## DEFENCE





#### Secure Software from Design to Binary

Martin Salois & Robert Charpentier



R et D pour la défense Canada

Defence R&D Canada





#### Plan

- DRDC Valcartier
- The MaliCOTS Project
  - Software Certification Techniques
    - Static Analysis of Code
    - Dynamic Monitoring
    - Certified Compilation

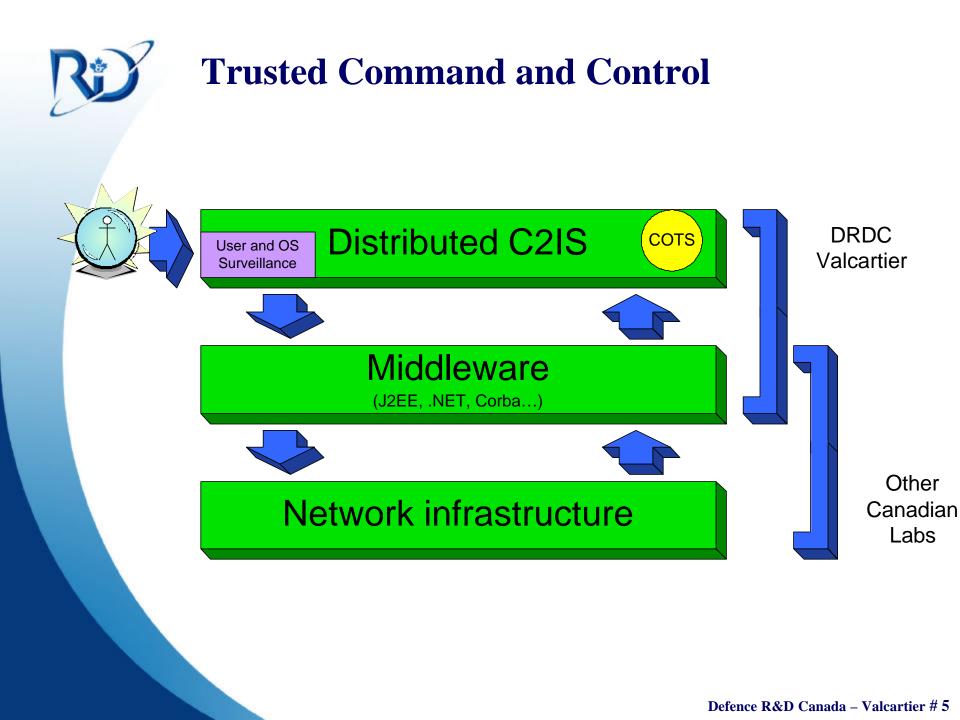
#### • The SOCLe Project

- Formalized UML/OCL
- Other Projects
  - Unified Security Policies
  - Certified ASN.1
  - Software Visualization
  - Java Hybrid Analysis
- Summary and Perspectives



#### **Defence Research & Development Canada Valcartier**

- Québec City
  - ~360 employees
  - Next to a military base (Land Forces)
- 3 main areas
  - Optronic Systems
  - Combat Systems
  - Information Systems
    - System of Systems
    - Decision Support Systems
    - Information and Knowledge Management
      - Trusted Command and Control





Defence R&D Canada – Valcartier # 6



### Motivation

COTS packages provide a huge amount of functionality at a low cost

Difficulty in developing everything locally

Advent and rising popularity of network and mobile code

COTS software can have embedded

security risks

Software tools to certify COTS

w.r.t. a security policy

**Defence R&D Canada – Valcartier #7** 

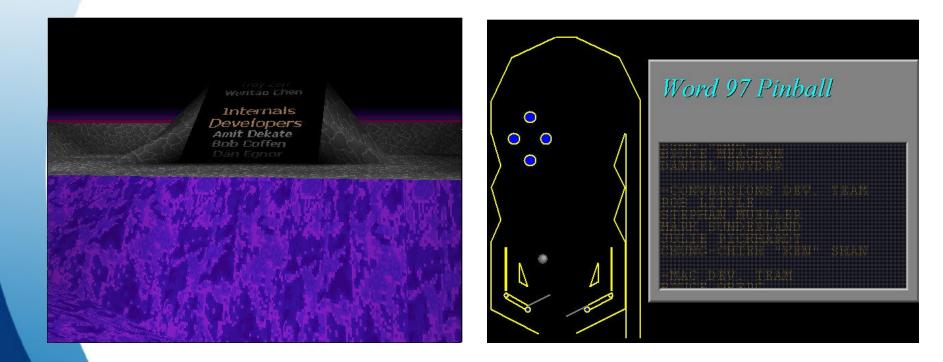


## **Prominent Concerns with COTS Software**

- Trap-doors
  - ex: Lotus Notes, Windows NT & '98 for MS administrators & NSA
- Software expiration logic
  - ex: COTS software encrypts the hard disk after expiration of the license
- Hidden communications
  - ex: CD player sends "your listening preferences" to a distributor periodically
- Management of temporary files during and after execution
- Undesired functionalities
  - ex: EXCEL'97 Flight Simulator, Word'97 Pinball Machine

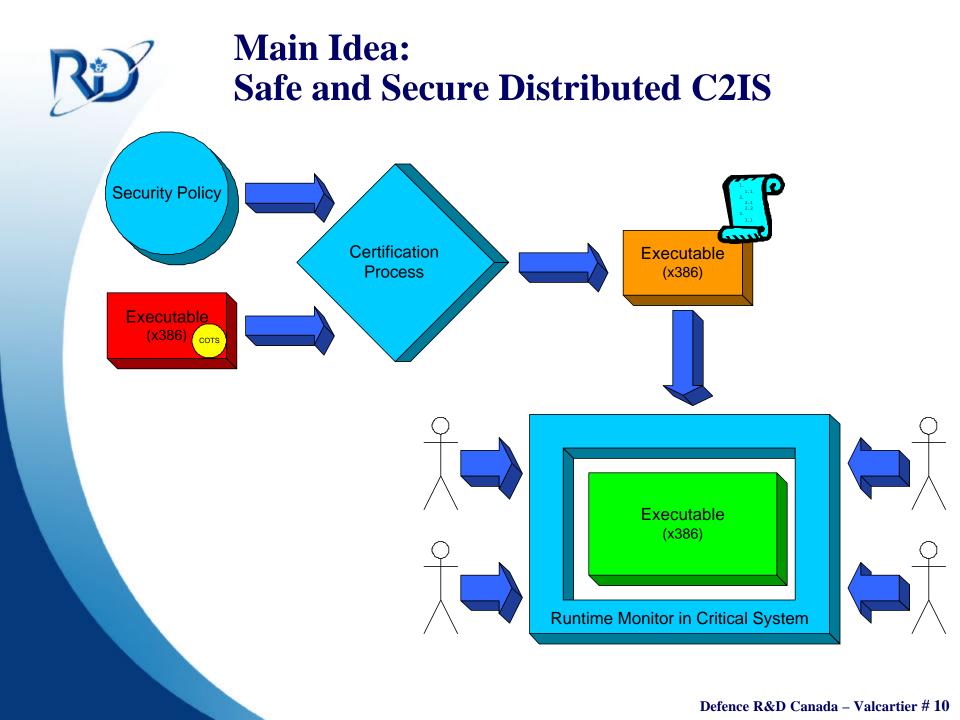


## Word '97 A Pinball Machine ?



Ref: http://www.eeggs.com/tree/1-1.html

Defence R&D Canada – Valcartier # 9



# MaliCOTS Project: Malicious Commercial-Off-The-Shelf

- Objective:
  - Detect and prevent malicious code in critical systems
- 4 sub-projects in parallel (1997-2001)
- Highly motivated and competent team
  - Partnership DRDC / Université Laval
  - 12 graduate students + 4 Professors + 2 DRDC Scientists
- Financing
  - Technological Investment Fund (TIF) (National Defence)
  - NSERC (Industry Canada)
  - FCAR (Research, Science and Technology Québec)



