



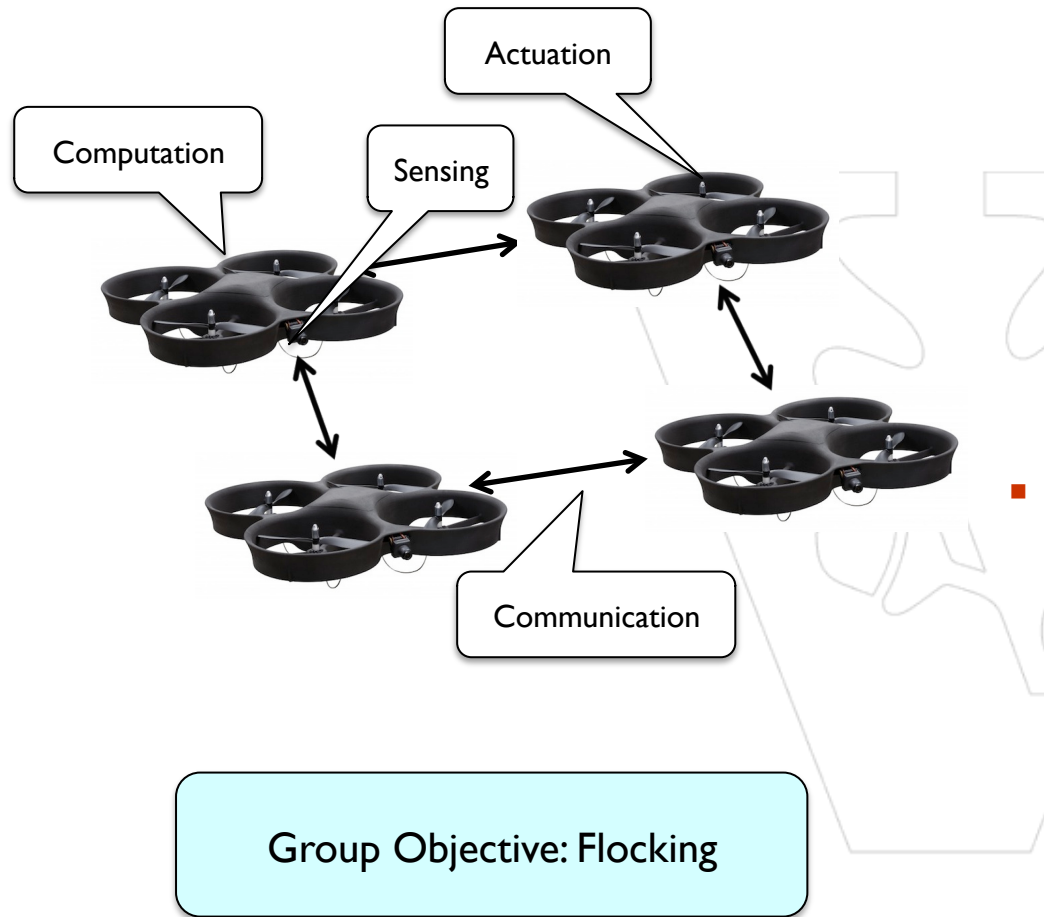
# Toward Resilient Monitoring and Control of Distributed Cyber-Physical Systems

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Sztipanovits



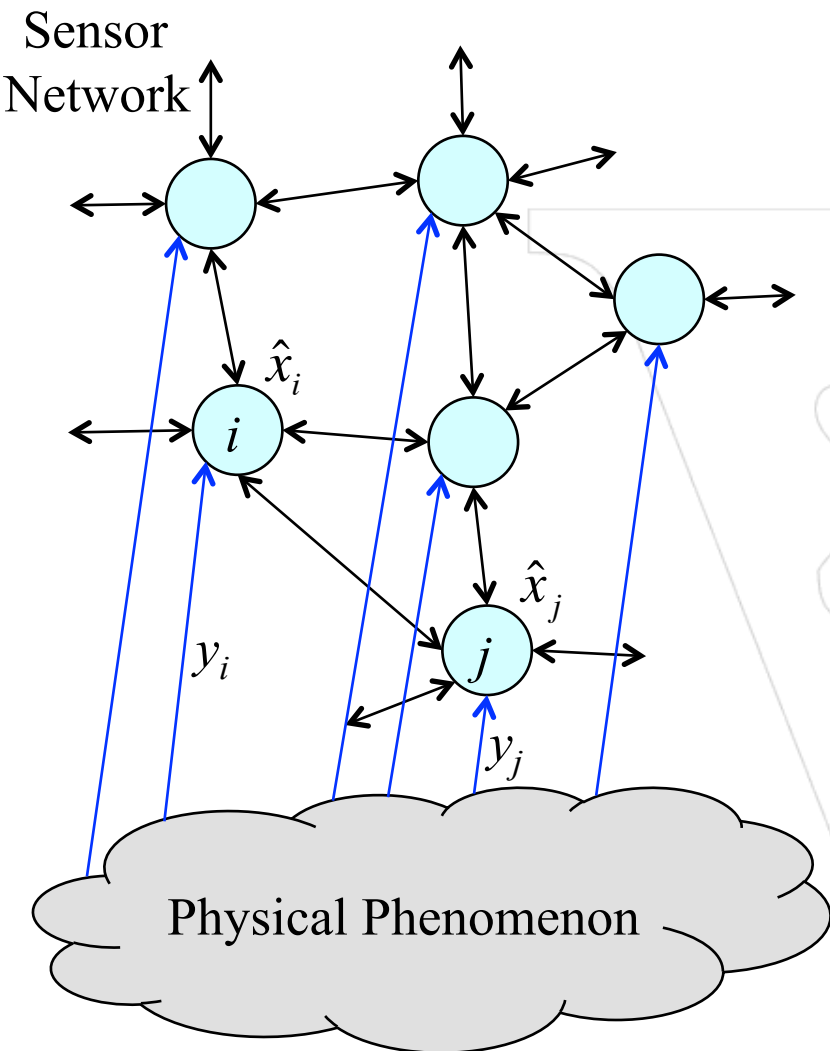
# Distributed Control of Multi-Agent Systems



- Basic models of flocking behavior are controlled by three simple rules:
  - Separation - avoid crowding neighbors
  - Alignment - **steer towards average heading of neighbors**
  - Cohesion - **steer towards average position of neighbors**



# Distributed Parameter Estimation



- All sensors measure independently some physical phenomenon with some error due to noise

$$y_i = \theta + v_i, v_i \sim N(0, \sigma_i^2), i = 1, 2, \dots, n$$

- The sensors improve their estimate by averaging the measurements
- Minimum variance estimate

$$\hat{\theta}_{MV} = \frac{\frac{1}{n} \sum_{i=1}^n \frac{1}{\sigma_i^2} y_i}{\frac{1}{n} \sum_{j=1}^n \frac{1}{\sigma_j^2}}$$

