

# Synthesis of Propositional Satisfiability Solvers

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# Calculus

Problem: find the volume  $\text{Vol}(r)$  of a sphere of radius  $r$

$$\text{Vol}(r) = \lim_{\Delta x \rightarrow 0} \sum_{-r}^r \pi y^2 \Delta x$$

$$= \lim_{\Delta x \rightarrow 0} \sum_{-r}^r \pi (r^2 - x^2) \Delta x$$

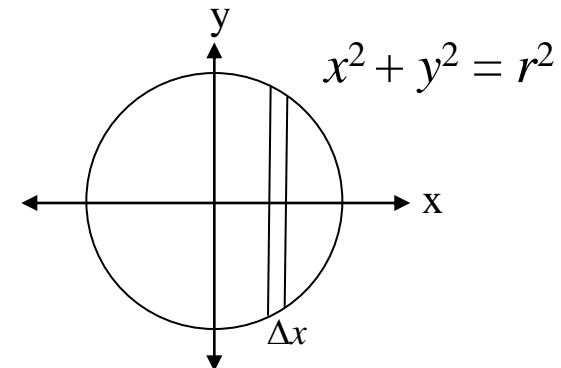
$$= \int_{-r}^r \pi (r^2 - x^2) dx$$

$$= \int_{-r}^r \pi r^2 dx - \int_{-r}^r x^2 dx$$

= using  $\int u^n du = u^{n+1}/(n+1) + C$

$$\pi r^2 x \Big|_{-r}^r - x^3 dx / 3 \Big|_{-r}^r$$

$$= (4/3)\pi r^3$$



# SAT Problem Specification

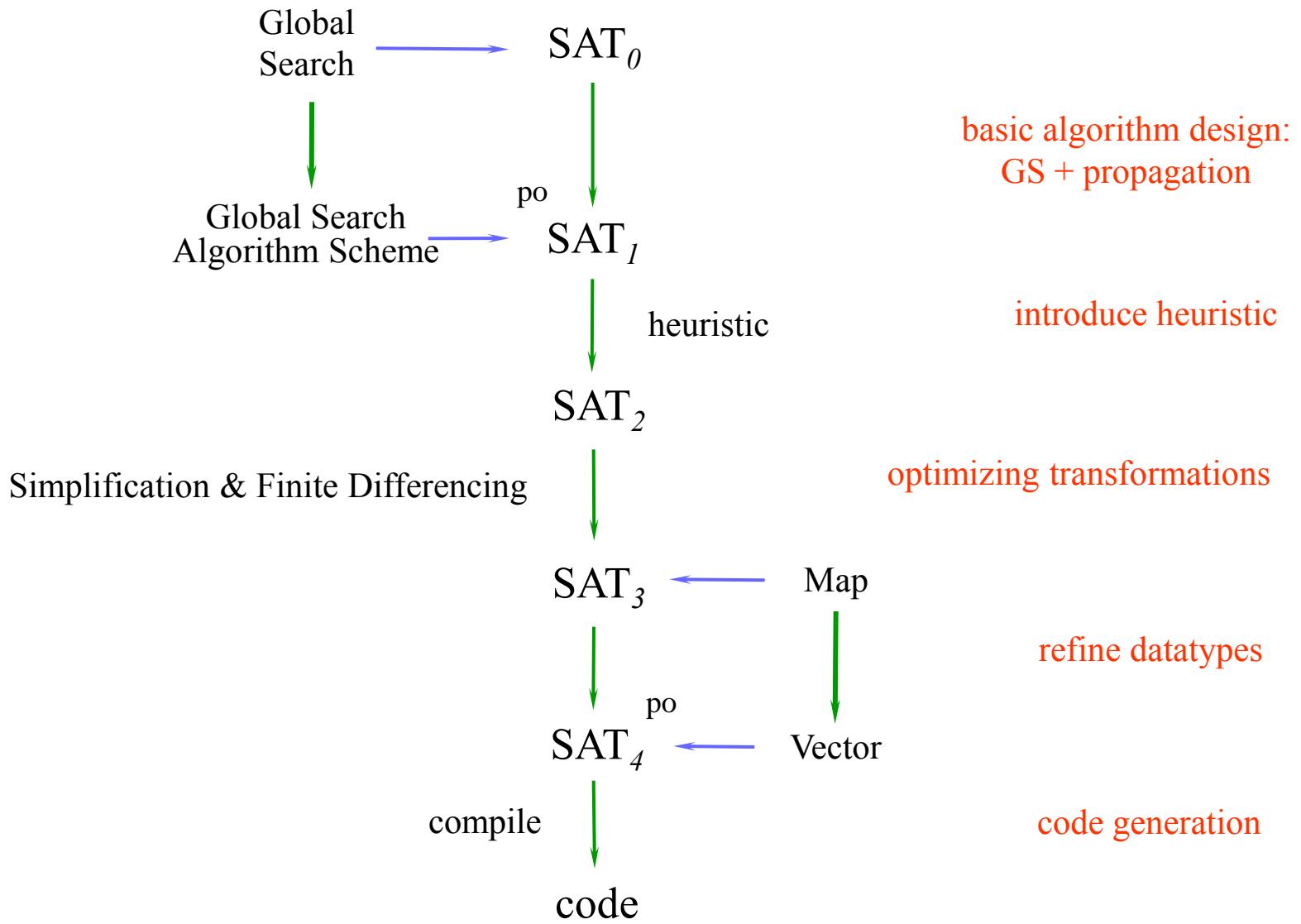
```
type Option A = | None | Some A
```

```
op eval : CNF * Valuation → Boolean  
op SAT : CNF → Option Valuation
```

```
axiom SAT_spec is  
  fa(p:CNF, v:Valuation)  
    case SAT(p) of  
      | Some v → eval(p, v)=true  
      | None → ~satisfiable(p)
```



# Derivation Structure



# Demo



# Specifying the SAT Problem



# Propositional Satisfiability (SAT)

Given a propositional formula,  
prove that it is satisfiable/consistent  
usually by constructing a model.

$$(A \vee \neg B \vee C) \wedge (B \vee \neg C) \wedge C$$

has several models:     $\{A \mapsto \text{true}, B \mapsto \text{true}, C \mapsto \text{true}\}$   
                               $\{A \mapsto \text{false}, B \mapsto \text{true}, C \mapsto \text{true}\}$

Note: The formula is a conjunction of disjuncts of literals.  
This is called conjunctive normal form (CNF).


$$(A, \neg B, C), (B, \neg C), (C)$$
      3 clauses

# SAT Domain Theory

1. type Logic3 = | true | false | unk

3-valued Kleene semilattice: unk  $\sqsubseteq$  true, unk  $\sqsubseteq$  false

2. type Valuation = map(Var, Logic3)

operators:

$m \sqsubseteq n = \forall(v)(v \in \text{dom}(m) \Rightarrow m(v) \sqsubseteq n(v))$

domain( $m$ ) domain

$m \oplus n$  composition (disjoint domains)

laws:

$m \sqsubseteq n \Rightarrow p \oplus m \sqsubseteq p \oplus n$

$m \sqsubseteq n \Rightarrow m \sqsubseteq p \oplus n$

$m \sqsubseteq p \wedge n \sqsubseteq p = m \oplus n \sqsubseteq p$  if disjoint  $m, n$

$\bigwedge_i (m_i \sqsubseteq n) = (\bigoplus_i m_i) \sqsubseteq n$  if mutually disjoint  $m_i$



# SAT Domain Theory

type Variable	= Nat
type Valuation	= Map(Variable, Logic3)
type Literal	=   Pos Variable   Neg Variable
type Clause	= Set Literal
type CNF	= Set Clause

eval(p:CNF, vm:Valuation) : Logic3

= if  $\forall(\text{cl})(\text{cl} \in p \Rightarrow \text{evalC}(\text{cl}, \text{vm}) = \text{true})$  then true  
else if  $\exists(\text{cl})(\text{cl} \in p \wedge \text{evalC}(\text{cl}, \text{vm}) = \text{false})$  then false  
else unk

evalC(cl:Clause, vm:Valuation) : Logic3 = ...

evalL(lit:Literal, vm:Valuation) : Logic3 = ...



# SAT Domain Theory

simplify ( p: CNF, vm: Valuation ) : CNF

satisfiable(p:CNF) =  $\exists(\text{vm}) \text{ eval}(p,\text{vm})=\text{true}$

$\begin{aligned} \text{satisfiable}(p:\text{CNF}, \text{pm}:\text{Valuation}) \\ = \exists(\text{vm})(\text{pm} \sqsubseteq \text{vm} \wedge \text{eval}(p,\text{vm})=\text{true}) \end{aligned}$

Laws:

eval is monotone in its 2<sup>nd</sup> argument:

$$m \sqsubseteq n \Rightarrow (\text{eval}(p,m) \sqsubseteq \text{eval}(p,n))$$

satisfiable is antimonotone in its 2<sup>nd</sup> argument:

$$m \sqsubseteq n \Rightarrow (\text{satisfiable}(p,m) \Leftarrow \text{satisfiable}(p,n))$$



# SAT Problem Specification

```
type Option A = | None | Some A
```

```
op eval : CNF * Valuation → Boolean  
op SAT : CNF → Option Valuation
```

```
axiom SAT_spec is  
  fa(p:CNF)  
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      | None → ~satisfiable(p)
```