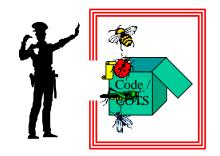
- Static Analysis of Code
- Dynamic Monitoring
- Certifying Compiler Technology (C and Java)

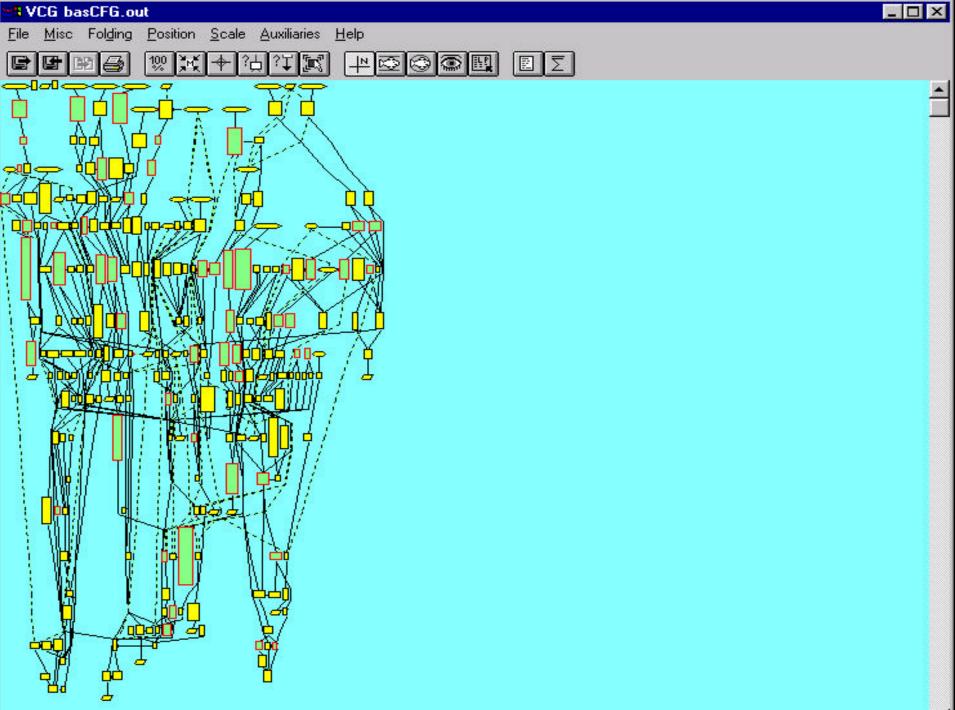


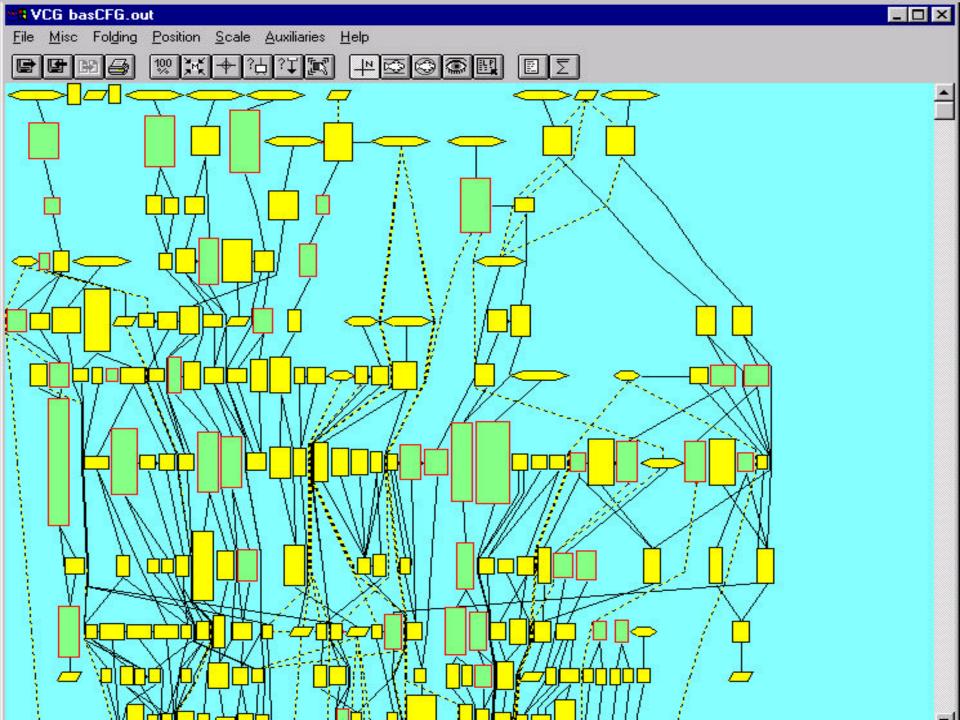
- Static Analysis of Code
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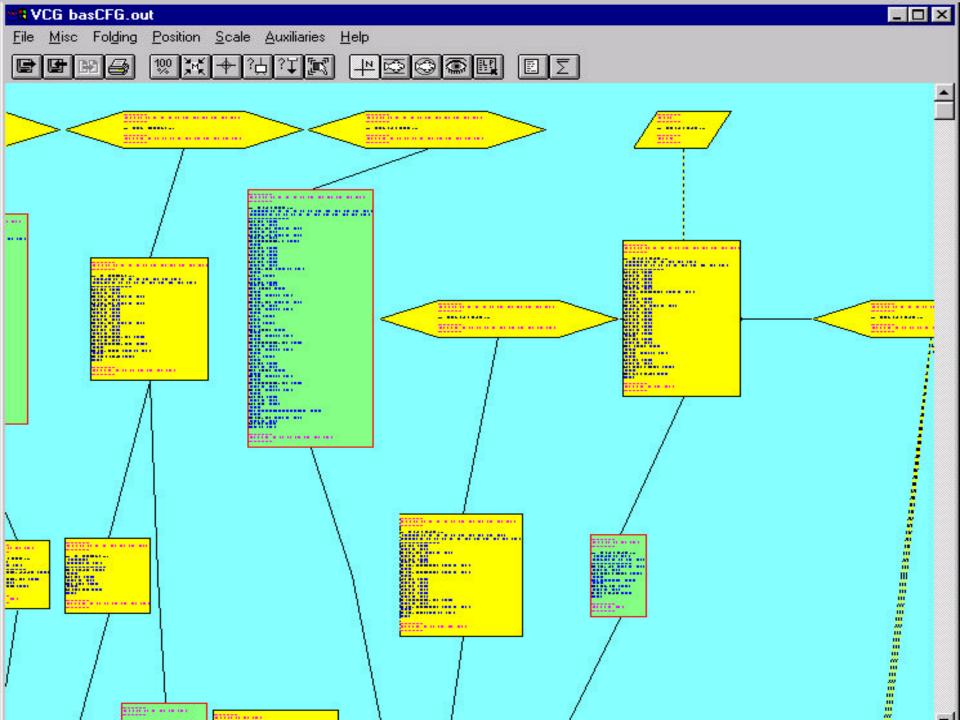


