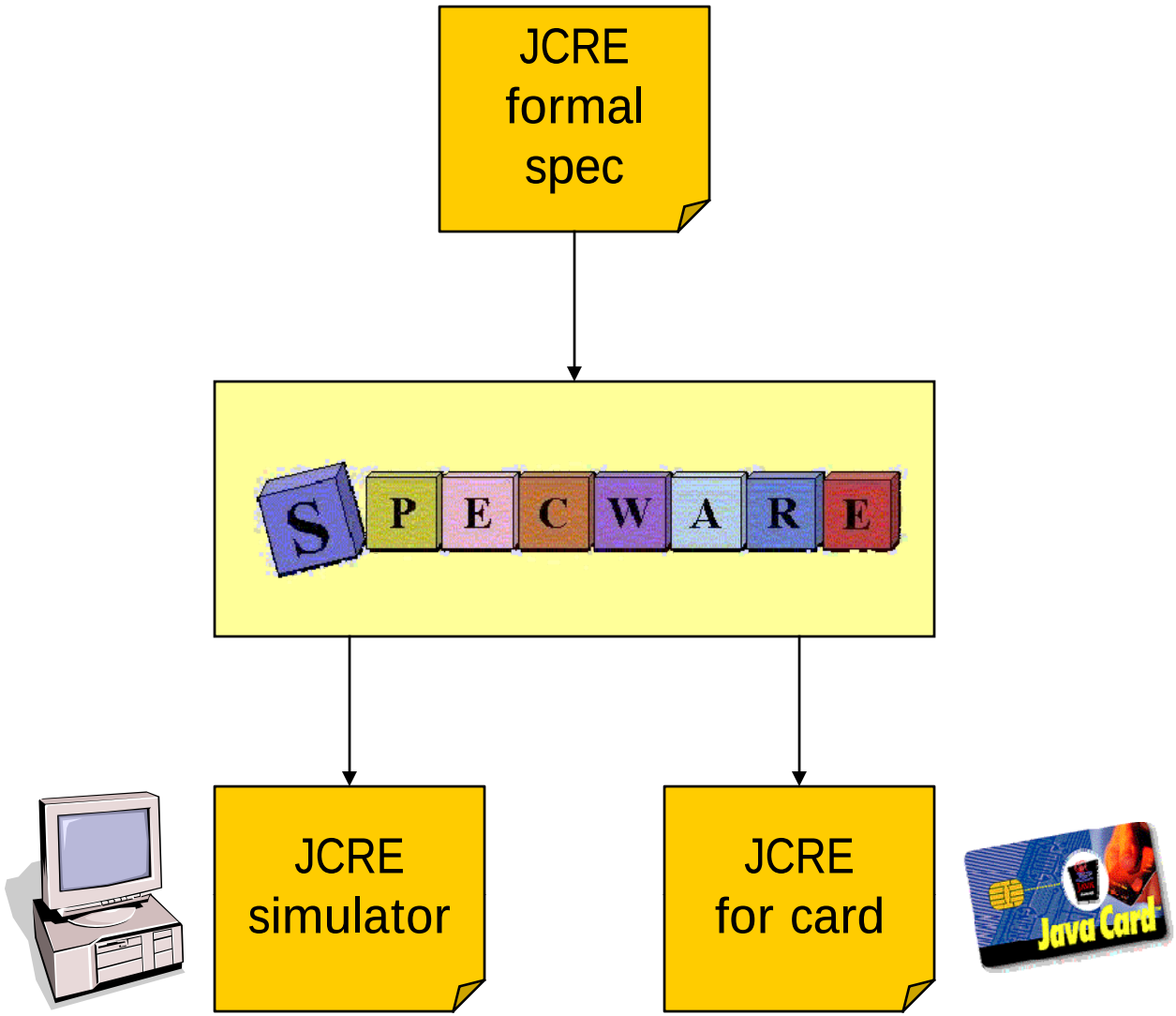
JCRE formal spec



small but sufficient
to “run” some applets
(I/O & other basics)

size: ~ 12K lines
(~ 50% comments)