} Output Condition



# Lattice-Based Quantifier Elimination Laws

for monotone  $F: \langle A, \leq \rangle \rightarrow \langle C, \sqcup, \sqcap \leq \rangle$

preorder              lattice

$$\boxed{(\bigvee_{a \leq \hat{a}} F(a)) = F(\hat{a})}$$

for monotone  $F: \langle A, \leq \rangle \rightarrow \langle \text{Boolean}, \vee, \wedge, \Rightarrow \rangle$

$$\boxed{\exists(a)(a \leq \hat{a} \wedge F(a)) = F(\hat{a})}$$

for monotone  $F: \langle \text{Boolean}, \Rightarrow \rangle \rightarrow \langle \text{Boolean}, \vee, \wedge, \Rightarrow \rangle$

$$\boxed{\exists(a) F(a) = F(\text{true})}$$



# Quantifier Elimination Laws

# monotone F

$$\sqcup F(a) = F(\hat{a})$$

$a \not\sqsubseteq \hat{a}$

$$\prod F(a) = F(\check{a})$$

# antimonotone F

$$\sqcup F(a) = F(\check{a})$$

$\check{a} \check{\sqcup} a$

$$\prod_{a \in \hat{A}} F(a) = F(\hat{A})$$



# Quantifier Elimination Laws

specialization to predicates

$F: \langle A, \leq \rangle \rightarrow \langle \text{Boolean}, \vee, \wedge, \Rightarrow \rangle$   
preorder

monotone  $F$

$$\exists(a)(a \leq \hat{a} \wedge F(a)) = F(\hat{a})$$

$$\forall(a)(\check{a} \leq a \Rightarrow F(a)) = F(\check{a})$$

antimonotone  $F$

$$\exists(a)(\check{a} \leq a \wedge F(a)) = F(\check{a})$$

$$\forall(a)(a \leq \hat{a} \Rightarrow F(a)) = F(\hat{a})$$



# Quantifier Elimination Laws

specialization to propositions

$$F: \langle \text{Boolean}, \Rightarrow \rangle \rightarrow \langle \text{Boolean}, \vee, \wedge, \Rightarrow \rangle$$

monotone  $F$

$$\exists(a)F(a) = F(\text{true})$$

$$\forall(a)F(a) = F(\text{false})$$

antimonotone  $F$

$$\exists(a)F(a) = F(\text{false})$$

$$\forall(a)F(a) = F(\text{true})$$



# Lattice-Based Quantifier Change Laws

Lattice  $\langle L, \sqcap, \sqcup, \leq \rangle$

$$\begin{array}{c} g:T \rightarrow L, S \subseteq T \\ \sqcup_{x \in S} g(x) \leq \sqcup_{x \in T} g(x) \end{array}$$

$$\begin{array}{c} g:T \rightarrow L, S \subseteq T \\ \sqcap_{x \in S} g(x) \geq \sqcap_{x \in T} g(x) \end{array}$$

e.g. Lattice  $\langle \text{Boolean}, \wedge, \vee, \Rightarrow \rangle$

$$\begin{array}{c} g:T \rightarrow L, S \subseteq T \\ \exists(x:S) g(x) \Rightarrow \exists(x:T) g(x) \end{array}$$

$$\begin{array}{c} g:T \rightarrow L, S \subseteq T \\ \forall(x:S) g(x) \Leftarrow \forall(x:T) g(x) \end{array}$$



# Propositional Satisfiability (SAT)

```
type Option A = | None | Some A
```

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op evalCNF : CNF * Valuation → Boolean  
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axiom SAT_spec is  
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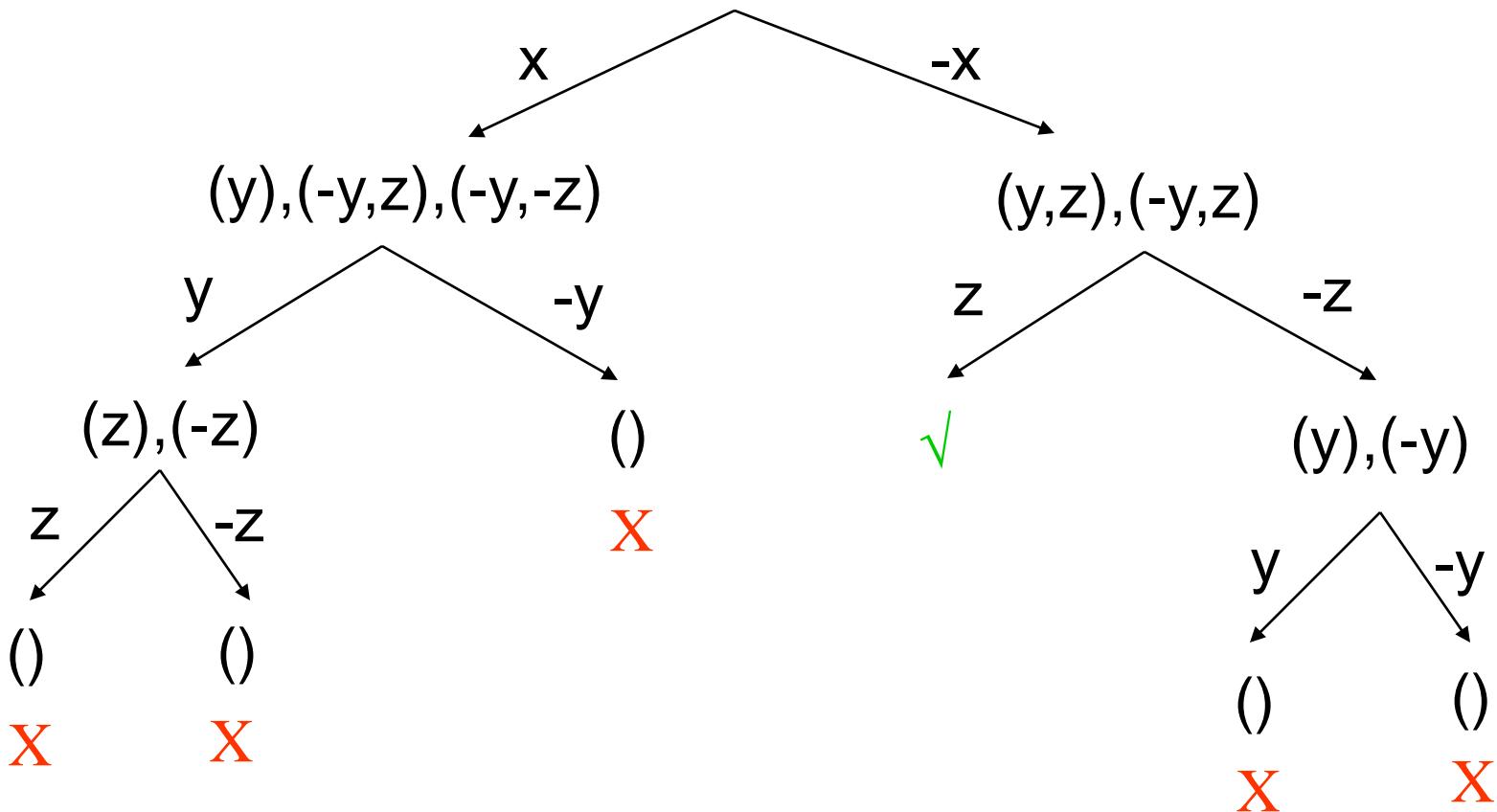
# Deriving a SAT Algorithm



# Basic SAT algorithm

(Davis-Putnam-Logemann-Loveland)

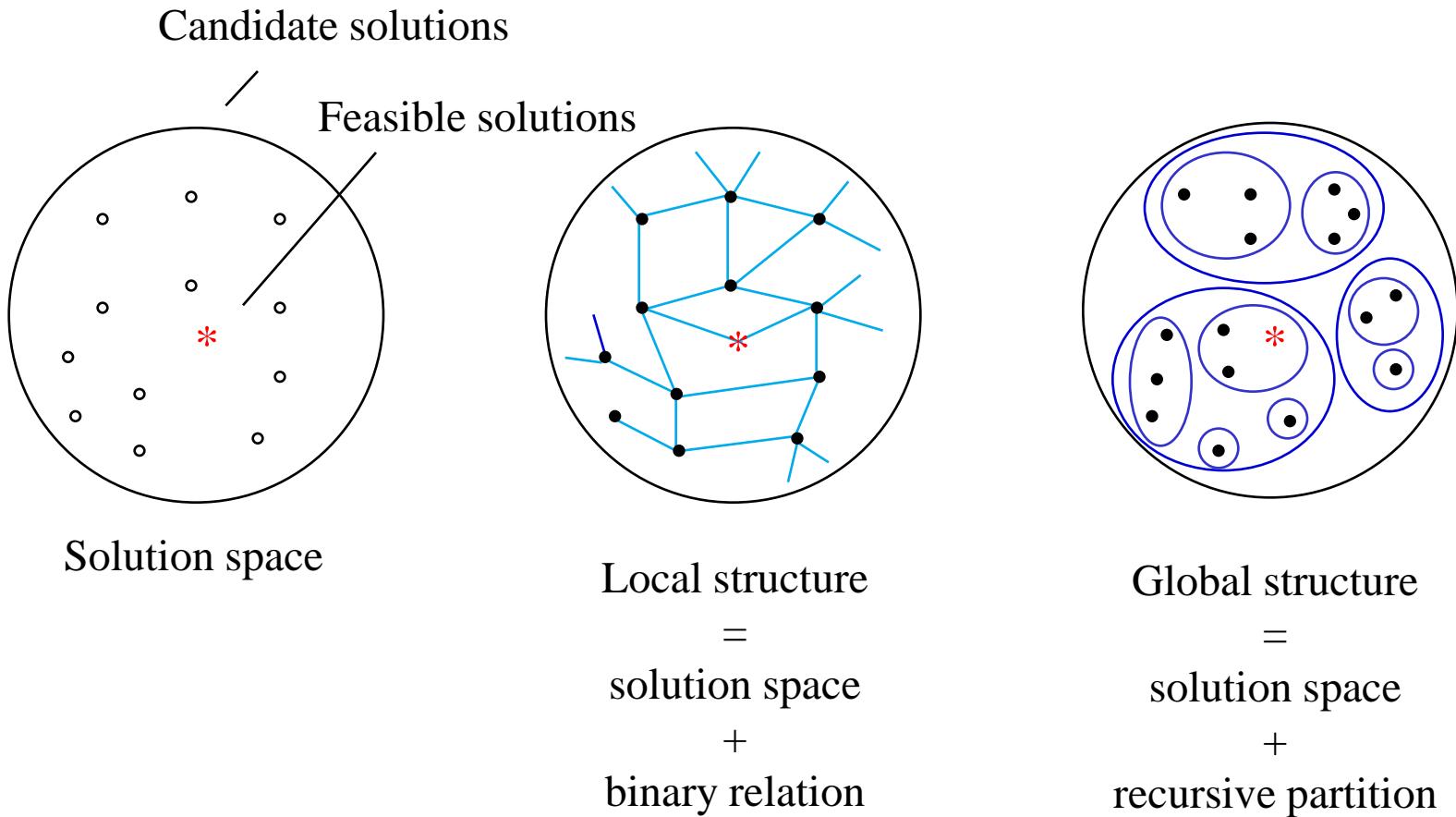
$(x,y,z),(-x,y),(-y,z),(-x,-y,-z)$



model:  $\{x \mapsto \text{false}, z \mapsto \text{true}\}$



# Problem Solving Structure



# Global Search Theory

GlobalSearchTheory = spec

type D		input type
type R		output type
op O	: D * R → Boolean	output condition
op mkInitial	: D → R	
op $\sqsubseteq$	: R * R → Boolean	
op Split	: D * R * R → Boolean	
op Subspaces	: D * R → List R	
op Extract	: D * R → Option R	