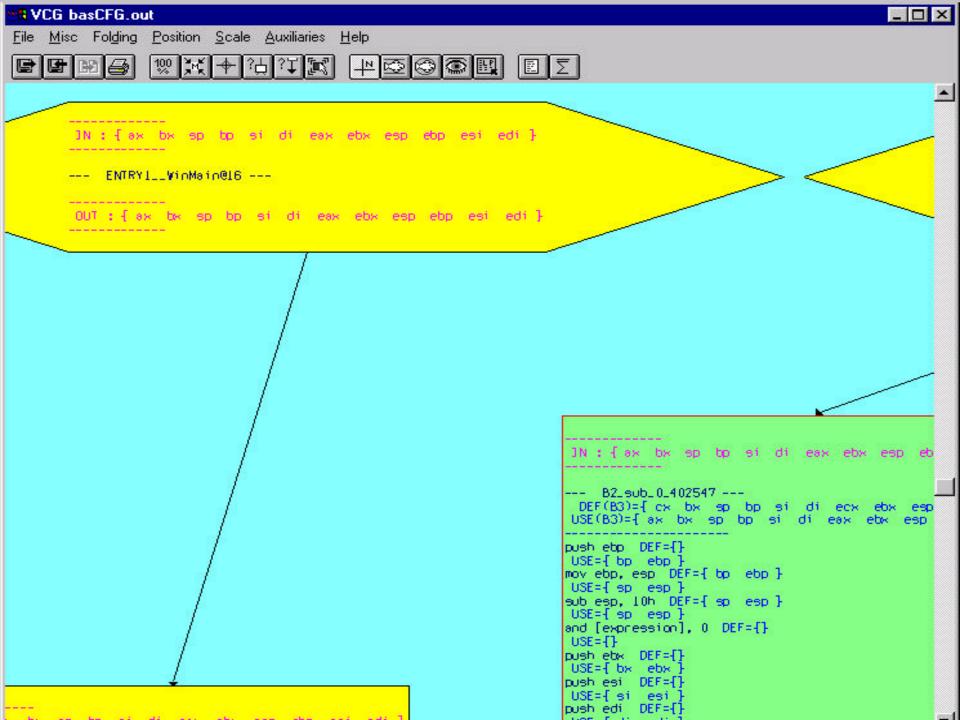
- Program is not running
- Based on
  - Control flow analysis
  - Typed-based analysis