- It can be asymptotically computed in a distributed fashion using two average consensus algorithms in parallel



# Consensus in Networked Multi-agent Systems



- Synchronous linear iterative consensus

$$x_i(t+1) = w_{ii}(t)x_i(t) + \sum_{j \in N_i^{in}(t)} w_{ij}(t)x_j(t)$$

- Conditions

- There exists  $0 < \alpha < 1$  such that

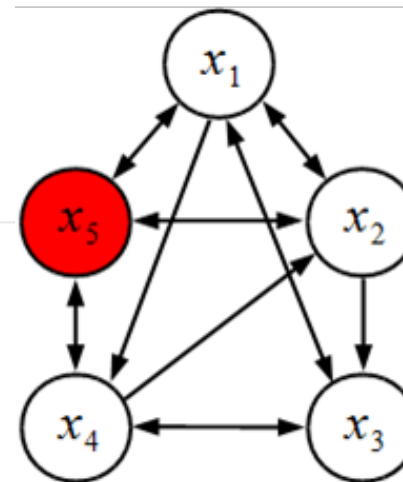
$$w_{ii}(t) \geq \alpha, \forall i, t$$

$$w_{ij}(t) = 0 \text{ if } j \notin N_i^{in}(t), \forall i, j, t$$

$$w_{ij}(t) \geq \alpha \text{ if } j \in N_i^{in}(t), \forall i, j, t$$

$$\sum_{j=1}^n w_{ij}(t) = 1, \forall i, t$$

- Consensus is reached if there exists a rooted out-branching periodically over time (in the union of digraphs)



- Resilient consensus in the presence of adversaries

- Applications

- Vehicle rendezvous, formation control, parameter estimation, least squares data regression, sensor calibration, time synchronization, node counting, Kalman filtering, ...



- Resilient Consensus Protocols in the Presence of Adversaries
  - Adversary models
  - Robust Network Topologies
- Resilient Consensus Protocols with Trusted Nodes
  - Connected Dominating Set
  - Trusted Nodes and Network Robustness
- Distributed Simulation Testbed
  - C2 Wind Tunnel (C2WT)
  - Industrial Control Systems
- Conclusions



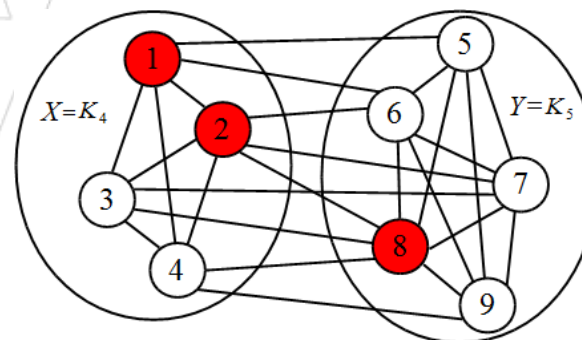
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# Adversary Models



- **Crash** Adversary
- **Malicious** Adversary
  - Must convey the same information to all neighbors
    - Local broadcast model
- **Byzantine** Adversary
  - Can convey different information to different neighbors
- All adversaries are **omniscient**
  - Topology of the network
  - States and algorithms of the other nodes
  - Other adversaries (can collude)
- **$F$ -Total** Model
  - At most  $F$  adversaries in the entire network
- **$F$ -Local** Model
  - At most  $F$  adversaries in the neighborhood of any normal node
- **$f$ -Fraction Local** Model
  - At most a fraction  $f$  of adversaries in the neighborhood of any normal node





# Adversarial Resilient Consensus Protocol (ARC-P)



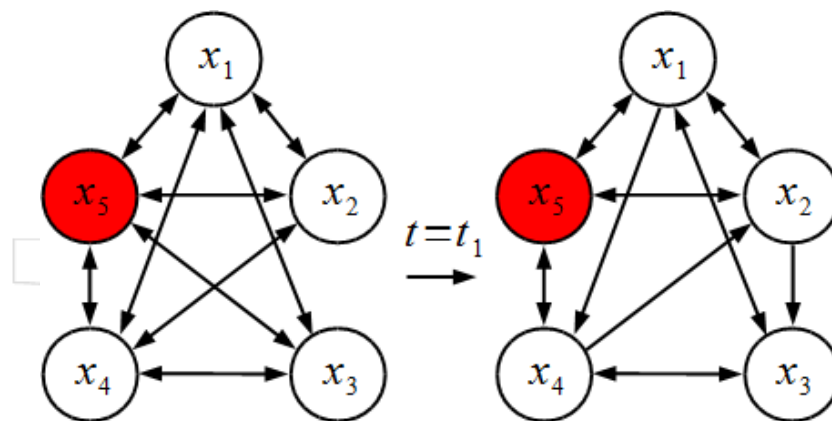
- Weighted consensus protocol with selective reduce
  - Parameter  $F$  (or  $f$ )
    - $F_i(t) = F$  if the parameter is  $F$
    - $F_i(t) = \lfloor fd_i(t) \rfloor$  if the parameter is  $f$
  - Nonnegative, piecewise continuous, bounded weights
    - $0 < \alpha \leq w_{(j,i)}(t) \leq \beta$  if  $j$  is a neighbor at time  $t$
    - $w_{(j,i)}(t) = 0$  otherwise
  - Compare values of neighbors with own value  $x_i(t)$ 
    - Remove (up to)  $F_i(t)$  values strictly **larger** than  $x_i(t)$
    - Remove (up to)  $F_i(t)$  values strictly **smaller** than  $x_i(t)$
  - Let  $\mathcal{R}_i(t)$  denote the set of nodes whose values are removed
  - Update as

$$x_i(t+1) = w_{(i,i)}(t)x_i(t) + \sum_{j \in \mathcal{N}_i^{\text{in}}(t) \setminus \mathcal{R}_i(t)} w_{(j,i)}(t)x_{(j,i)}(t)$$





# Resilient Asymptotic Consensus



- Hybrid system dynamics

$$x_i(t+1) = f_{i,\sigma(t)}(t, x_i(t), \{x_{(j,i)}(t)\}), \quad i \in \mathcal{N}, j \in \mathcal{N}_i^{\text{in}}, t \in \mathbb{Z}_{\geq 0}, \mathcal{D}_{\sigma(t)} \in \Gamma_n$$

- Agreement Condition

$$\lim_{t \rightarrow \infty} \Psi(t) = 0 \quad \text{where } \Psi(t) = M_{\mathcal{N}}(t) - m_{\mathcal{N}}(t)$$

- Safety Condition

$$x_i(t) \in \mathcal{I}_t = [m_{\mathcal{N}}(t), M_{\mathcal{N}}(t)], \quad \forall t \in \mathbb{Z}_{\geq 0}, \forall i \in \mathcal{N}$$