axiom  $\langle R, \sqcup, \sqsubseteq \rangle$  is a semilattice

axiom  $\text{fa}(x:D, z:R) \text{ mkInitial}(x) \sqsubseteq z$

axiom  $\text{fa}(x:D, r:R, z:R) \ r \sqsubseteq z = ( \text{Extract}(x,r)=z \ \vee \ \text{ex } (s:R) (\text{Split}(x,r,s) \ \& \ s \sqsubseteq z) )$

axiom  $\text{fa}(x:D, r:R, s:R) \ \text{Split}(x,r,s) = \text{member}(s, \text{Subspaces}(x,r))$

end-spec



# GS Scheme with Pruning + Propagation

$F(x:D) = \text{case } \text{propagate}(x, \text{mkInitial}(x)) \text{ of}$   
| none  $\rightarrow$  none  
| some  $r \rightarrow GS(x,r)$

$GS(x:D, r:Rhat | \Phi(x,r)) : \text{option } R$   
 $= \text{case } \text{extract}(x,r) \text{ of}$   
| some  $z \rightarrow \text{some } z$   
| none  $\rightarrow GSAux(x, \text{Subspaces}(x,r))$

$GSAux(x:D, rs:\text{List } Rhat | fa(r:R)_{r \in rs} \Rightarrow \Phi(x,r)) : \text{Option } R$   
 $= \text{case } rs \text{ of}$   
| nil  $\rightarrow$  none  
| hd::tl  $\rightarrow$  case  $\text{propagate}(x, \text{hd})$  of  
| none  $\rightarrow GSAux(x, tl)$   
| some  $r \rightarrow \text{case } GS(x,r) \text{ of}$   
| none  $\rightarrow GSAux(x, tl)$   
| some  $z \rightarrow \text{some } z$

theorem:  $fa(x:D) O(x, F(x))$     *provable from GS axioms*



# Global Search Concepts → SAT concepts

## Global Search Concept

## SAT Concept

Output Condition O

Given proposition is satisfied by a valuation

Basic GS branching

Extend a partial model  
by alternate values of a variable

Pruning

Prune partial models that falsify a clause

Constraint Propagation:  
Necessary Propagation  
Consistent Refinement

Boolean Constraint Propagation  
Unit Rule (BCP)  
Pure Literal Rule

Conflict-Directed Backjumping

Conflict-Directed Backjumping

Learning

Learning



# Propagation Mechanisms

$$(A \vee \neg B \vee C) \wedge (B \vee \neg C) \wedge C$$

Unit-rule (Boolean Constraint Propagation):

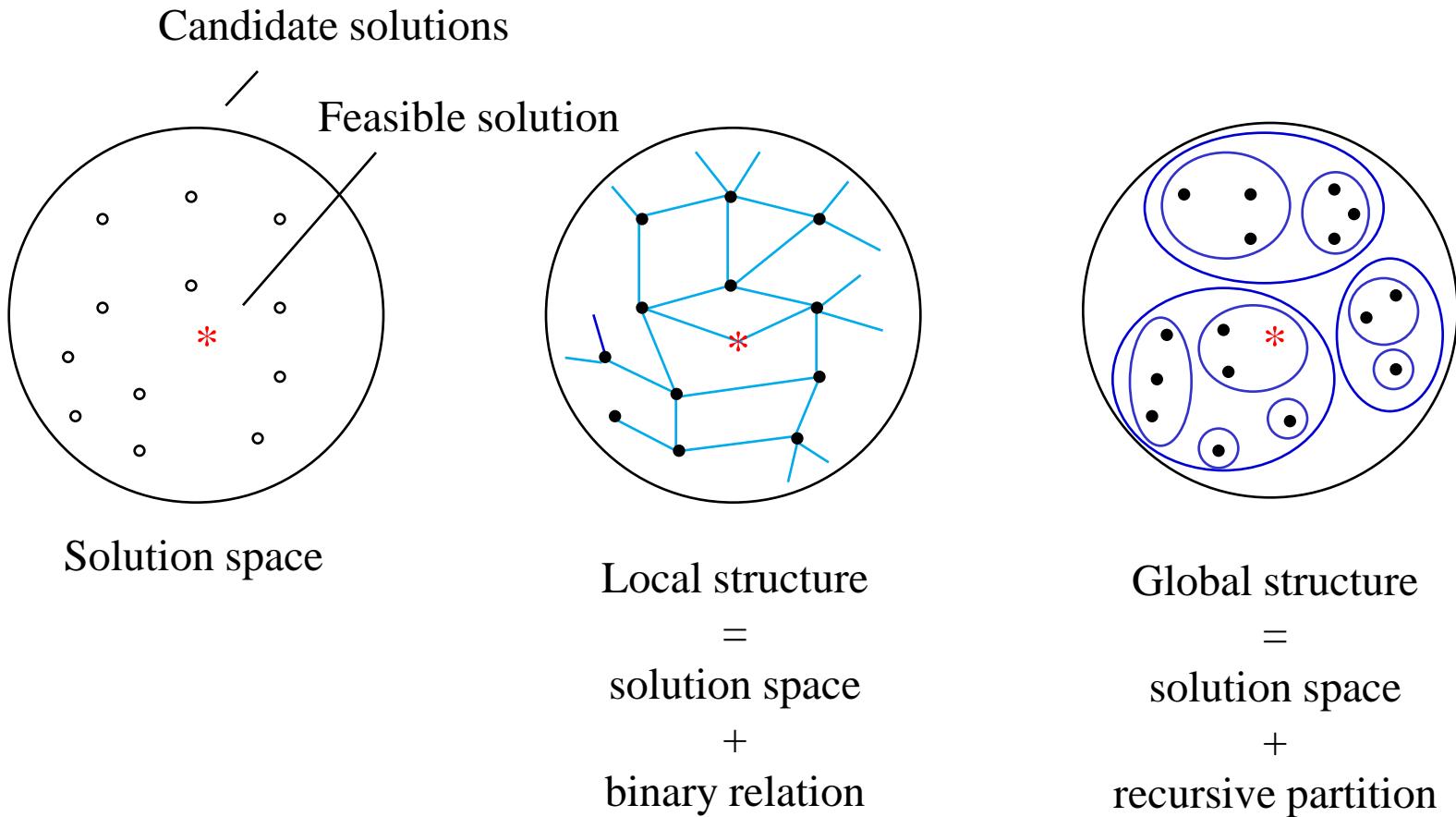
if a clause only has one open (unassigned) literal  
then its value is forced.

Pure Literal Rule:

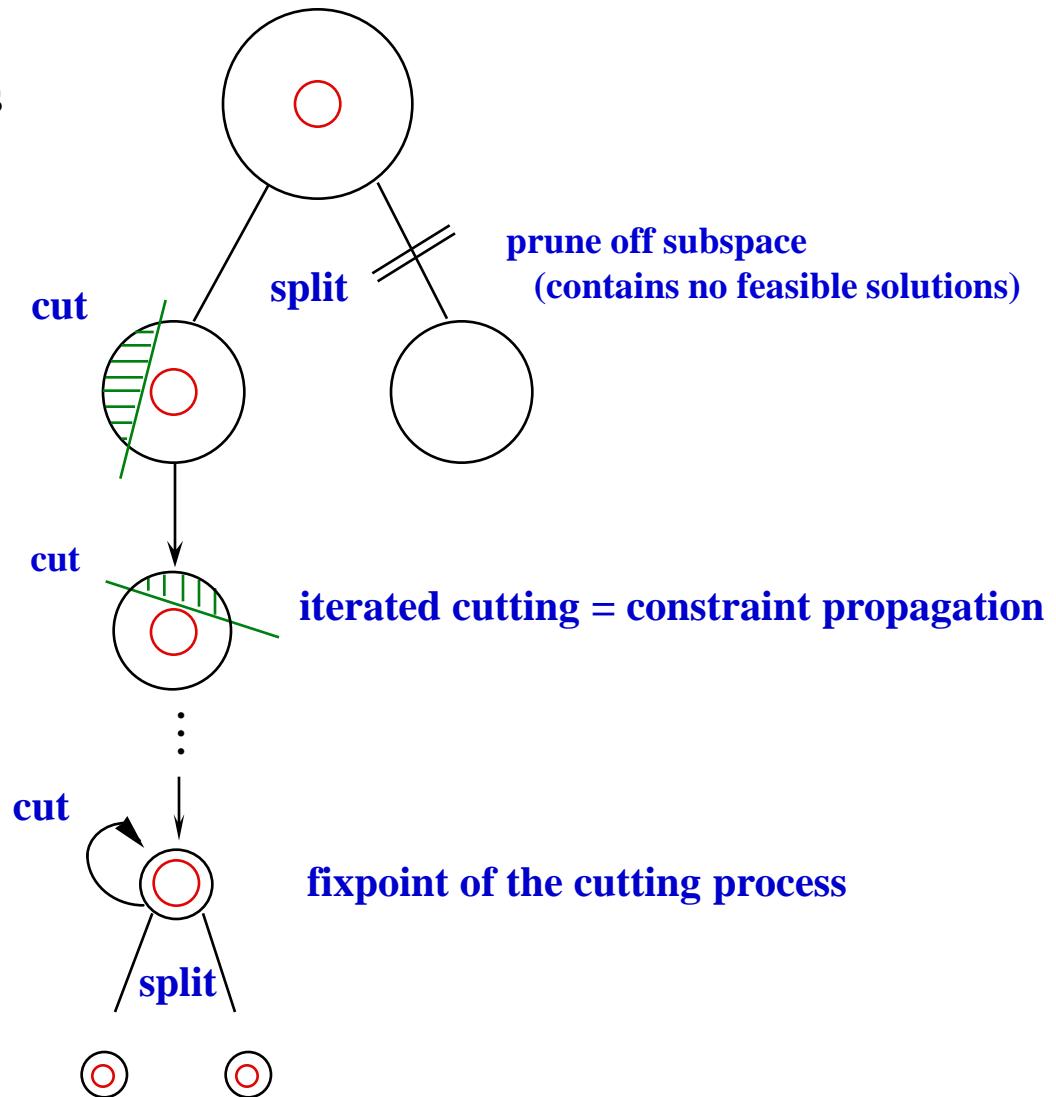
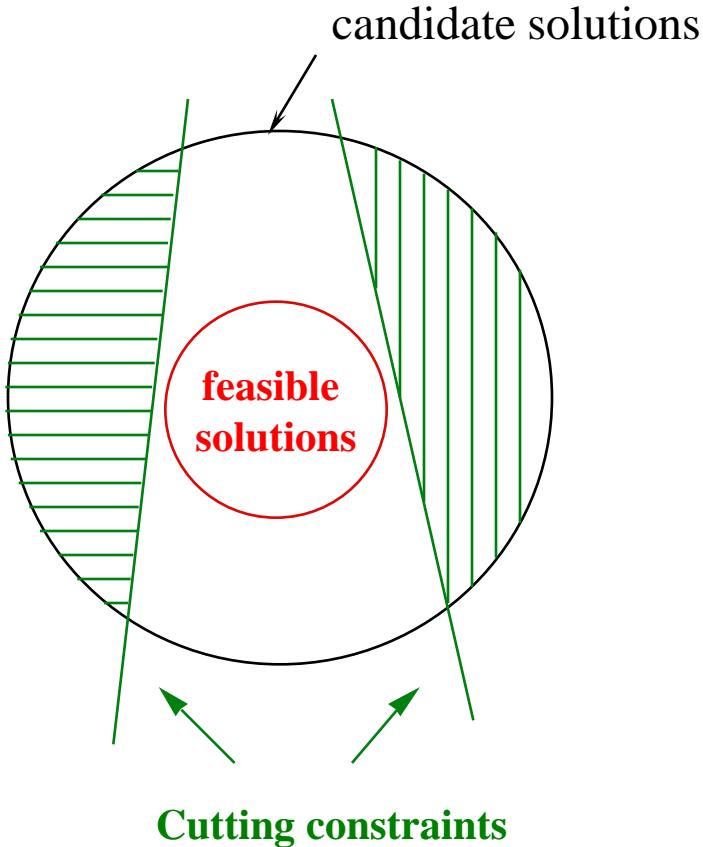
if a literal has all-positive (all-negative) occurrences  
then its value may be set to true (false).



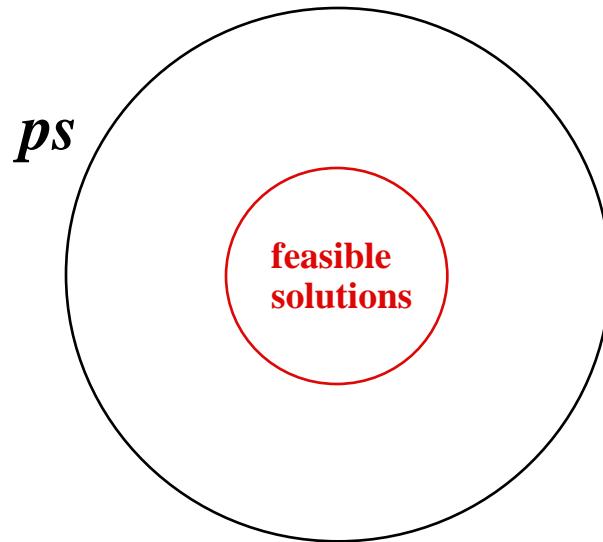
# Problem Solving Structure



# Global Search Problem Solving



# Deriving Pruning Constraints



Let  $ps$  be a partial solution that represents a set of candidate solutions

$$\underbrace{\exists(s) (ps \sqsubseteq s \wedge O(x,s))}_{\text{ideal pruning test – decides if } ps \text{ contains feasible solutions}} \Rightarrow \Phi(x,ps)$$

**ideal pruning test –  
decides if  $ps$  contains  
feasible solutions**

**derived pruning test –  
if false then  $ps$  contains  
no feasible solutions**

want the *strongest* necessary test rooted in both conjuncts



# Deriving a Pruning Test

$$\exists(s) (ps \sqsubseteq s \wedge O(x,s)) \Rightarrow \Phi(x,ps)$$

$$\exists(vm) ( pm \sqsubseteq vm \wedge \text{eval}(p,vm) = \text{true})$$

$\Rightarrow$  **weakening** = to  $\sqsubseteq$

$$\exists(vm) ( pm \sqsubseteq vm \wedge \text{eval}(p,vm) \sqsubseteq \text{true})$$

= Quantifier Elimination:  $\exists(vm) ( pm \sqsubseteq vm \wedge F(vm)) = F(pm)$   
if antimonotone  $F(vm)$

$$\text{eval}(p, pm) \sqsubseteq \text{true}$$

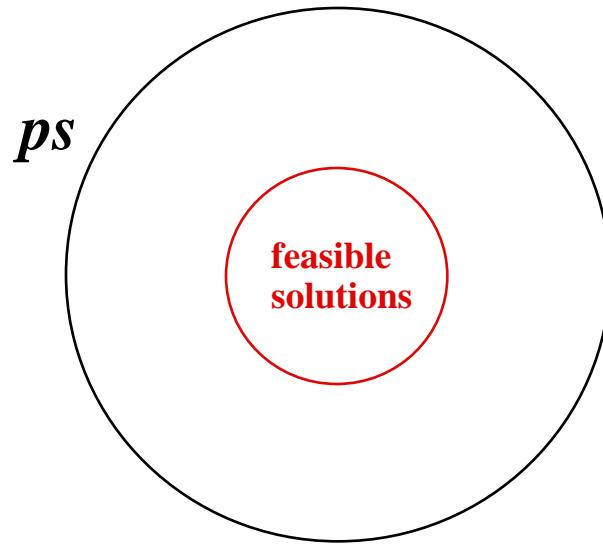
= unfolding def of eval (to get a CNF-specific pruning test)

$$\forall(c)(c \in p \Rightarrow \exists(\text{lit})(\text{lit} \in c \wedge \text{evalLit(lit,pm)} \sqsubseteq \text{true})).$$

$$\neg\Phi(p,pm) = \exists(c)(c \in p \wedge \forall(\text{lit})(\text{lit} \in c \Rightarrow \text{evalLit(lit,pm)} = \text{false})).$$



# Deriving Pruning Constraints



Let  $ps$  be a partial solution that represents a set of candidate solutions

$$\underbrace{\exists(s) (ps \sqsubseteq s \wedge O(x,s))}_{\text{ideal pruning test – decides if } ps \text{ contains feasible solutions}} \Rightarrow \Phi(x,ps)$$

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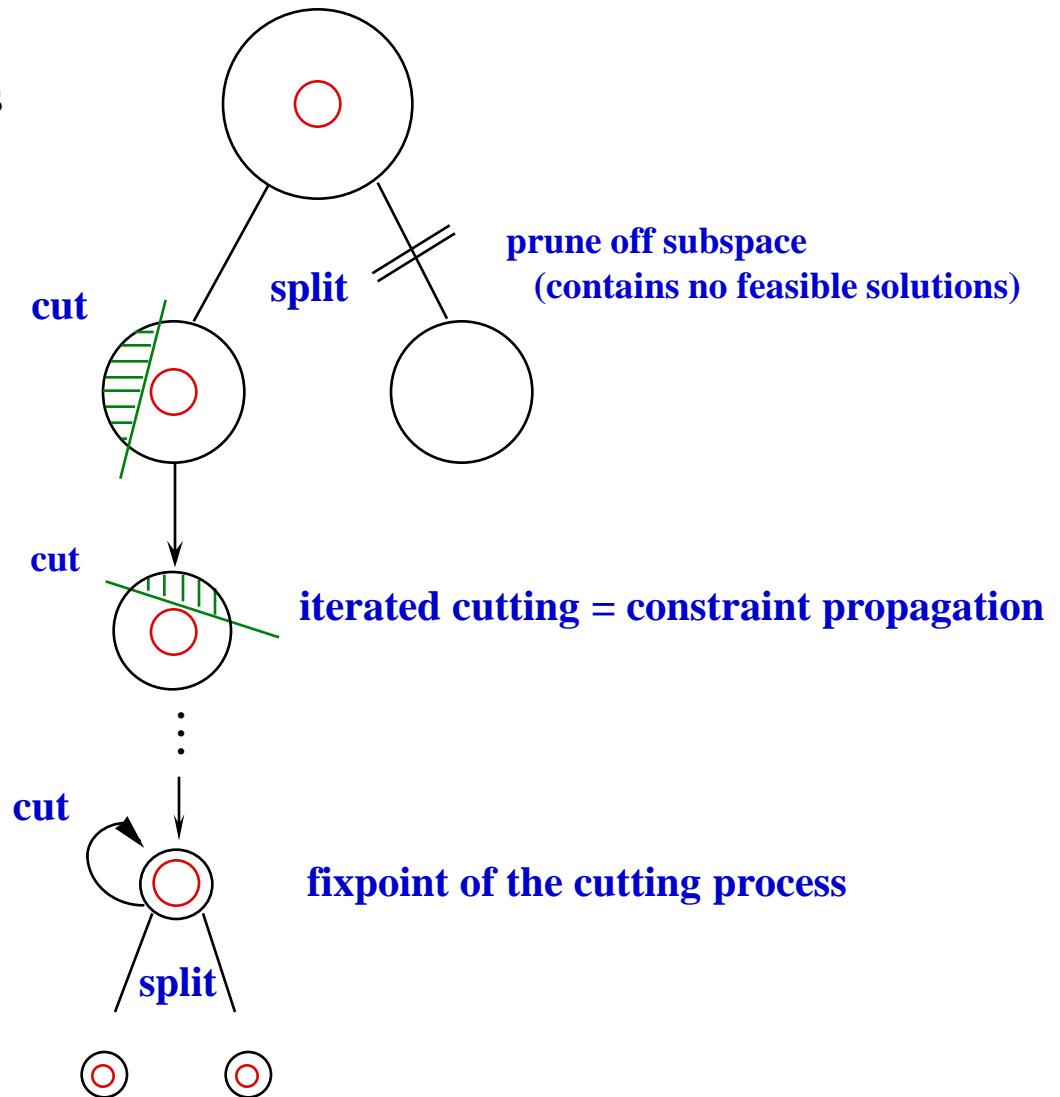
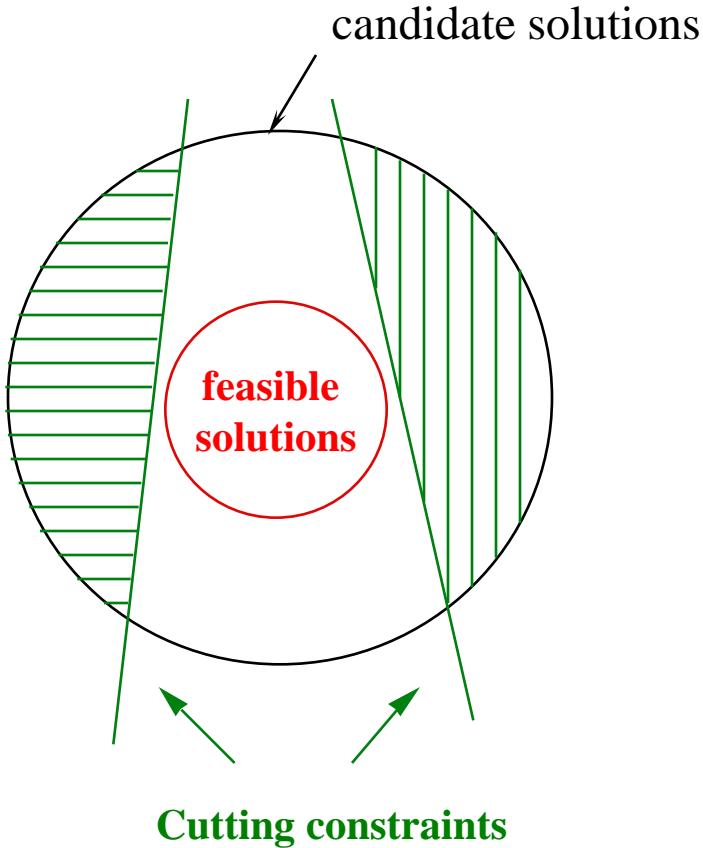
# Algorithm Design Principles