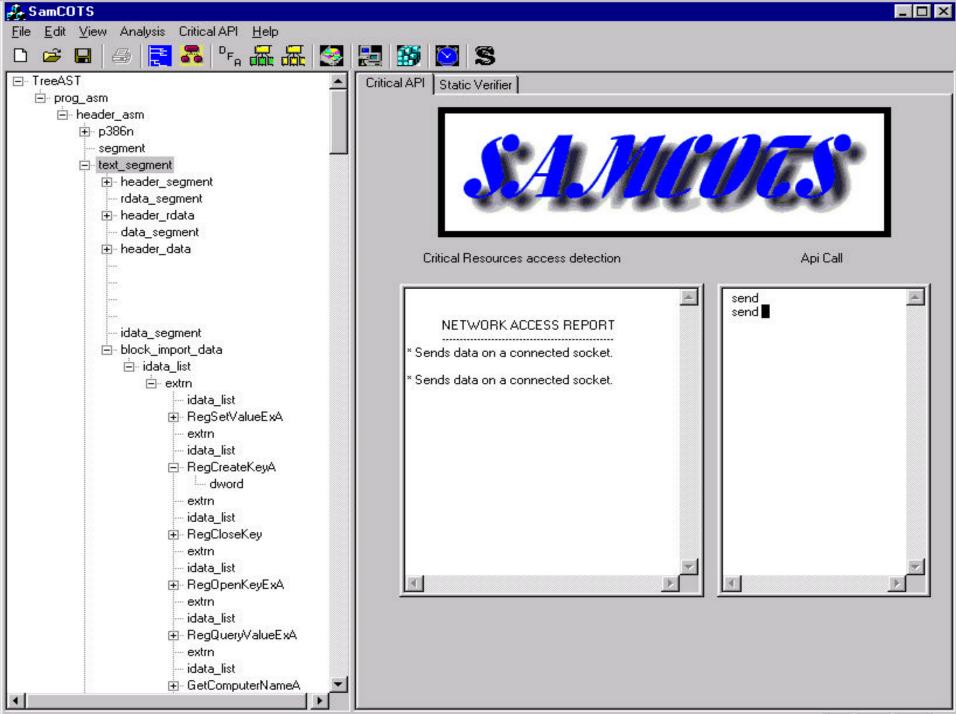


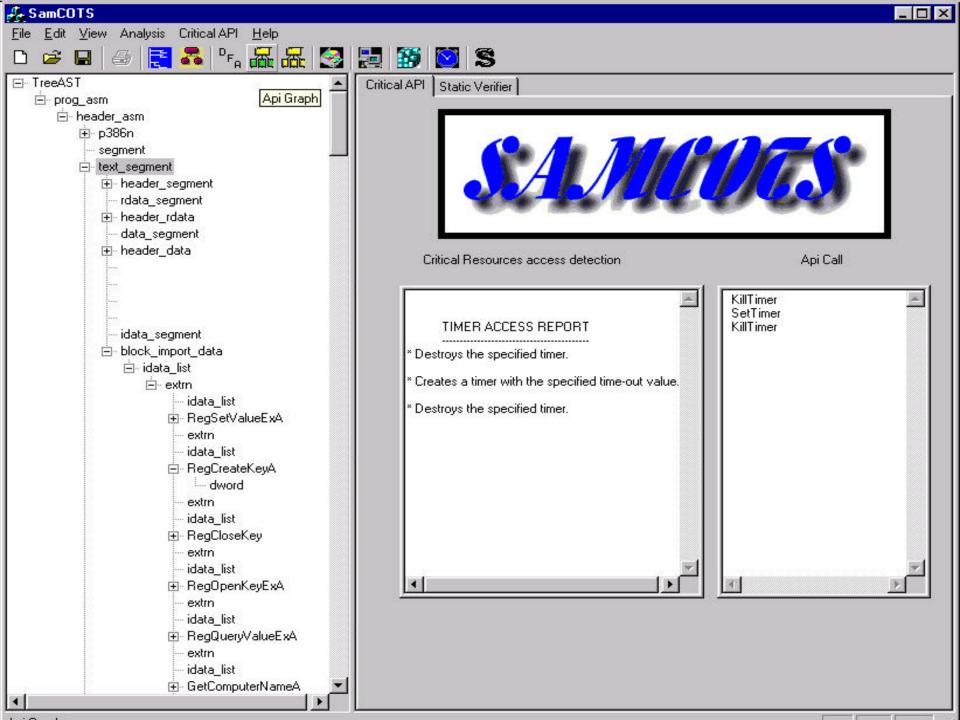


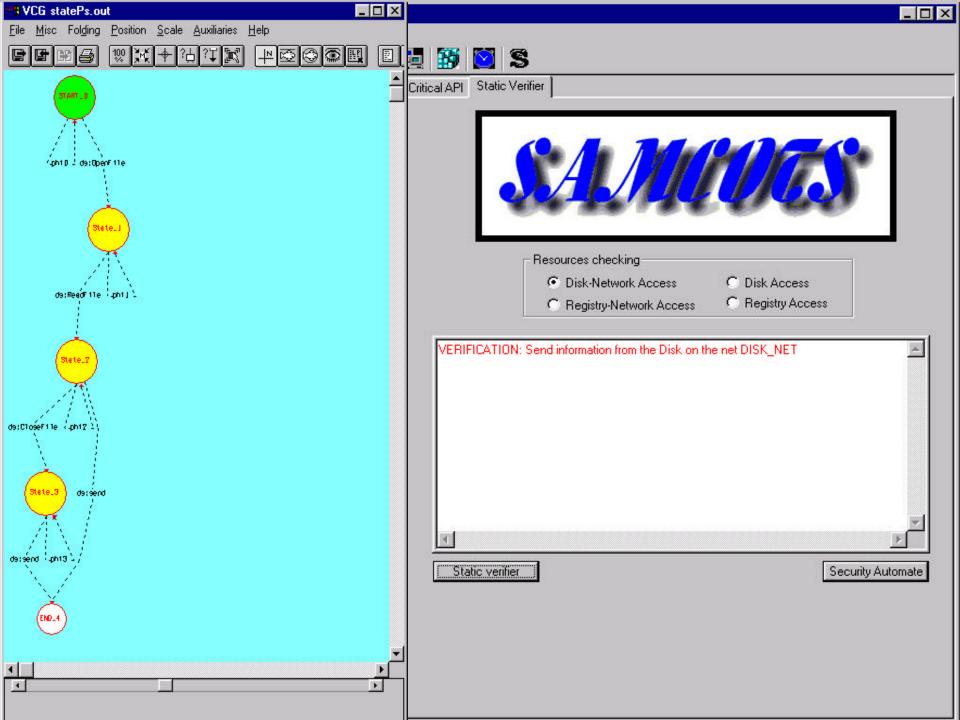














- PROS:
  - No need to run the program
  - Analysis of program behaviour over all possible execution paths
  - Analyze once, execute everywhere
- CONS:
  - Undecidability of many interesting properties
  - Hard on binary executables
  - Illegal on most COTS software

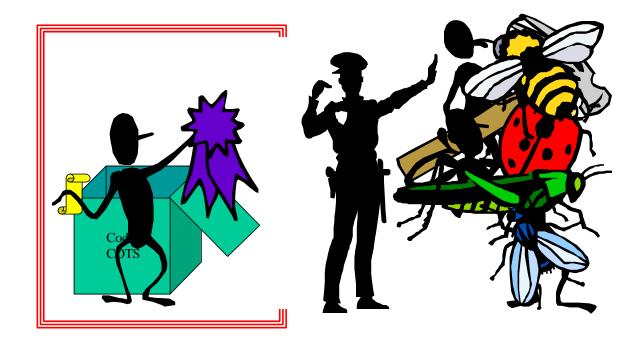


- Static Analysis of Code
- Dynamic Monitoring
- Certifying Compiler Technology (C and Java)



Monitoring

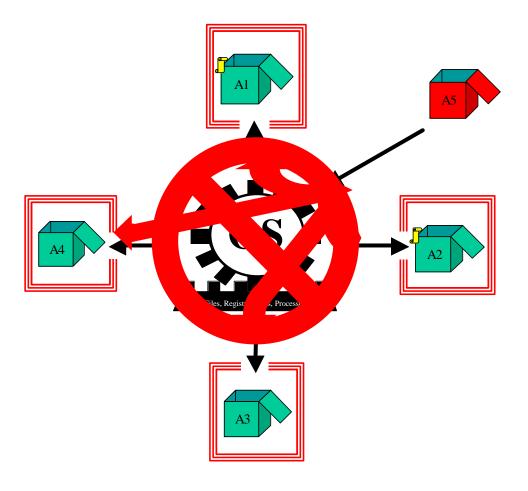
#### Surveillance of software at runtime (wrapping)



# RD.

## **Traditional Wrapping**

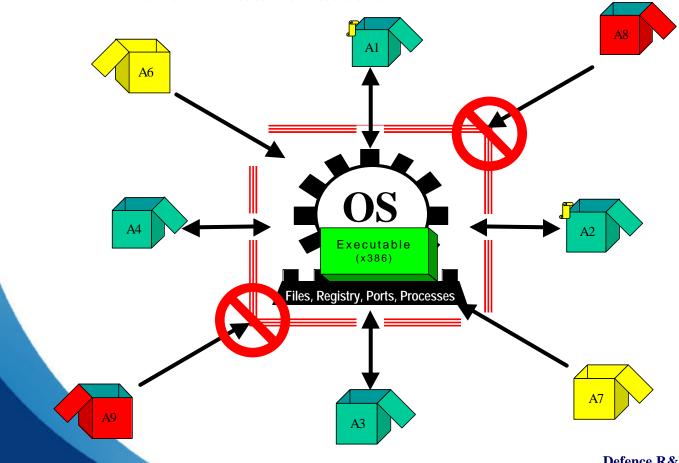
- Typically, each critical application is wrapped
- This does not work because the OS and other applications are left defenceless against malicious applications





## Wrapping the OS

- Wrapping critical resources:
  - Critical resources: Files, Registry, Ports, Processes
- To:
  - Let certified and benign applications in
  - Prevent unwanted access

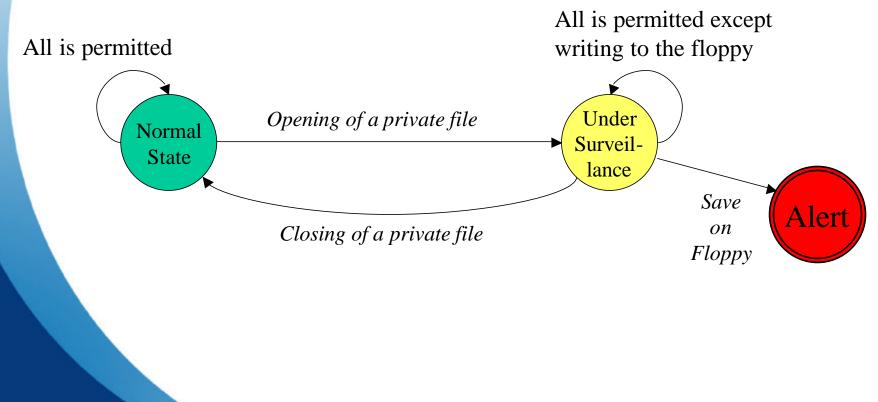






#### For example

– If a private file is opened, writing to the floppy is forbidden



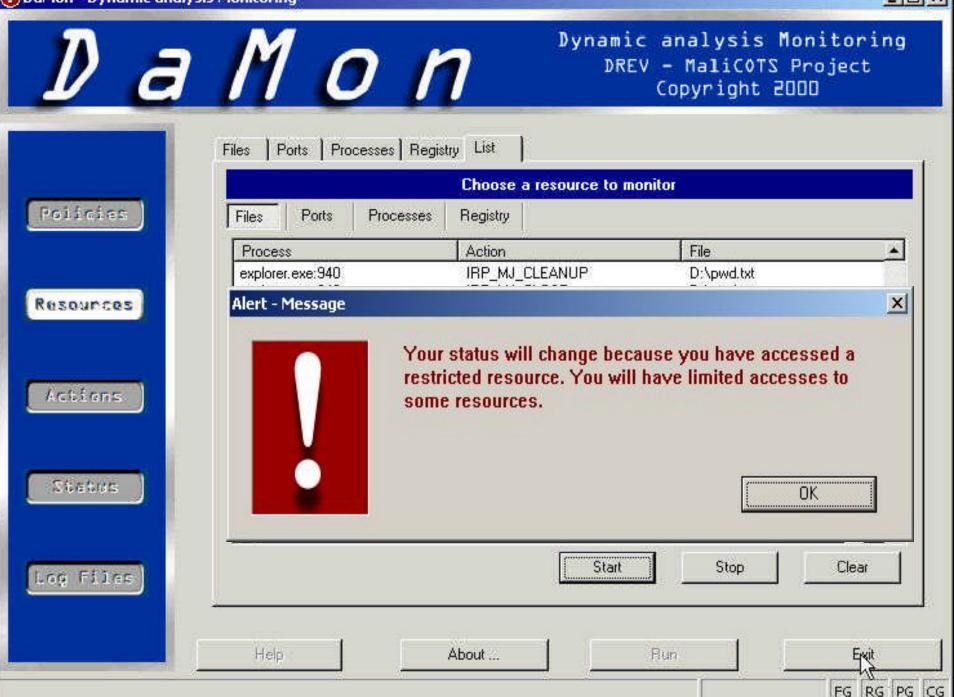
DaMon - Dynamic analysis Monitoring



Da	Mon	Dynamic analysis N DREV - MaliCOTS Copyright 2	Project
Policies Resources Actions Status	1	- 1 1	Update
	Help Reset	Run	Exit