- Weighted Mean-Subsequence-Reduced (W-MSR) Algorithm

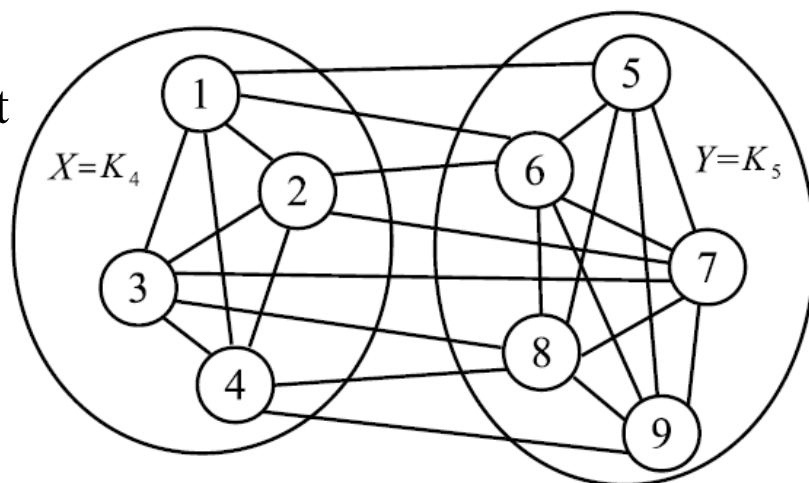
$$x_i(t+1) = w_{(i,i)}(t)x_i(t) + \sum_{j \in \mathcal{N}_i^{\text{in}}(t) \setminus \mathcal{R}_i(t)} w_{(j,i)}(t)x_{(j,i)}(t)$$



# Robust Network Topologies



(2,4)-robust



- We need a new graph theoretic property to capture **local redundancy**
- Specify a minimum number of nodes that are sufficiently influenced from outside their set
- **(r,s)-robustness**: For every pair of nonempty disjoint sets, there are at least s nodes with at least r in-neighbors outside their respective sets



# Robust Networks

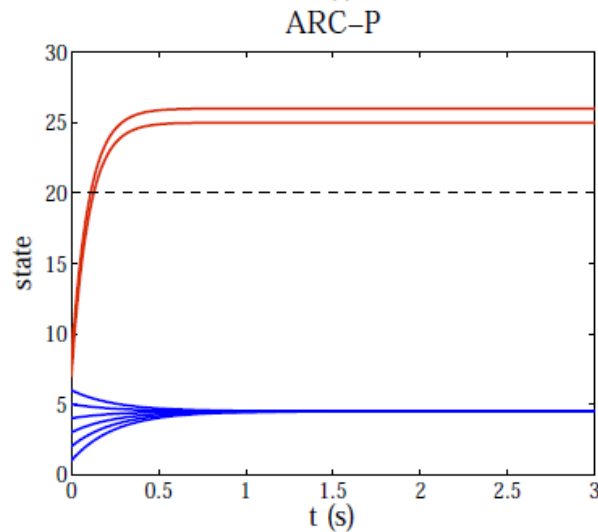
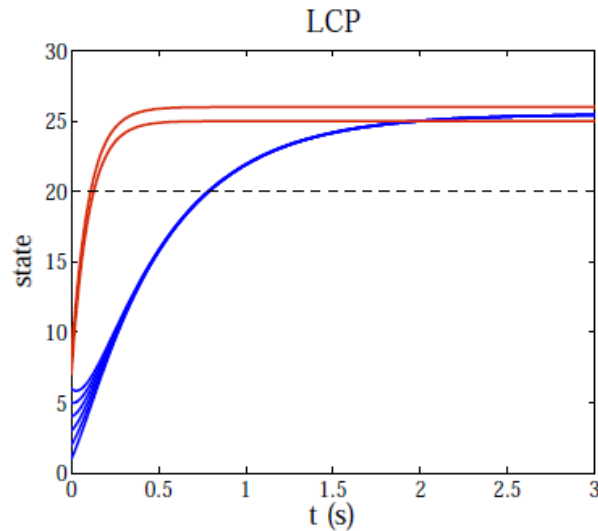


Threat	Scope	Necessary	Sufficient
Crash & Malicious	$F$ -Total	$(F+1, F+1)$ -robust	$(F+1, F+1)$ -robust
Crash & Malicious	$F$ -Local	$(F+1, F+1)$ -robust	$(2F+1)$ -robust
Crash & Malicious	$f$ -Fraction local	$f$ -fraction robust	$p$ -fraction robust, where $2f < p \leq 1$
Byzantine	$F$ -Total & $F$ -Local	Normal Network is $(F+1)$ -robust	Normal Network is $(F+1)$ -robust
Byzantine	$f$ -Fraction local	Normal Network is $f$ -robust	Normal Network is $p$ -robust where $p > f$

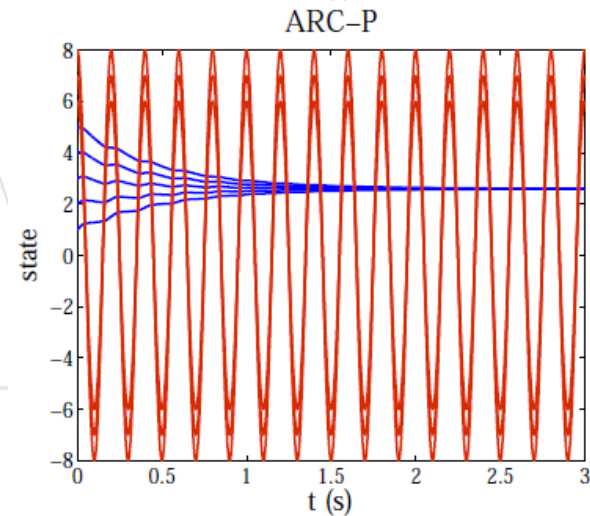
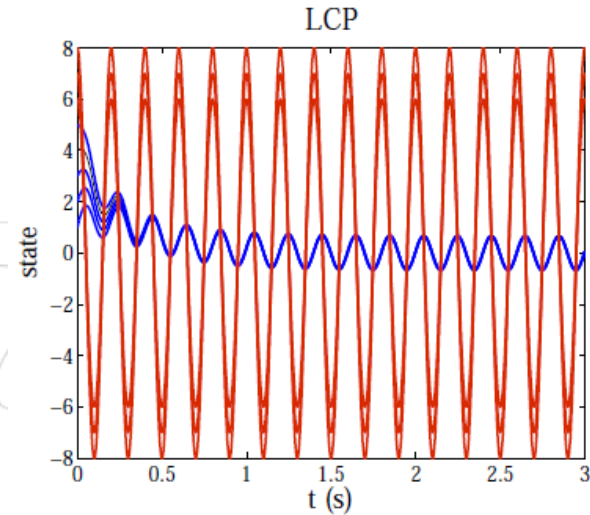
- Normal network is the network induced by the normal nodes
- Necessary Conditions for  $F$ -Total and  $F$ -Local are necessary for any successful DTRAC algorithm