Principle 1. Characterize the ideal information needed  
then derive an approximation to it

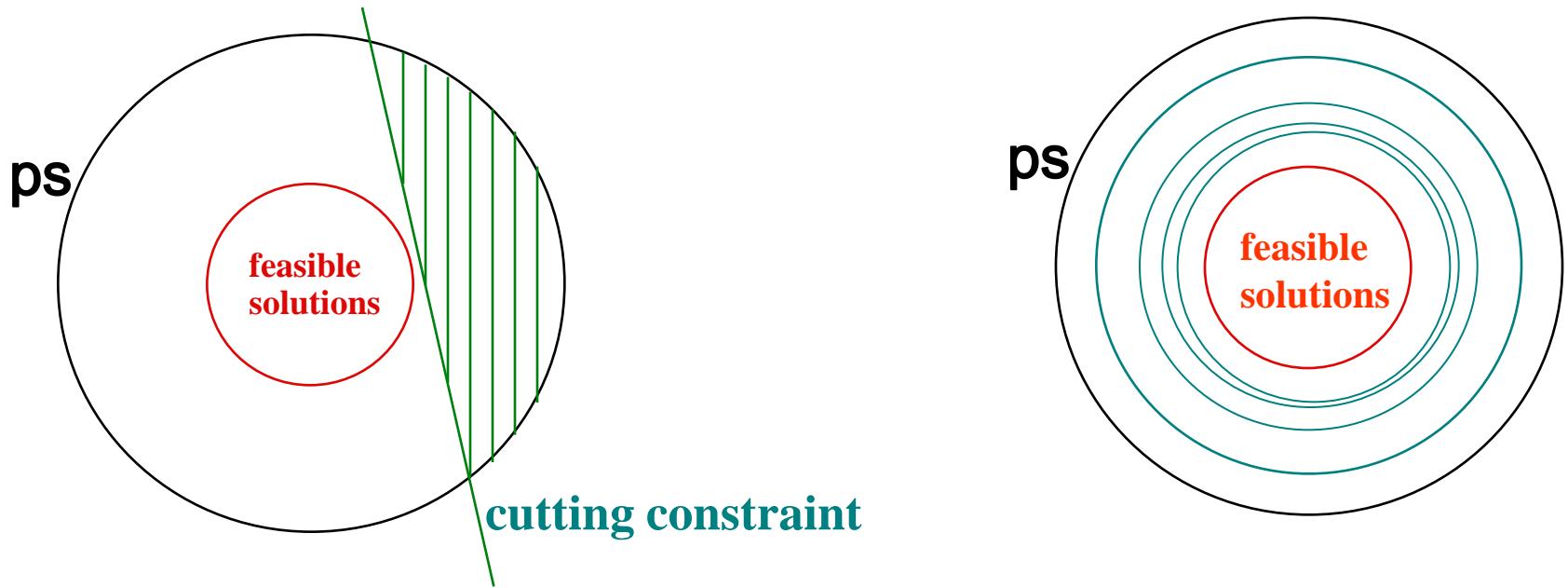
Principle 2. The closer semantically the approximation is to the ideal,  
the better the performance of the resulting algorithm.



# Global Search Problem Solving



# Deriving Cutting Constraints



Let **ps** be a partial solution that represents a set of candidate solutions

$$ps \sqsubseteq f(x, ps) \quad \wedge \quad \forall(s) (ps \sqsubseteq s \wedge O(x, s) \Rightarrow f(x, ps) \sqsubseteq s)$$



# Deriving (Boolean) Constraint Propagation: from cutting constraint to fixpoint iteration

Theorem:

If  $ps \sqsubseteq f(x, ps) \wedge \forall(s)(ps \sqsubseteq s \wedge O(x, s) \Rightarrow f(x, ps) \sqsubseteq s)$  characterization of the propagation function  $f$

then **least**  $qs$ .  $ps \sqsubseteq qs \wedge f(x, qs) \sqsubseteq qs$  least fixpoint of  $f$

$\sqsubseteq \sqcup qs$  s.t.  $ps \sqsubseteq qs \wedge fa(s)(ps \sqsubseteq s \Rightarrow (qs \sqsubseteq s = O(x, s)))$

specification of the perfect cut



# Deriving (Boolean) Constraint Propagation: from cutting constraint to fixpoint iteration

$\sqcup \text{qs s.t. } ps \sqsubseteq qs \wedge fa(s)(ps \sqsubseteq s \Rightarrow (qs \sqsubseteq s = O(x,s)))$

specification of the  
tightest representation

$\sqsupseteq$  weakening formula, Quantifier change

$\sqcup \text{qs s.t. } ps \sqsubseteq qs \wedge fa(s)(ps \sqsubseteq s \Rightarrow (qs \sqsubseteq s \Rightarrow O(x,s)))$

$\sqsupseteq$  weakening using  $qs \sqsubseteq s \wedge O(x,s) \Rightarrow f(x,qs) \sqsubseteq s$ , Quantifier change

$\sqcup \text{qs s.t. } ps \sqsubseteq qs \wedge fa(s)(ps \sqsubseteq s \Rightarrow (qs \sqsubseteq s \Rightarrow f(x,qs) \sqsubseteq s))$

= simplifying, using  $qs \sqsubseteq s \Rightarrow ps \sqsubseteq s$

$\sqcup \text{qs s.t. } ps \sqsubseteq qs \wedge fa(s)(qs \sqsubseteq s \Rightarrow f(x,qs) \sqsubseteq s)$

= Quantifier elimination:  $f(qs) \sqsubseteq s$  monotone in  $s$

$\sqcup \text{qs s.t. } ps \sqsubseteq qs \wedge f(x,qs) \sqsubseteq qs$

$\sqsupseteq$  least qs.  $ps \sqsubseteq qs \wedge f(x,qs) \sqsubseteq qs$  Implement by iterating  $f$   
to a fixpoint starting at  $ps$



# Deriving Boolean Constraint Propagation

$$ps \sqsubseteq f(x, ps) \wedge \forall(s) (ps \sqsubseteq s \wedge O(x, s) \Rightarrow f(x, ps) \sqsubseteq s)$$

$$pm \sqsubseteq vm \wedge eval(p, vm)$$

= def of map refinement

$$pm \oplus qm = vm \wedge eval(p, vm)$$

= project out vm

$$eval(p, pm \oplus qm)$$

= distribute eval over  $\oplus$

$$eval(simplify(p, pm), qm)$$

= case analysis on Boolean variables;

let  $p' = simplify(p, pm)$ ,  $b: boolean$

$$\bigwedge_{v \in domain(qm)} (\{v \mapsto b\} \sqsubseteq qm \vee \{v \mapsto \neg b\} \sqsubseteq qm) \wedge eval(p', qm)$$



# Deriving Boolean Constraint Propagation

$$ps \sqsubseteq f(x, ps) \wedge \forall(s) (ps \sqsubseteq s \wedge O(x, s) \Rightarrow f(x, ps) \sqsubseteq s)$$

$$\bigwedge_{v \in \text{domain}(qm)} (\{v \mapsto b\} \sqsubseteq qm \vee \{v \mapsto \neg b\} \sqsubseteq qm) \wedge \text{eval}(p', qm)$$

= replace disjunction by implication

$$\bigwedge_{v \in \text{domain}(qm)} (\neg\{v \mapsto b\} \sqsubseteq qm \Rightarrow \{v \mapsto \neg b\} \sqsubseteq qm) \wedge \text{eval}(p', qm)$$