2 DaMon - Dynamic analysis Monitoring



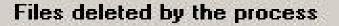


#### DaMon - Summary



Files created by the process

C:\TMP\~DFA96D.TMP C:\TMP\~DFA96D.TMP C:\TMP\~DFB14C.TMP C:\TMP\~DFB167.TMP C:\TMP\~DFB167.TMP C:\TMP\MSOCLIP1\01 C:\TMP\MSOCLIP1\01 C:\TMP\MSOCLIP1\01 C:\TMP\~WRD0003.TMP C:\TMP\~WRD0003.TMP C:\TMP\~WRD0005.TMP C:\TMP\~WRD0005.TMP C:\TMP\~WRD0004.DOC C:\TMP\~WRD0004.DOC



X

C:\TMP\~DFA96D.TMP C:\TMP\~DFAB4A.TMP C:\TMP\~DFB14C.TMP C:\TMP\~DFB167.TMP C:\TMP\~WRD0003.TMP C:\TMP\~WRD0002.DOC C:\TMP\~DFB2B6.TMP C:\TMP\~WRD0005.TMP C:\TMP\~WRD0004.DOC C:\TMP\~DFB343.TMP

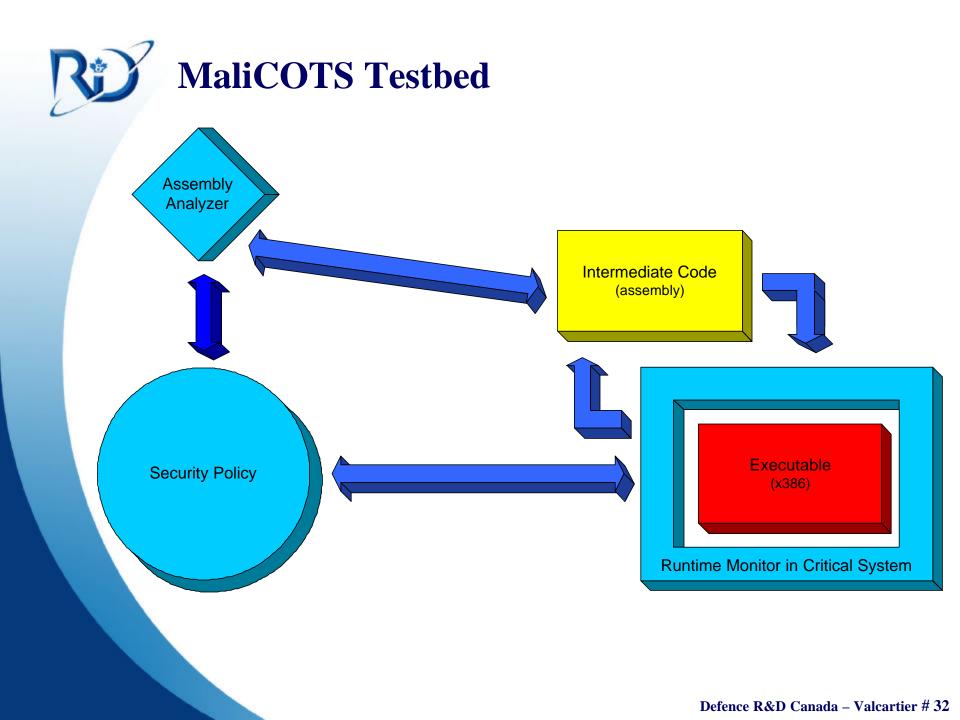




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## Monitoring at Runtime

- PROS:
  - Exploits the knowledge that can be gained by running the program
  - Ideal complement to the static analysis of code
  - Acceptable for software vendors
- CONS:
  - Significant overhead in run-time performance
  - "Infinite number" of possibilities and conditions
  - Too much information to manage