# Simulation Results



Unsafe Region: 8-agent network,  
2 adversaries



Oscillations: 8-agent network,  
3 adversaries

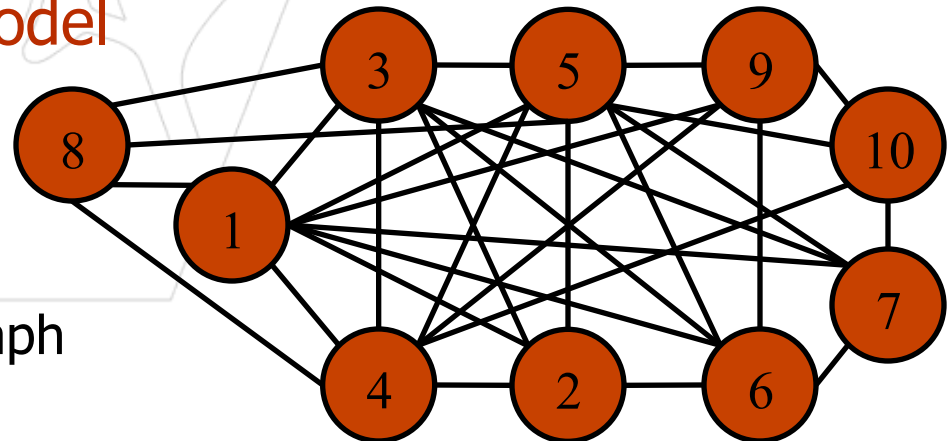


- Let  $D=(V, E)$  be a nontrivial  $(r,s)$ -robust digraph . Then,  $D'=(V \cup \{v_{new}\}, E \cup E_{new})$ , where  $v_{new}$  is a new node added to  $D$  and  $E_{new}$  is the directed edge set related to  $v_{new}$ , is  $(r,s)$ -robust if

$$d_{v_{new}}^{in} \geq r + s - 1$$

## Preferential-attachment model

- Initial graph:  $K_5$
- $K_5$  is  $(3,2)$ -robust
- Num edges / round: 4
- End with  $(3,2)$ -robust graph

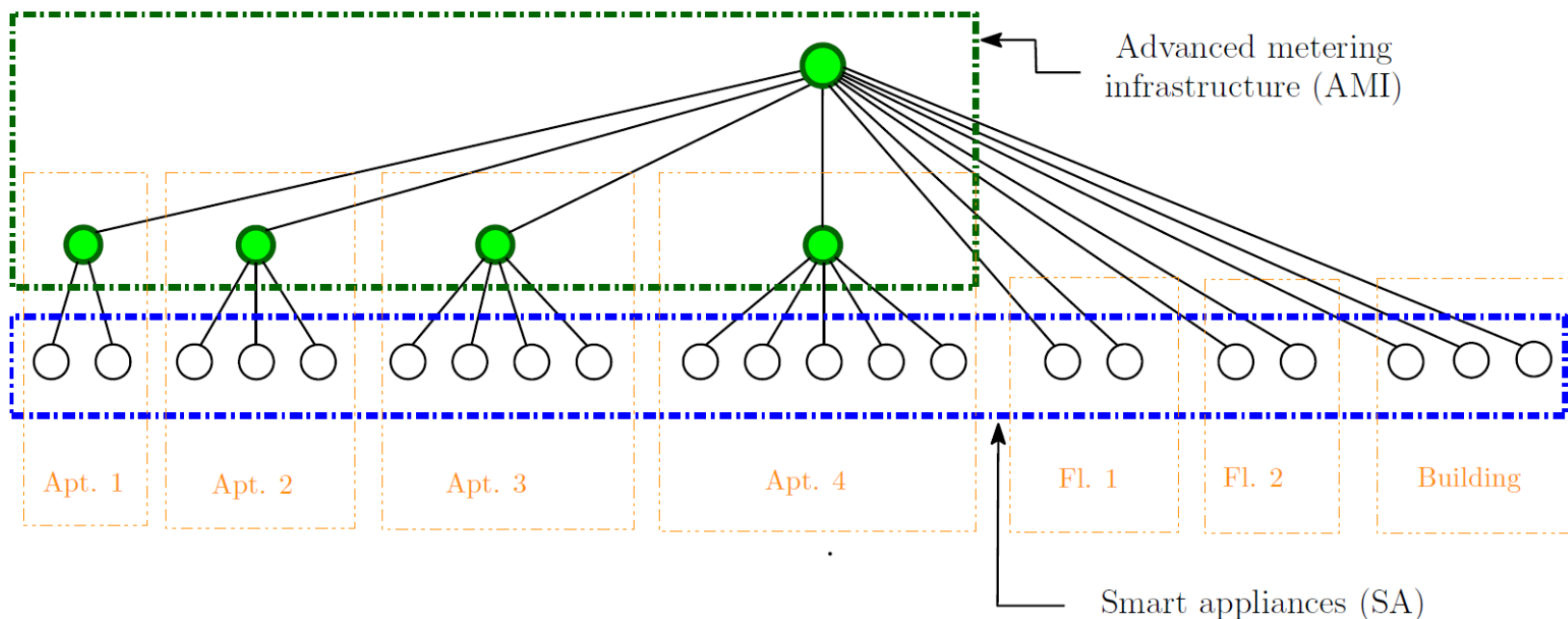




- Resilient Consensus Protocols in the Presence of Adversaries
  - Adversary models
  - Robust Network Topologies
- **Resilient Consensus Protocols with Trusted Nodes**
  - Connected Dominating Set
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# Trusted Nodes



- Assume that some nodes are **trusted**
  - AMI is generally more secure than SA
- Can we exploit the notion of trusted nodes for relaxing the redundancy conditions?