⇒ antimotonicity of satisfiable:

$$m \sqsubseteq n \Rightarrow (\text{satisfiable}(p, m) \Leftarrow \text{satisfiable}(p, n))$$

$$\bigwedge_{v \in \text{domain}(qm)} (\neg(\text{satisfiable}(p', \{v \mapsto b\}) \Leftarrow \text{satisfiable}(p', qm)) \Rightarrow \{v \mapsto \neg b\} \sqsubseteq qm) \wedge \text{eval}(p', qm)$$



# Deriving Boolean Constraint Propagation

$$ps \sqsubseteq f(x, ps) \wedge \forall(s) (ps \sqsubseteq s \wedge O(x, s) \Rightarrow f(x, ps) \sqsubseteq s)$$

$$\bigwedge_{v \in \text{domain}(qm)} (\neg(\text{satisfiable}(p', \{v \mapsto b\}) \Leftarrow \text{satisfiable}(p', qm)) \Rightarrow \{v \mapsto \neg b\} \sqsubseteq qm) \wedge \text{eval}(p', qm)$$

$$= \text{eval}(p', qm) \Rightarrow \text{satisfiable}(p', qm)$$

$$\bigwedge_{v \in \text{domain}(qm)} (\neg(\text{satisfiable}(p', \{v \mapsto b\}) \Rightarrow \{v \mapsto \neg b\} \sqsubseteq qm) \wedge \text{eval}(p', qm))$$

$$\Rightarrow \text{domain}(qm) = \text{Vars} \setminus \text{domain}(pm), \text{ unfold } p'$$

$$\bigwedge_{\substack{v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\} \sqsubseteq qm$$



# Deriving Boolean Constraint Propagation

$$ps \sqsubseteq f(x, ps) \wedge \forall(s) (ps \sqsubseteq s \wedge O(x, s) \Rightarrow f(x, ps) \sqsubseteq s)$$

$$\bigwedge \{v \mapsto \neg b\} \sqsubseteq qm$$

$$\begin{array}{l} v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\}) \end{array}$$

= using the law:  $\bigwedge(m_i \sqsubseteq n) = (\bigoplus m_i) \sqsubseteq n$

$$\left( \bigoplus_{\substack{v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\} \right) \sqsubseteq qm$$

$\Rightarrow$  precomposing with pm

$$pm \oplus \left( \bigoplus_{\substack{v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\} \right) \sqsubseteq pm \oplus qm = vm$$

$f(p, pm)$



# Unit Rule and other forms of Propagation

$$pm \oplus \bigoplus_{\substack{v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\}$$

Calculate a sufficient condition of  $\neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})$ :

$$\neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})$$

$$\Leftarrow \neg (\text{eval}(p, pm \oplus \{v \mapsto b\}) = \text{true})$$

= using CNF def of eval, evalC

$$\exists (c: \text{Clause})(c \in p \wedge \forall (\text{lit})(\text{lit} \in c \Rightarrow \text{evalL}(\text{lit}, pm \oplus \{v \mapsto b\}) = \text{false}))$$

= distributing evalL over  $\oplus$

$$\exists (c: \text{Clause})(c \in p \wedge \forall (\text{lit})(\text{lit} \in c \Rightarrow \text{if var(lit)}=v \\ \text{then evalL(lit, } \{v \mapsto b\} \text{) = false} \\ \text{else evalL(lit, pm) = false}))$$

other sufficient conditions lead to BCP2 – speculative BCP



# Boolean Constraint Propagation Code

$$f(p, pm) = pm \oplus \bigoplus_{\substack{v \in \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\}$$

code: propagate( $p, pm$ )

propagate( $p:CNF, m: \text{Valuation}$ ):  $\text{Valuation} =$

if  $m = f(p, m)$   
then  $m$   
else propagate( $p, f(p, m)$ ).

use Finite Differencing to reduce the  
cost of this expensive expression



# Conflict Analysis and Learning

A GS path fails when  $\neg\Phi(x, ps)$

The decisions leading up to the failure include

- split decisions: `sds`
- propagation refinements: `prs`

*Conflict Analysis*: a sufficient condition on the failure:

$$\theta(x, ps, sds, prs) \Rightarrow \neg\Phi(x, ps)$$

or

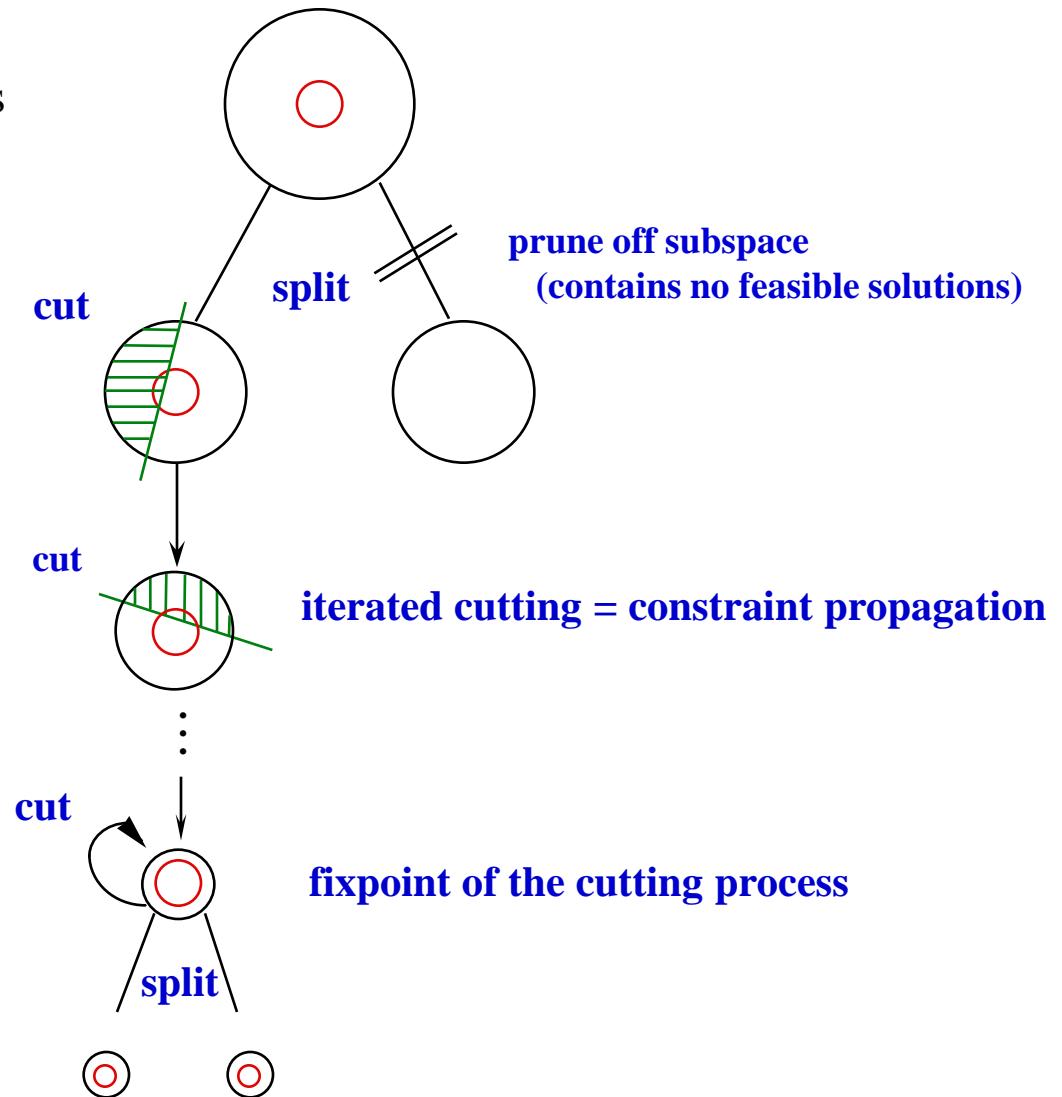
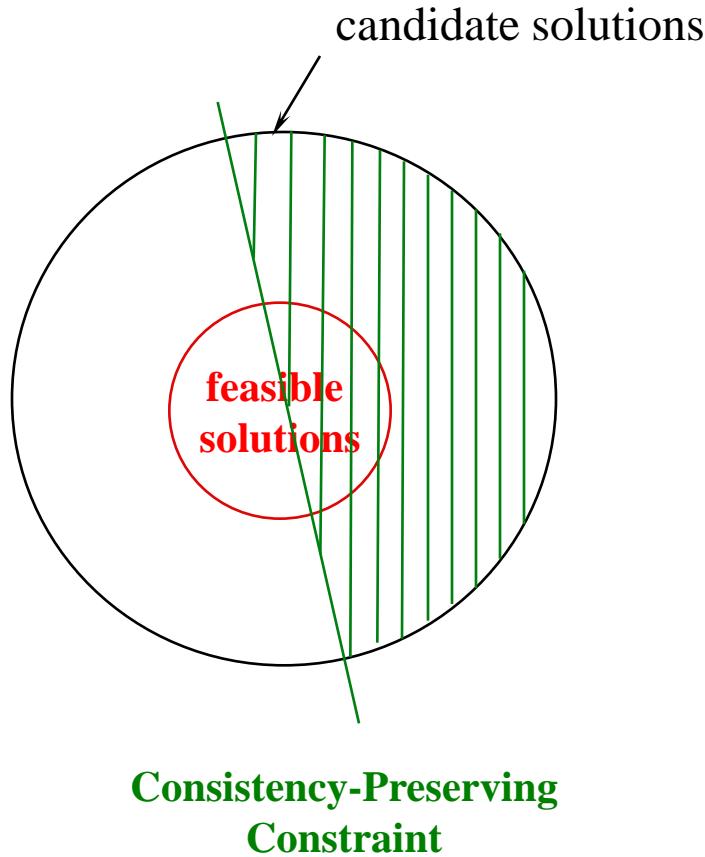
$$\exists(s)(ps \sqsubseteq s \wedge O(x, s)) \Rightarrow \Phi(x, ps) \Rightarrow \neg\theta(x, ps, sds, prs)$$

*Learning*: Incorporating  $\neg\theta$  as a propagation constraint:

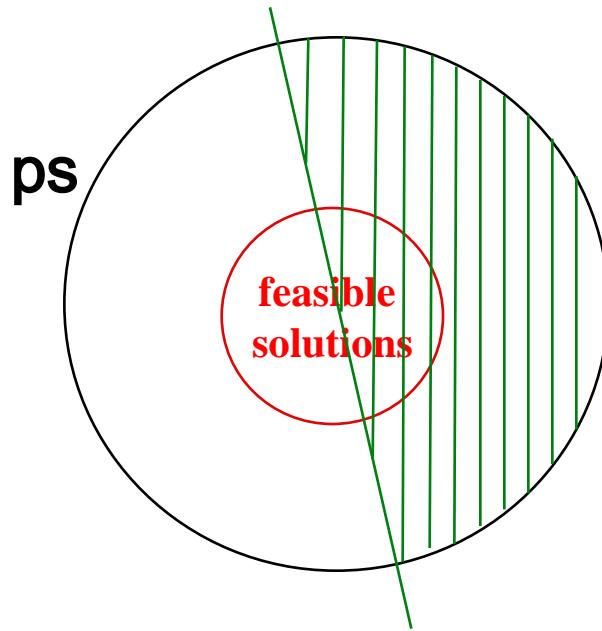
- easy in SAT since  $\neg\theta$  is a clause
- in general GS?