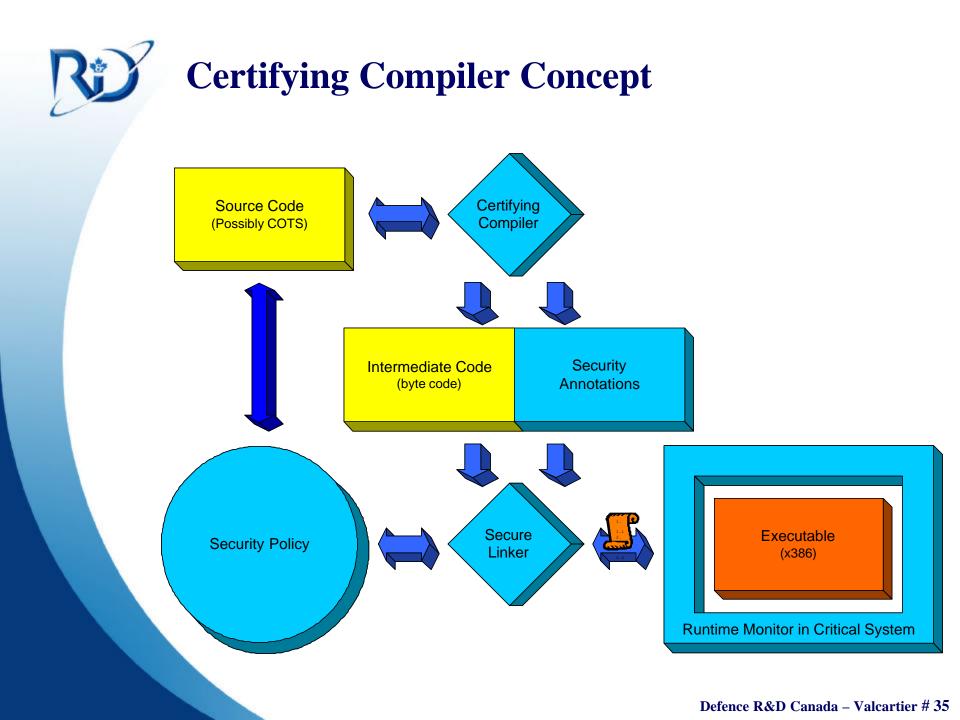
## **Increasing Complexity**

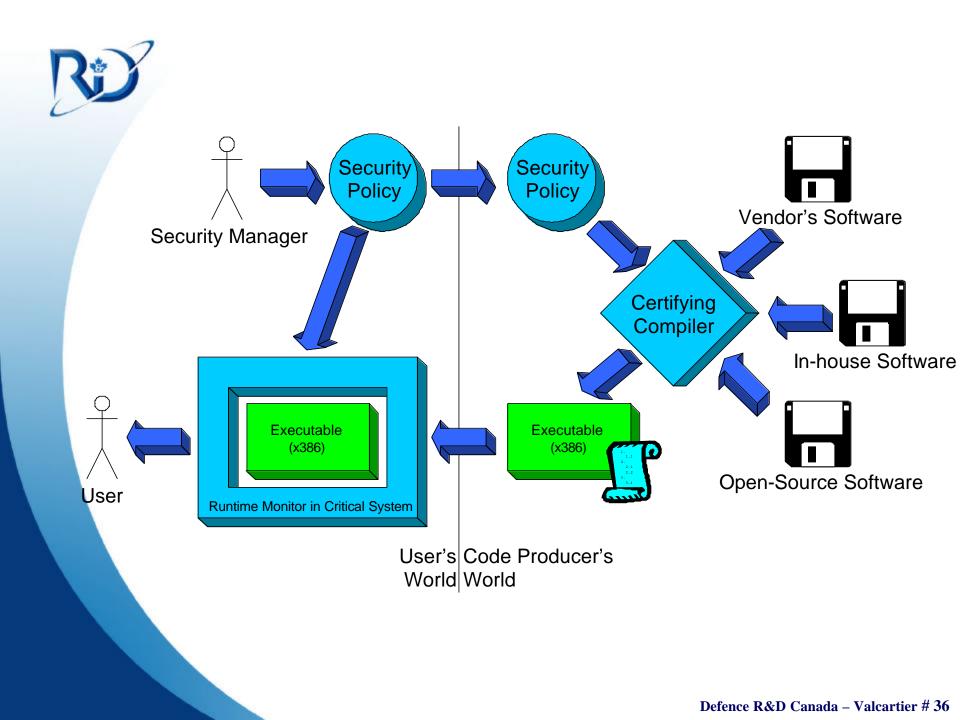
#### Lines of code

- Win 3.1 1992 *3 million*
- Win NT 1992 *4 million*
- Win 95 1995 15 million
- Win NT 4 1996 *16.5 million*
- Win 98 1998 18 million
- Win 2000 2000 40-60 million
- Ref: M. Sues, M. Gingras, *Secure Programming and Development Practices*, Cinnabar Networks, CITSS Symposium, June 2001



- Static Analysis of Code
- Dynamic Monitoring
- Certifying Compiler Technology (C and Java)





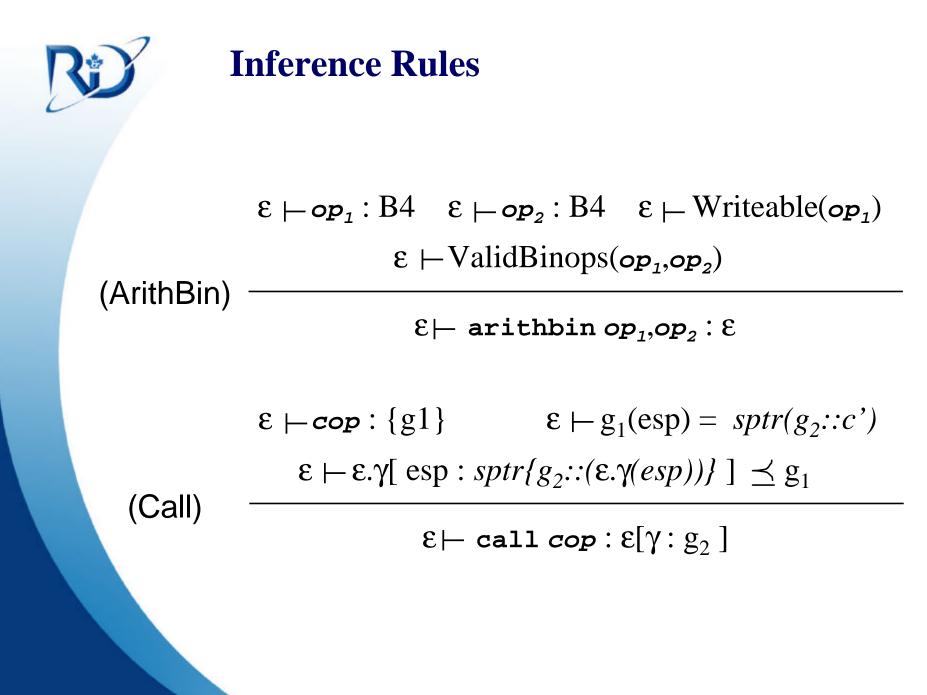
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🐥 TalccDemo - [BackDoors.c]
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                                                                                                          •
 #include <stdio.h>
 #include <string.h>
 extern int checkPassword(char*); // Valide un mot de passe
 extern int readPassword(char*); // Saisit un mot de passe
 int validateIdentity()
       char password[20];
       int actionChoice = 0, oldAC;
       printf( "Entrer votre mot de passe: " );
       readPassword( password );
       if ( password != NULL )
             checkPassword( password );
       else
             checkPassword( password );
       printf ( "Vous êtes autorisé à entrer dans le système! " );
 boucle:
       scanf( "Entrer le code d'opération souhaité: %d", &actionChoice );
       if( actionChoice == 1 )
             printf( "Entrer un nouveau mot de passe: " );
             readPassword( password );
             oldAC = actionChoice:
             if ( password == NULL ) goto 1;
             checkPassword( password );
             printf( "Opération en cours ..." );
             aoto 11;
                                                                               Ln 43, Col 1
Ready
```