JCRE formal spec


JCRE
formal
spec
SPECWARE
JCRE
simulator
JCRE
for card
Java Card

JCRE simulator

JCRE
simulator

JCRE simulator

① refinement of formal spec

~ 8K lines

② automatic code generation from refined spec

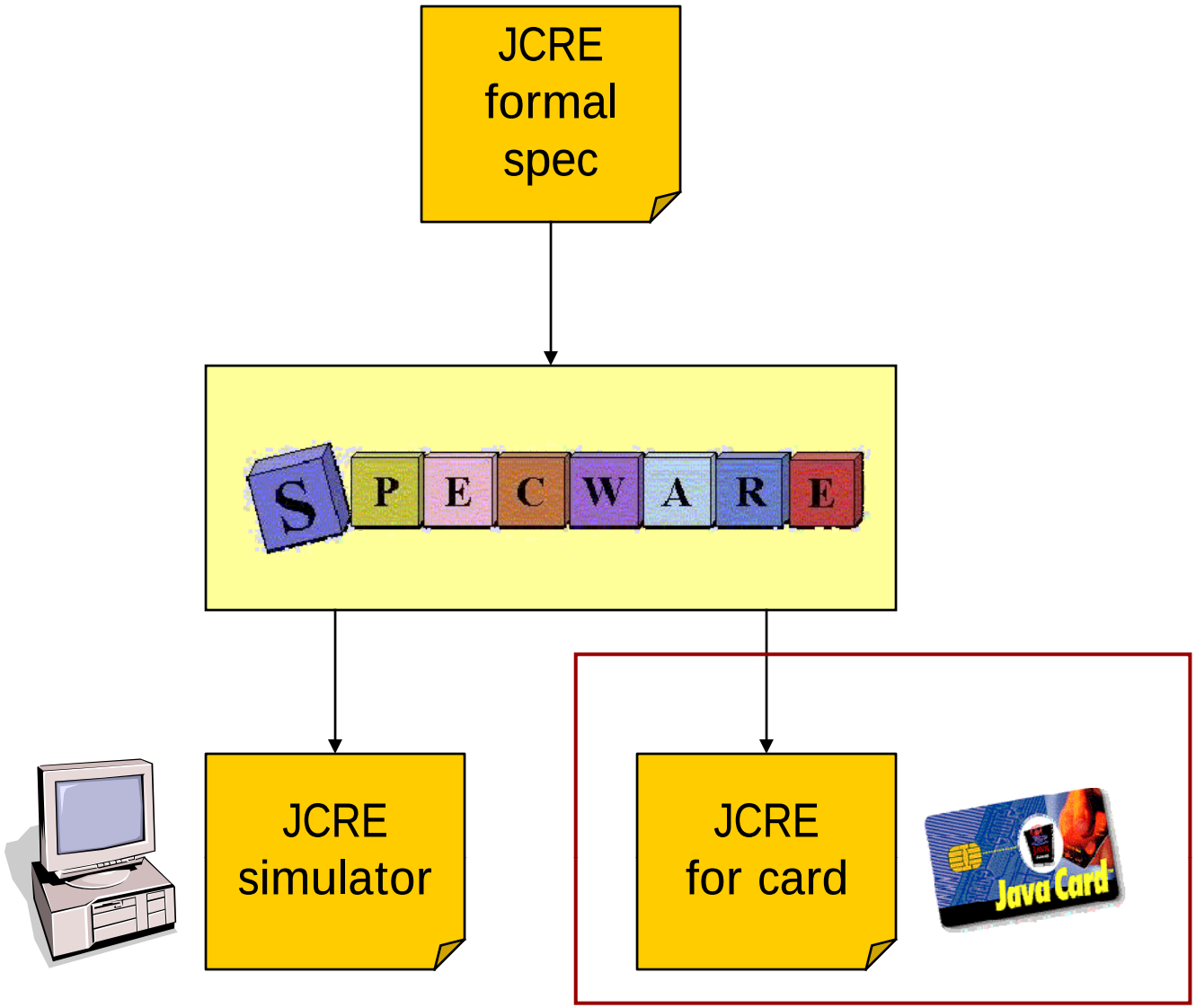
~ 35K lines

can be optimized via further refinement

successfully tested on a few applets

JCRE simulator

JCRE
simulator



just started...

recap

- Java Card
- AutoSmart
- SmartSlang example
- generated Java Card code
- proof generation & checking
- JCRE formal spec
- refinement to JCRE simulator