# Global Search Problem Solving



# Consistency-Preserving Refinement



Let  $ps$  be a partial solution that represents a set of candidate solutions

$$\exists(s) (ps \sqsubseteq s \wedge O(x,s)) = \exists(s) (f(x,ps) \sqsubseteq s \wedge O(x,s)) \wedge ps \sqsubseteq f(x,ps)$$

$ps$  has a feasible solution      iff       $f(x,ps)$  has a feasible solution



# Pure Literal Rule: A Consistency-Preserving Refinement

$$\exists(s) (ps \sqsubseteq s \wedge O(x,s)) = \exists(s) (f(x,ps) \sqsubseteq s \wedge O(x,s)) \wedge ps \sqsubseteq f(x,ps)$$

$$\exists(vm)( pm \sqsubseteq vm \wedge \text{eval}(p,vm)=\text{true})$$

- = by definition
- = satisfiable(p, pm)
- = a digression is in order



# Pure Literal Rule: A Consistency-Preserving Refinement

Want to apply the following Quantifier Elimination law about functions:

$$\exists(a)F(a) = F(\text{true}) \quad \text{for monotone } F$$

but we need to apply it to the *CNF representation* of a function:

$$\forall(v)(\text{monotone}(p,v) \Rightarrow \text{satisfiable}(p) = \text{satisfiable}(p,\{v \mapsto \text{true}\}))$$

$$\begin{aligned} \text{satisfiable}(p,m) &= \text{satisfiable}(p, m \oplus \bigoplus_{\text{monotone}(p,v)} \{v \mapsto \text{true}\}) \\ &\qquad \qquad \qquad \end{aligned}$$

$$\begin{aligned} \text{satisfiable}(p,m) &= \text{satisfiable}(p, m \oplus \bigoplus_{\text{antimonotone}(p,v)} \{v \mapsto \text{false}\}) \\ &\qquad \qquad \qquad \end{aligned}$$



# Pure Literal Rule: A Consistency-Preserving Refinement

$$\exists(s) (ps \sqsubseteq s \wedge O(x,s)) = \exists(s) (f(x,ps) \sqsubseteq s \wedge O(x,s)) \wedge ps \sqsubseteq f(x,ps)$$

$$\exists(vm) (pm \sqsubseteq vm \wedge eval(p,vm))$$

= by definition

$$satisfiable(p, pm)$$

= CNF version of Quantifier Elimination laws

$$satisfiable(p, pm \oplus \bigoplus_{\text{monotone}(p,v)} \{v \mapsto \text{true}\} \oplus \bigoplus_{\text{antimonotone}(p,v)} \{v \mapsto \text{false}\})$$

= unfolding the def of satisfiable

$$\exists(vm) (f(p,pm) \sqsubseteq vm \wedge eval(p,vm))$$

$$\text{where } f(p,pm) = pm \oplus \bigoplus_{\text{monotone}(p,v)} \{v \mapsto \text{true}\} \oplus \bigoplus_{\text{antimonotone}(p,v)} \{v \mapsto \text{false}\}$$



# Summary: Propagation Code

$$ur(p, pm) = pm \oplus \bigoplus_{\substack{v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\}$$

$$\text{plr}(p, pm) = pm \oplus \bigoplus_{\text{monotone}(p, v)} \{v \mapsto \text{true}\} \oplus \bigoplus_{\text{antimonotone}(p, v)} \{v \mapsto \text{false}\}$$

propagate( $p:\text{CNF}$ ,  $m:$  Valuation): Valuation =

if  $m = \text{plr}(ur(p, m))$

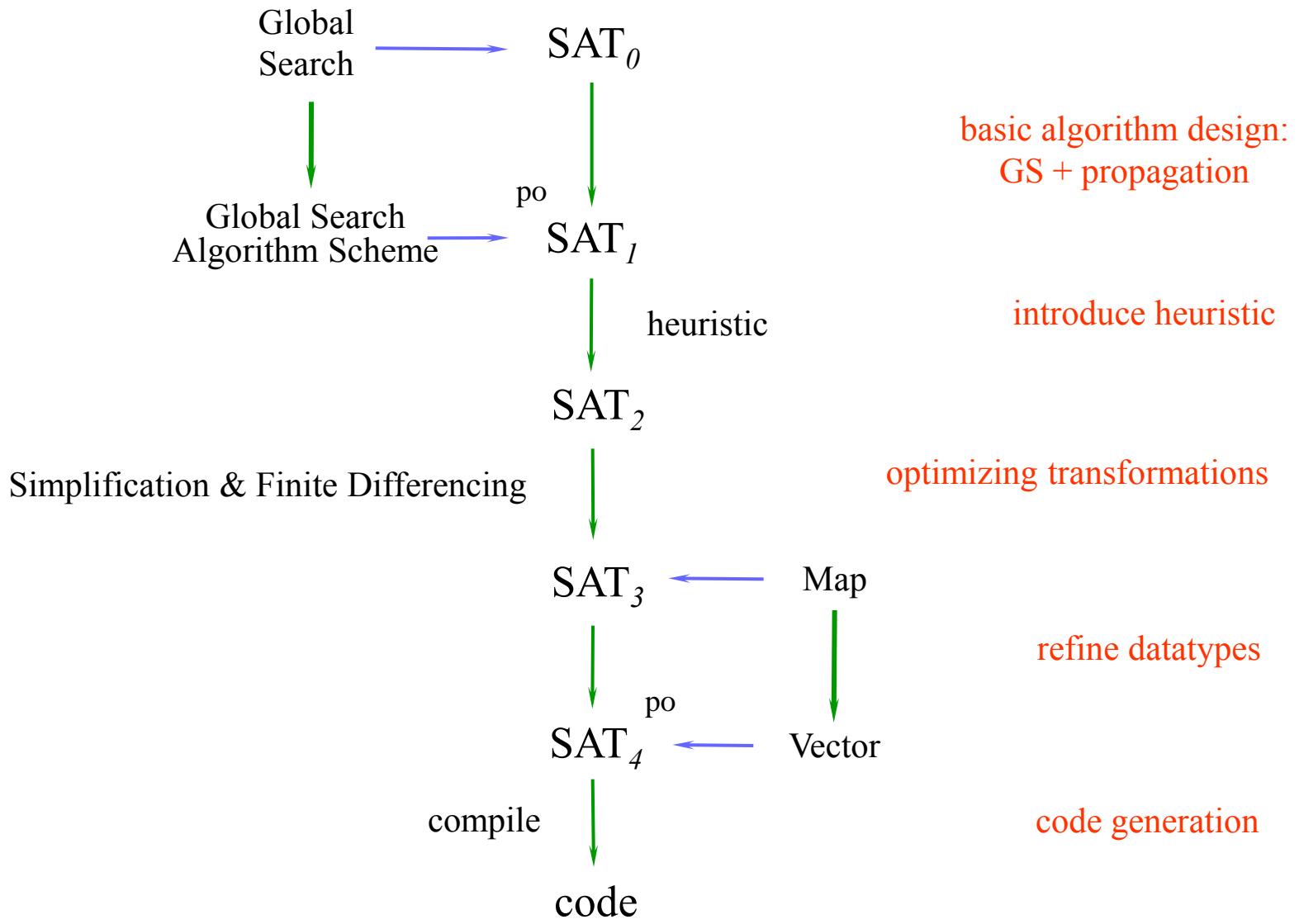
use Finite Differencing to reduce the  
cost of this expensive expression

then  $m$

else propagate( $p, \text{plr}(ur(m))$ ).