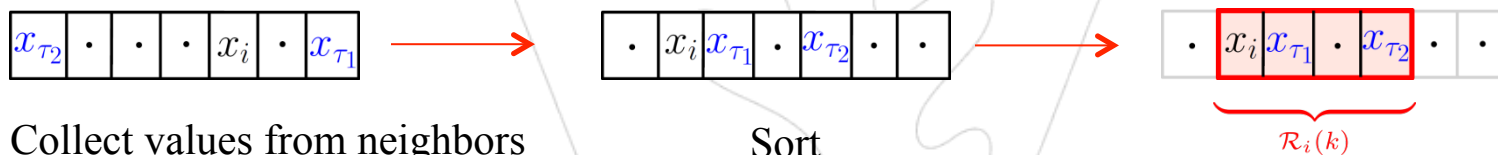


# Resilient Consensus Protocol with Trusted Nodes (RCP-T)



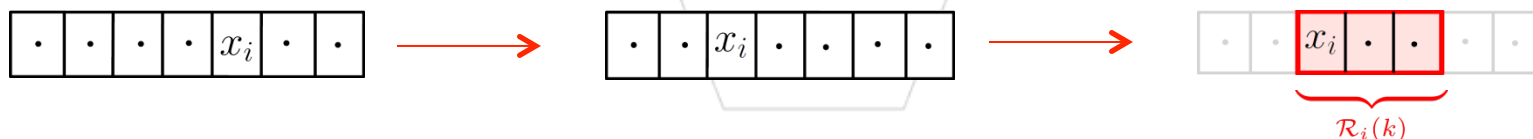
$$x_i(k+1) = \sum_{j \in \mathcal{R}_i(k)} w_{ij} x_j(k)$$

- If node  $i$  is connected to at least one trusted node



( $x_{\tau_1}, x_{\tau_2}$  are trustworthy nodes' values)

- If node  $i$  is not connected to any trusted node



Remove  $F$  largest and  $F$  smallest values (Here,  $F=2$ )



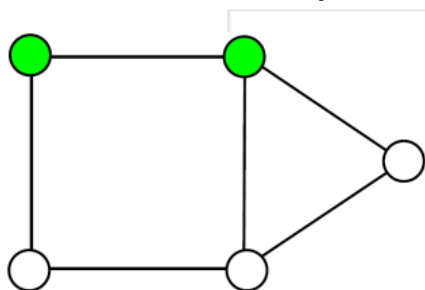


# Connected Dominating Set



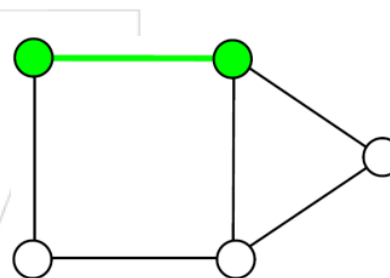
## Dominating Set

$$D \subseteq V, \quad \text{s.t.} \quad \bigcup_{v_i \in D} \mathcal{N}[v_i] = V$$



## Connected Dominating Set

Nodes in the dominating set induce a connected subgraph



- Under RCP-T, consensus is always achieved in the presence of *arbitrary number of adversaries* if and only if there exists a set of trusted nodes that form a **connected dominating set**