```
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👫 TalccDemo - [BackDoors]
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                                                                                                        - 8 ×
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                                                                                                            •
       TAL INCLUDE BackDoors.type
 _TEXT segment
 _validateIdentity:
 push ebx
 push esi
 push edi
 enter 28,0
 L20:
 mov dword ptr (-4)[ebp],0
 lea edi,(L2)
 push edi
 CALL C 4, printf, < '22$, -28, '23$ >
 lea edi, (-24) [ebp]
 push edi
 CALL_C 4, _readPassword, < `24$, -28 >
 lea edi, (-24) [ebp]
 cmp edi,0
 je tapp(L3, < s1, n1 > )
 lea edi, (-24) [ebp]
 push edi
CALL_C 4, checkPassword, < `25$, -28 >
 jmp tapp( L4 < s1, n1 > )
 L3:
 lea edi, (-29) [ebp]
 push edi
 CALL C 4, checkPassword, < `26$, -28 >
 L4:
 lea edi, (L5)
 push edi
 CALL_C 4, _printf, < `27$, -28, `28$ >
 L6:
 lea edi, (-4) [ebp]
 push edi
 lea edi, (L7)
 push edi
 CALL C 8, scanf, < `29$, -28, `30$ >
 cmp dword ptr (-4)[ebp],1
                                                                                                             -
ine tapp( L8, < s1, n1 > )
                                                                                  Ln 1, Col 1
Ready
```

TalccDemo - [BackDoors.type]	×
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TYPPrint < 27\$ : Ts = B4^u::(array(S(20), B1))^u::B4^rw::B4^x::B4^x::B4^x::C4^x:({ESP: sptr[S	:(▲
TYPE < 28\$ : Ts = se >	
TYPE < 29\$ : Ts = B4 <sup>4</sup> u::(array(S(20), B1)) <sup>4</sup> u::B4 <sup>4</sup> rw::B4 <sup>4</sup> x::B4 <sup>4</sup> x::B4 <sup>4</sup> x::({ ESP: sptr[S	(
TYPE < 30\$ : Ts = (ptr B4)::(se) > TYPE < 31\$ : Ts = B4 <sup>^</sup> u::(array(S(20), B1)) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x::B4 <sup>^</sup> x::B4 <sup>^</sup> x::({ ESP: sptr[S	<u>a</u>
TYPE $< 32$ \$ : Ts = se >	200
TYPE < 33\$ : Ts = B4 <sup>^</sup> u::(array(S(20), B1)) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x::B4 <sup>^</sup> x::B4 <sup>^</sup> x::C4 <sup>^</sup> x::({ESP: sptr[S	8
TYPE < 34\$ : Ts = B4 <sup>^</sup> rw::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x::B4 <sup>^</sup> x::B4 <sup>^</sup> x::C4 <sup>*</sup> x::({ ESP: sptr[	
TYPE < 35\$ : Ts = B4 <sup>^</sup> rw::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x::B4 <sup>^</sup> x::B4 <sup>^</sup> x::({ ESP: sptr[	S
TYPE < 36\$ : Ts = se >	2 200
TYPE < 37\$ : Ts = B4 <sup>^</sup> rw::(array(S(20), B1)) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x::B4 <sup>^</sup> x::B4 <sup>^</sup> x::C4 <sup>*</sup> ESP: sptr[	ន
TYPE < 38\$ : Ts = se > TYPE < 39\$ : Ts = B4 <sup>^</sup> rw::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x::B4 <sup>^</sup> x::B4 <sup>^</sup> x::({ ESP: sptr[	<u>_</u>
TYPE $< 40$ \$ : Ts = se >	ĩ
TYPE < iobuf\$ : Tm32 = *[ (ptr B1) <sup>rw</sup> , B4 <sup>rw</sup> , (ptr B1) <sup>rw</sup> , B4 <sup>rw</sup> ,	
TYPE < fpos_t\$ : Tm8 = *[ B4 <sup>^</sup> rw , B4 <sup>^</sup> rw ] >	6 - E
VAL L1,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] junk 28 <sup>x</sup> ::B4 <sup>x</sup> :B4 <sup>x</sup> ::B4	
VAL L10,< ptr (*[ array( S(33) , B1 ) ]) >	
VAL L11,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4 <sup>^</sup> rw::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4	
VAL L13,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(O)] B4 <sup>^</sup> rw::(array( S(2O) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 VAL L14,< ptr (*[ array( S(23) , B1 ) ]) >	ි
VAL L14,< ptr ("[ alray( 5(25) , B1 ) ]) > VAL L15,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4 <sup>^</sup> rw::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4	X I
VAL L16,< ptr (*[ array( S(21) , B1 ) ]) >	
VAL L17,< ptr (*[ array( S(18) , B1 ) ]) >	
VAL L18,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4 <sup>^</sup> u::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup>	x
VAL L2,< ptr (*[ array( S(28) , B1 ) ]) >	
VAL L20,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4 <sup>^</sup> u::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> u::B4 <sup>^</sup> x	
VAL         b21,< A11[ S1. TS , n1. Sinc ].( ESF. spcr[S(0)] D1'u(array( S(20) , D1 ))'uD1'rwD1'           VAL         L3,< A11[ S1: TS , n1: Sint ].( ESP: sptr[S(0)] B4^u::(array( S(20) , B1 ))	^
^u::B4^rw::B4^x::B4^x::B4^x::B4^x::C{ ESP: sptr[S(0)] s1 , EBP: sptr[S(n1)] s1 ,	
EAX: B4 })^x::s1 , EBP: sptr[S(-28)] B4^u::(array( S(20) , B1 ))	
^u::B4^rw::B4^x::B4^x::B4^x::B4^x::({ ESP: sptr[S(0)] s1 , EBP: sptr[S(n1)] s1 ,	
EAX: B4 })^x::s1 } >	
VAL D4, < All[ Si. TS , ni. Sinc []. (ESF. Sper[S(O)] D4'a(array( S(20) , D1 ))'aD4'rwD4'x	
VAL L5,< ptr (*[ array( S(46) , B1 ) ]) > VAL L6,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4^u::(array( S(20) , B1 ))^u::B4^rw::B4^x	
VAL L6,< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4 <sup>^</sup> u::(array( S(20) , B1 )) <sup>^</sup> u::B4 <sup>^</sup> rw::B4 <sup>^</sup> x VAL L7,< ptr (*[ array( S(40) , B1 ) ]) >	·•
VAL L8.< All[ s1: Ts , n1: Sint ].{ ESP: sptr[S(0)] B4^u::(arrav( S(20) , B1 ))^u::B4^rw::B4^x	
	>
Print the active document	

Print the active document

Ln 39, Col 34



Defence R&D Canada – Valcartier # 40



# **Safety Properties**

- Control flow safety
  - Programs cannot jump to code that has not been verified
  - Stack preservation
- Memory safety
  - Access to initialized memory locations
  - Array bounds check
- *Type safety* 
  - Compatible type in operations
- •

#### 🔜 SPCheck

#### - 🗆 ×







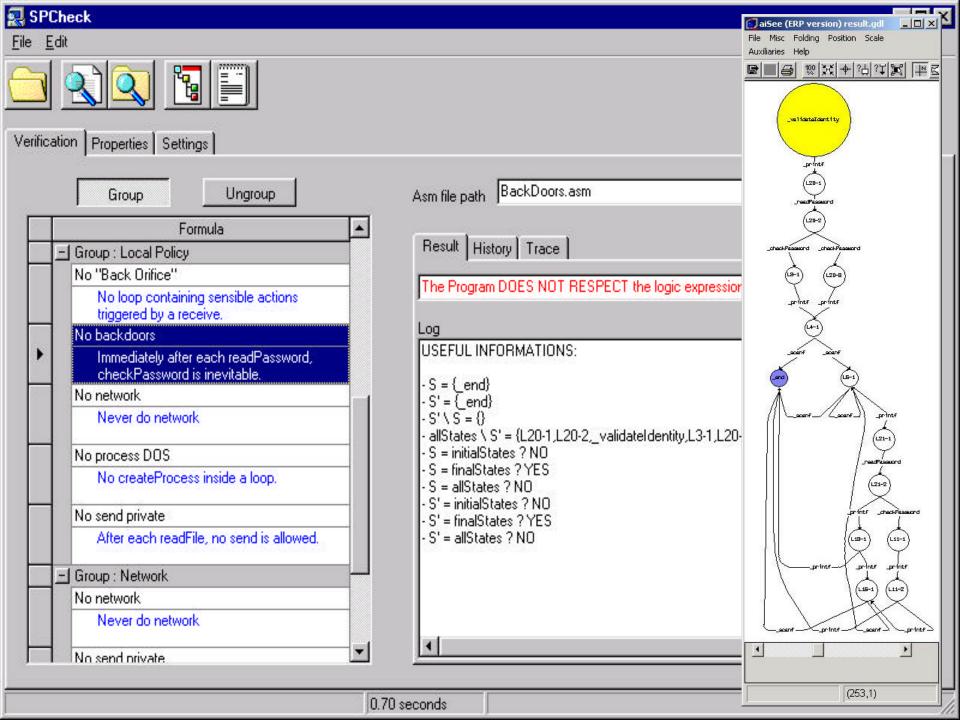