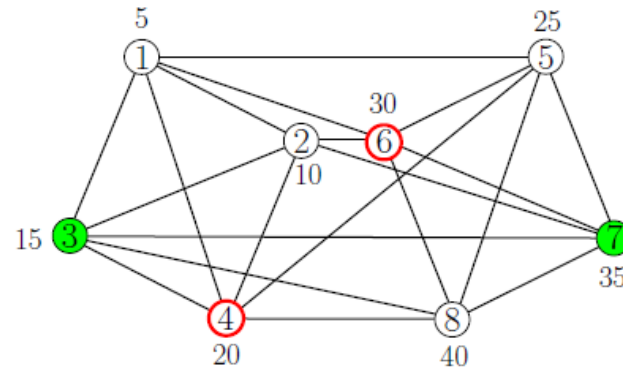


# (2,2)-Robust Graph

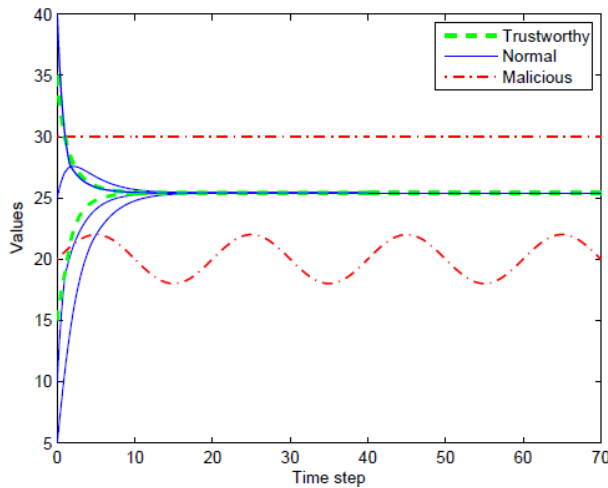


Attacked nodes = {4, 6}

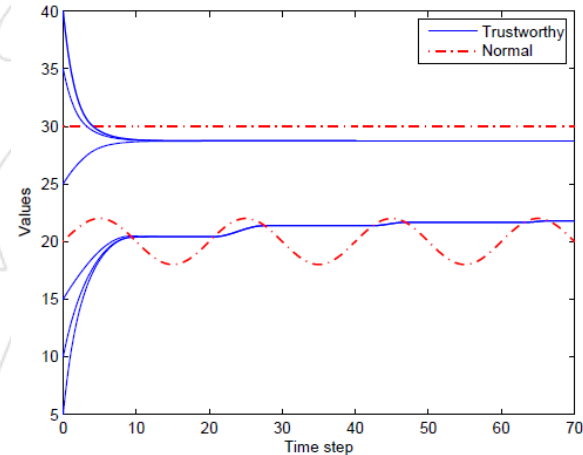
Trusted nodes = {3, 7}



RCP-T



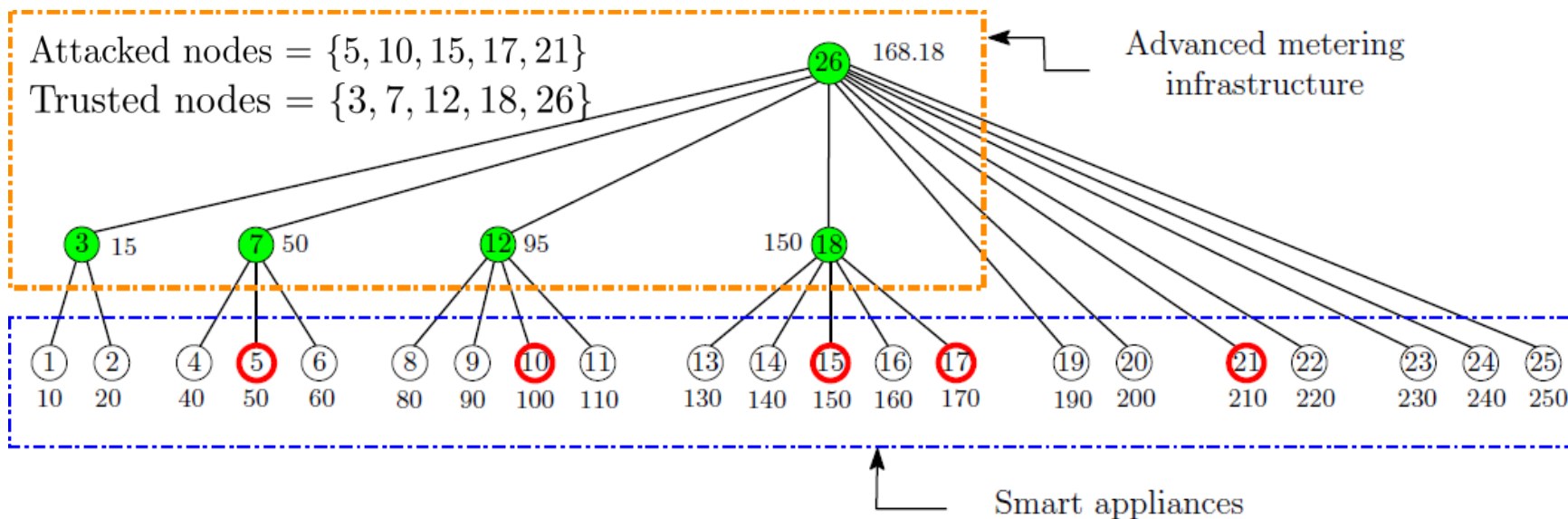
ARC-P



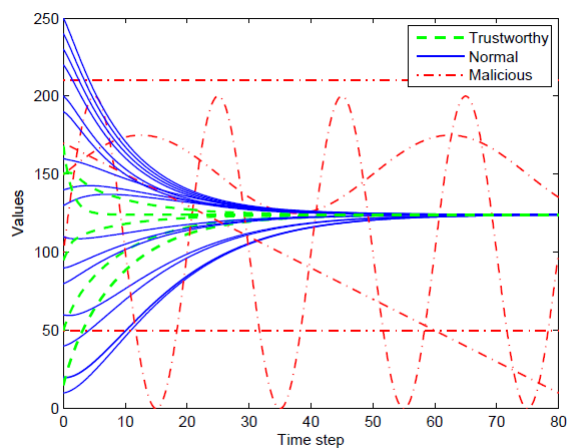
- **RCP-T** achieves consensus in the presence of two adversaries
- **ARC-P** algorithm can handle a single adversary but not two



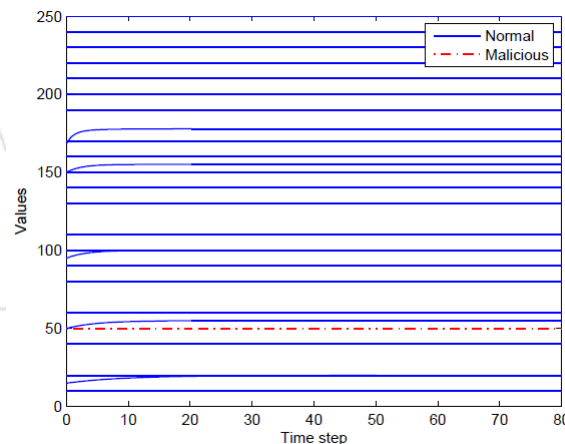
# Tree Networks



RCP-T



ARC-P



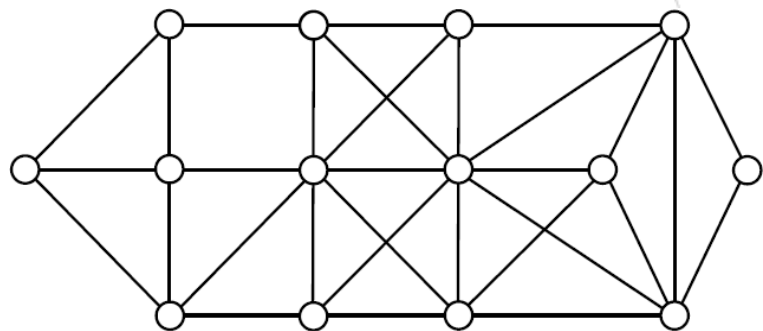
- RCP-T achieves consensus even with five adversaries
- ARC-P algorithm is not resilient even to a single adversary



# Trusted Nodes and Network Robustness



- The **connected domination number**  $d$  is the number of vertices in the minimum connected dominating set
- If the number of trusted nodes is at least  $d$ , the network can be made resilient against any number of adversaries
- Can we improve resilience if the number of trusted nodes  $< d$ ?



(2,2)-robust  $\longleftrightarrow$  Resilient against a single attack (with no trusted nodes)

$d = 4$   $\longleftrightarrow$  Resilient against any no. of attacks (with 4 trusted nodes)

With any three trusted nodes, the network is not resilient against two adversarial attacks.



- Resilient Consensus Protocols in the Presence of Adversaries
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- **Distributed Simulation Testbed**
  - C2 Wind Tunnel (C2WT)
  - Industrial Control Systems
- **Conclusions**



# Command and Control Wind Tunnel (C2WT)



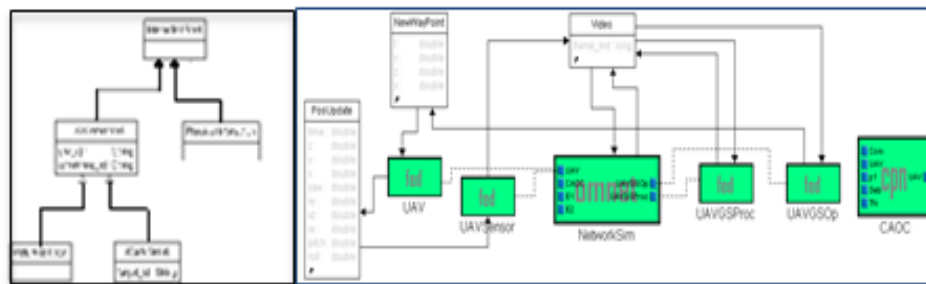
## Simulation models



## Domain-specific models (abstract simulation models)



- Data models  
(interaction & data models)
- Integration models  
(data-flow, timing, parameters)
- Compute Infrastructure models
- Deployment models
- Experiment models
- Configuration models