Verification Properties Settings

	Formula	-				
	- Group : Local Policy					
	No "Back Orifice"					
	No loop containing sensible actions triggered by a receive.					
	No backdoors					
	Immediately after each readPassword, checkPassword is inevitable.					
	No network					
	Never do network					
	No process DOS					
	No createProcess inside a loop.					
	No send private					
	After each readFile, no send is allowed.					
	Group : Network	-				
	No network					
	Never do network					
_	No send private	_				

	RESPECTS the logi	, expression.		
.og JSEFUL INF(	RMATIONS:			A
	20-1,L20-2,_validate es ? NO es ? NO ? YES tes ? NO es ? NO		:0-3,L4-1,L6-1,L21-2, 20-3,L4-1,L6-1,L21-2,	

0.10 seconds





# **Certifying compiler**

- PROS:
  - Large software certification → rapidly
  - Detailed and exhaustive enforcement
  - Intellectual Property is protected
  - Execution is not slowed
  - Possibility of enforcing security, maintainability, and interoperability (...) specifications
- CONS:
  - Emerging technology



- Market Surveys / States of the art
- MaliCOTS Prototypes:
  - SamCOTS
  - DaMon

- TalCC

– TalJAVA

– SPCheck

- → Static Code Analyzer
  → Runtime Monitor
- → ANSI C Certifying Compiler
- → Java Certifying Compiler
  - → Security Policy Checker
- Lots of publications
  - $\ http://www.drdc-rddc.gc.ca/researchtech/malicots/home\_e.asp$
  - Many of them on the CD





### **MaliCOTS Team**

Professors Mourad Debbabi Ph.D.

Jean Bergeron Ph.D. Jules Desharnais Ph.D. Nadia Tawbi Ph.D.

DRDC Scientists Robert Charpentier Martin Salois M.Sc. Stéphane Doyon Mourad Ehrioui Emmanuel Giasson Marc Girard Vincent Labbé Yvan Lavoie Frédéric Michaud Frédéric Painchaud Ph.D. *Myriam Fourati Lamia Ketari Béchir Ktari Emna Menif* 

Interns Sylvain Daigle Patrice Lamarche



### The MaliCOTS Project

#### A very successful Project



TechnoFed Gold Medal 2000 Partnership





Octas 2001 Future Scientist



CIPA 2001 Institutions Awards



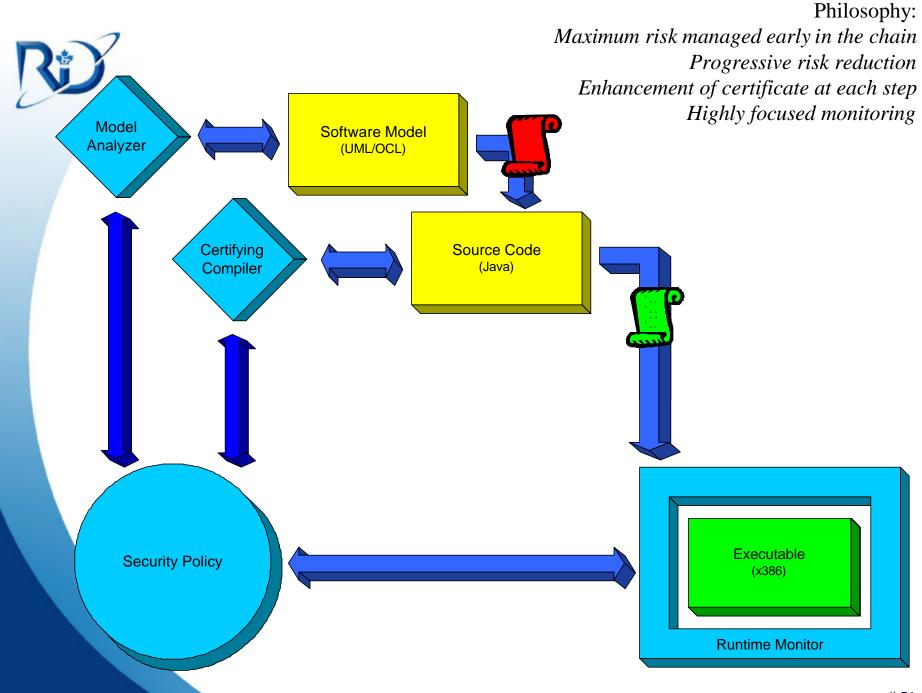
#### MaliCOTS Conclusions

- The earlier the certification starts in the software design process, the better
- Static and dynamic approaches combined in a test-bench:
  - Offer a short-term solution
  - May be a lengthy and cumbersome process
  - Realistic only for smaller programs such as embedded code



#### MaliCOTS Conclusions

- Security policy
  - This is currently the weakest link in most approaches
  - It must be clearly defined to be manageable and enforceable
    - Multiple levels of abstraction
    - Modular
    - Integration at the design level is highly desirable
- Certifying compilers:
  - Emerging technology for large software certification
     → rapidly
  - Capabilities confirmed by the MaliCOTS prototypes



Defence R&D Canada – Valcartier # 51





# **The SOCLe Project**

- SOCLe: Secure OCL expressions
  - UML/OCL is a choice of reason, not love
  - Likewise for Java (generated language)
- A UML/OCL prototype
  - Preliminary assurance of coherence/completeness for quality and security
  - Modularization of the design to manage the explosion of the state space
  - Suggest and enforce the use of secure design patterns



# **The SOCLe Project**

- Many studies show that OCL is a good tool
  - Improves quality
    - Laurendeau (1997)
    - Nurun (1999)
  - Can be used for security
    - SecureSoft OCL Expressivity (2001)
    - SecureSoft Insider Mitigation (2001)
  - UML/OCL can be formalized to a large extent
    - Polytechnique Literature Review (2002)
    - Polytechnique Model-checking OCL Constraints (2002)



### **The SOCLe Project**

- Same type of organisation as MaliCOTS
  - 3 DRDC Scientists
  - 3 Professors at l'École Polytechnique de Montréal
  - 2-3 Ph.D.,
  - 4-5 M.Sc.,
  - + interns
- 6 months feasibility study completed in 2002
- Starting a 2-4 years project



#### **Other projects...**



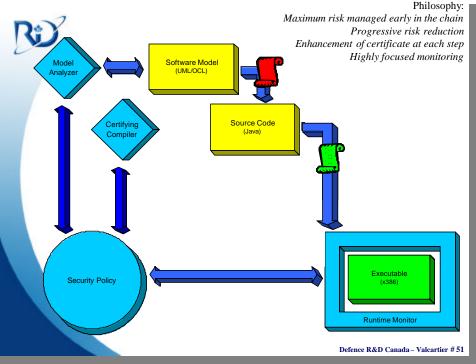
# **Unified Security Policy**

- In all projects, a security policy is needed
- Unifying all the requirements into one security policy language
  - Notes of conformity
    - What has/hasn't been certified
  - Delegation
    - From UML checker to certifying compiler to runtime monitor
  - Identify precisely what's left to verify using traditional testing method



# **Certified ASN.1**

- Following the OULU problems identified last year
- Currently in th
- 2 options (and/
  - A Java cert
    - Generat
  - Certify the completene





### **Software Visualization**

- A derivative of the knowledge gained with the static analysis prototype (SamCOTS)
- Develop a Canadian expertise
- Develop tools to help in understanding programs without the documentation (or very limited)
- State of the art completed
- Moving on to project definition



# Java Hybrid Analysis

- Derivative of the Java certifying compiler (JACC) and the dynamic monitor (DaMon) expertise
- Ph.D. subject for one of our scientists
  - Will be working with a professor and a team of M.Sc. (maybe another Ph.D.)
- Main Idea: To tightly couple the Java certifying compiler with the Java monitor
  - Compensate the weakness of one with the strength of the other



# **Summary and Perspectives**

- Expertise in
  - Static Analysis
  - Dynamic Analysis
  - Certifying Compiler (C and Java)
- Ongoing Projects (approximate timeframe...)
  - UML/OCL formal verification (2-3 years)
  - Unified Security Policies (?)
  - Certified ASN.1 (1-2 years)
  - Software Visualization (2-3 years)
  - Java Hybrid Analysis (3-5 years)
- Quite open to collaboration



#### DÉFENSE

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