Model transformation

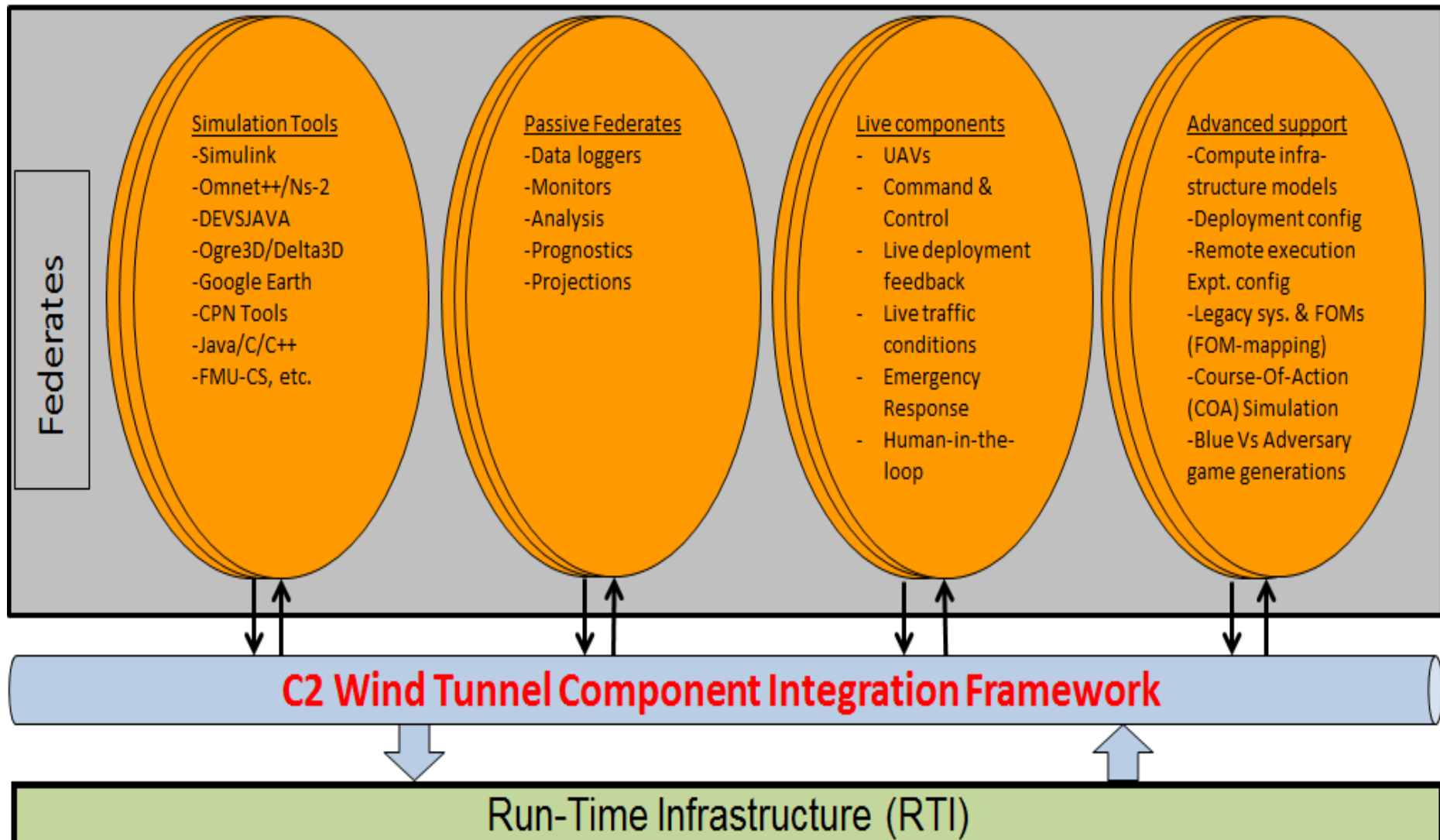


## Domain specific federates

<b>OMNeT++ federate</b>	<b>CPN federate</b>	<b>Devs Java federate</b>	<b>Simulink federate</b>	<b>Physics federate</b>	<b>Sensor simulation federate</b>
High-Level Architecture (HLA) Run-Time Infrastructure (RTI): Portico ( <i>open source</i> )					

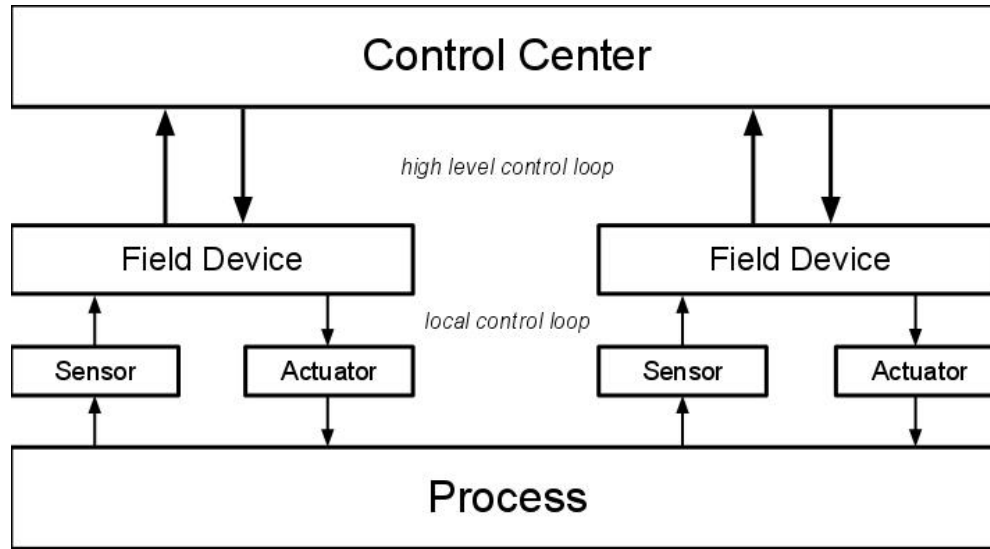


# C2WT Capabilities





# Industrial Control Systems (ICS)

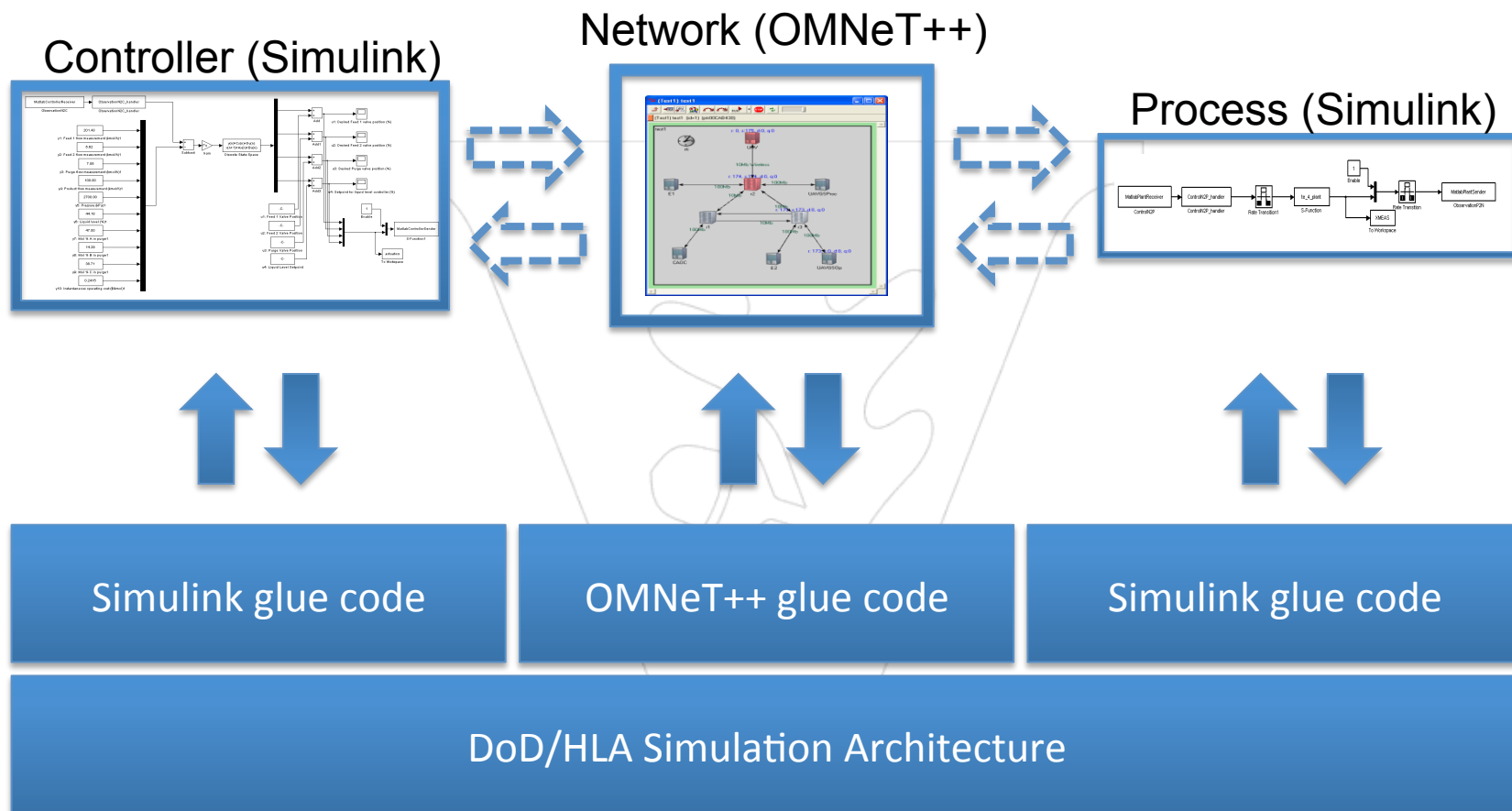


- Control center communicates with field devices interacting with the process
- Two levels of control loops:
  - High-level feedback loop over network
  - Low-level feedback loop local to physical process





# ICS Simulations using C2WT





# Simulation of DDOS Attack

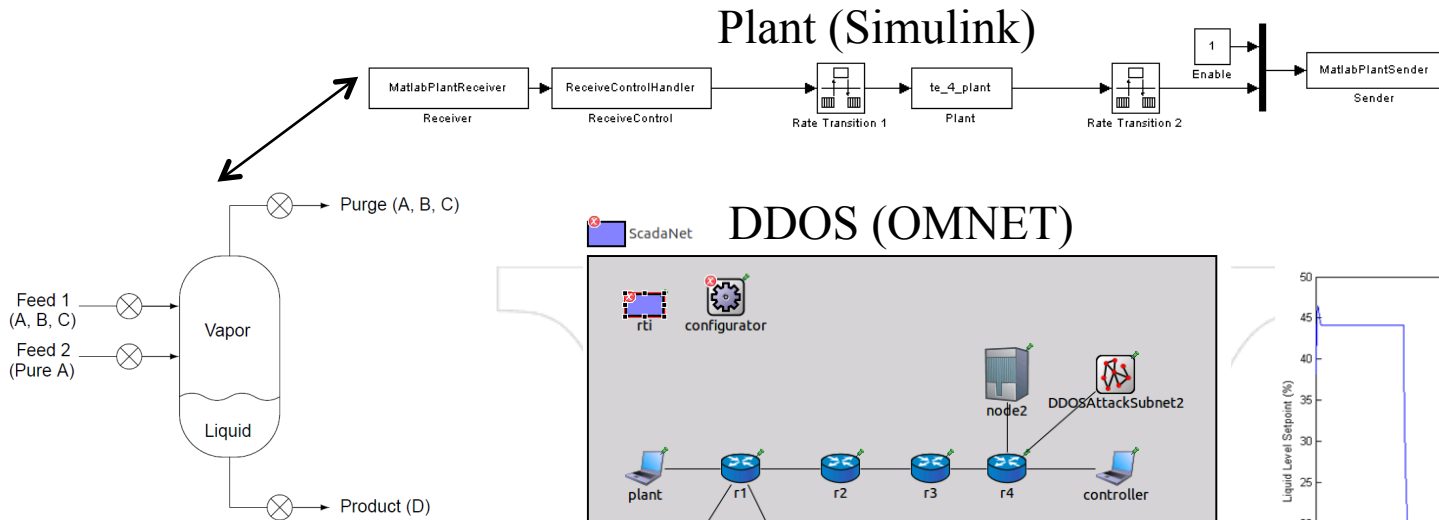
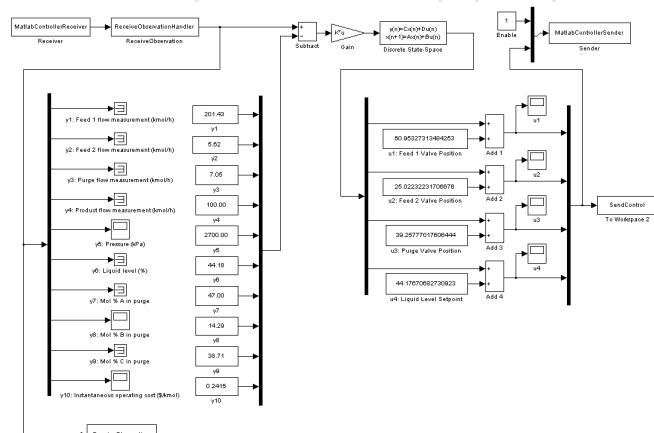


Figure: Chemical Plant (A + C → D)

## Tennessee Eastman Reactor



## Controller (Simulink)

Cyber attack destabilizes the liquid level in the reactor



- Resilient Consensus Protocols in the Presence of Adversaries
  - Exploit local information redundancy to ensure asymptotic consensus
  - Characterize robust network topologies
- Resilient Consensus Protocols with Trusted Nodes
  - Trusted nodes form a connected dominating set
- Simulation of CPS using the C2 Wind Tunnel (C2WT)
  - Industrial Control Systems