# Derivation Structure



# Heuristics: Variable Choice and Value Ordering

## Maximum Occurrences of Minimum Size (MOMs):

Let  $F(v)$  = number of occurrences of  $v$  in the shortest open clauses;  
branch on  $v$  such that  $F(v)$  and  $F(\neg v)$  are maximal

## Dynamic Largest Individual Sum:

For a given variable  $v$ :

- $C_{v,p}$  = # unresolved clauses in which  $v$  appears positively
- $C_{v,n}$  = # unresolved clauses in which  $v$  appears negatively
- Let  $v$  be the literal for which  $C_{v,p}$  is maximal
- Let  $w$  be the literal for which  $C_{w,n}$  is maximal
- If  $C_{v,p} > C_{w,n}$  choose  $v$  and assign it TRUE
- Otherwise choose  $w$  and assign it FALSE



# Derivation of Heuristics?

idea: formally specify the ideal situation,  
and then derive a tractably computable approximation

Goal: choose  $v$  to minimize the cost of deciding satisfiability of  $p$

≈ let  $|p| = \text{number of unassigned vars in } p$ , assume cost of subtree  $\approx C^{|p|}$

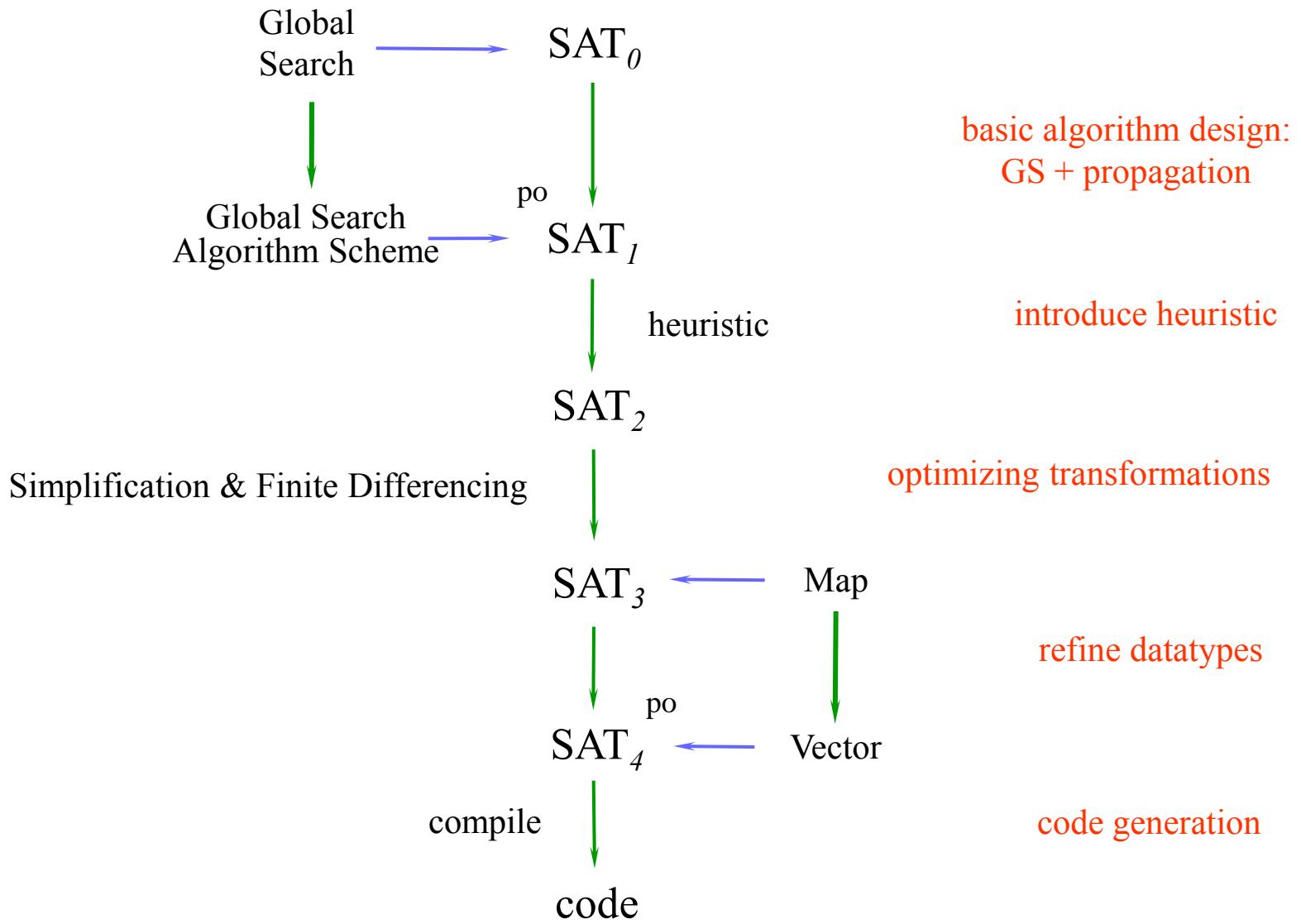
$$\min_v C^{\text{propagate}(\text{simplify}(p, \{v \mapsto \text{true}\}))} + C^{\text{propagate}(\text{simplify}(p, \{v \mapsto \text{false}\}))}$$

≈ minimize each term by maximizing the impact of propagation;  
minimize the sum by attempting to make the exponents roughly equal

$$\max_v \text{occurrences}(v, p) * \text{occurrences}(\neg v, p)$$



# Derivation Structure



# Finite Differencing

```
...  
F(nil)  
...  
def F(x:List)=  
...  
length(x)  
...  
F(cons(a,x))  
...
```

abstract  
on  $\text{length}(x)$

then  
simplify

```
...  
F'(nil,  $\underbrace{\text{length}(nil)}$ )  
...  
0  
def F'(x, c / c= $\text{length}(x)$ ) =  
...  
 $\text{length}(x) \longrightarrow c$   
...  
F'(cons(a,x),  $\underbrace{\text{length}(\text{cons}(a,x)))}$ )  
...
```

*distribute length over cons*

$1 + \text{length}(x)$

$1 + c$



# Summary: Propagation Code

$$ur(p, pm) = pm \oplus \bigoplus_{\substack{v \in \text{Vars} \setminus \text{domain}(pm) \\ \neg \text{satisfiable}(p, pm \oplus \{v \mapsto b\})}} \{v \mapsto \neg b\}$$

$$plr(p, pm) = pm \oplus \begin{cases} \bigoplus_{\text{monotone}(p, v)} \{v \mapsto \text{true}\} & \oplus \\ \bigoplus_{\text{antimonotone}(p, v)} \{v \mapsto \text{false}\} & \end{cases}$$

propagate( $p:\text{CNF}$ ,  $m: \text{Valuation}$ ): Valuation =

```
if  $m = plr(ur(p, m))$ 
then  $m$ 
else propagate( $p, plr(ur(m))$ ).
```



# Finite Differencing for Open Variables

Maintain current set of open variables:  $\text{openVars} = \text{Vars} \setminus \text{domain}(\text{pm})$

## 0. Initialization

Context:  $\text{st} = \text{mkInitialState}(\text{p})$

Simplify:  $\text{openVars} = \text{Vars} \setminus \text{domain}(\text{st.varVals})$

= substituting  $\text{st}$

$\text{openVars} = \text{Vars} \setminus \text{domain}(\text{mkInitialState}(\text{p}).\text{varVals})$

= unfold

$\text{openVars} = \text{Vars} \setminus \text{domain}(\{\})$

= simplify

$\text{openVars} = \text{Vars}.$



# Finite Differencing for Open Variables

## 1. UpdateState

Context:  $st' = \text{updateState}(st, var, val)$   
  &  $\text{openVars} = \text{Vars} \setminus \text{domain}(st.\text{varVals})$

Simplify:  $\text{openVars}' = \text{Vars} \setminus \text{domain}(st'.\text{varVals})$

= **substituting  $st'$**

$\text{Vars} \setminus \text{domain}(\text{updateState}(st, var, val).\text{varVals})$

= **unfold**

$\text{Vars} \setminus \text{domain}(st.\text{varVals} \oplus \{\text{var} \mapsto \text{val}\})$

= **simplify**

$\text{Vars} \setminus \text{domain}(st.\text{varVals}) \setminus \{\text{var}\}$

=  $\text{openVars} \setminus \{\text{var}\}$ .



# Finite Differencing for the Unit Rule

What are the current units clauses?

let `open?(st,lit)` decide if literal `lit` has a value

Maintain: OLC (Open Literal Count per clause)

$$\text{OLC}(c) = \text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{open?}(st, \text{lit}) \} \quad \text{for clauses } c$$



# Finite Differencing for the Unit Rule

## 0. Initialization

Context:  $st = \text{mkInitialState}(p)$

Simplify:  $\text{OLC}(c) = \text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{open?}(st, \text{lit}) \}$

= **substituting st**

$\text{OLC}(c) = \text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{open?}(\text{mkInitialState}(p), \text{lit}) \}$

= **unfold open?**

$\text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{Apply}(\text{mkInitialState}(p).varVal, \text{lit}) = \text{unk} \}$

=  $\text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{true} \}$

=  $\text{size}(c)$ .



# Finite Differencing for the Unit Rule

## 1. UpdateState

Context:  $st' = \text{updateState}(st, v, b)$   
  &  $\text{OLC}(c) = \text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{open?}(st, \text{lit}) \}$

Simplify:  $\text{OLC}'(c) = \text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{open?}(st', \text{lit}) \}$

= substituting  $st'$

$\text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{open?}(\text{updateState}(st, v, b), \text{lit}) \}$

= unfold open?

$\text{size} \{ \text{lit} \mid \text{lit} \in c \wedge \text{Apply}(\text{updateState}(st, v, b).varVal=unk, \text{lit}) \}$

= ...

if  $v \in \text{map}(\text{varofLit}, c)$   
then  $\text{OLC}(c) - 1$   
else  $\text{OLC}(c)$ .



# Finite Differencing for the Unit Rule

Maintain the set of clauses that have one open literal

`currentUnitClauses = filter( fn(cl) → OLC(cl)=1), domain(st.prop))`

Context: `st' = updateState(st,v,b)`

`currentUnitClauses' = currentUnitClauses`  
   $\setminus \{c \mid v \in c \wedge c \in \text{currentUnitClauses}\}$   
   $\cup \{c \mid v \in c \wedge \text{OLC}(c)=2\}$



# Data Type Refinement

Simple specification for finite maps:

```
spec
  import Sets
  type Map(a,b)

  op [a,b] apply : Map(a,b) -> a -> Option b
  op [a,b] empty_map : Map(a,b)
  op [a,b] update : Map(a,b) -> a -> b -> Map(a,b)
  op [a,b] singletonMap : a -> b -> Map(a,b)
  op [a,b] domain: Map(a,b) -> Set a

  op [a,b] TMApply(m:Map(a,b),x:a | x in? domain(m)): b =
    the(z:b)( apply m x = some z)

  ...
endspec
```



# Refinement by Spec Morphism

Refine Maps to Vector structures equipped for fast backtracking

```
Map = spec  
import Sets  
type Map(a,b)  
  
op apply  
op empty_map  
op update  
op singletonMap  
op domain  
  
op TMApply  
...  
endspec
```

```
BTVector = spec  
import Sets  
type Map(a,b)  
  
op BTV_apply  
op BTV_empty_map  
op BTV_update  
op singletonMap =  
    λ (x, y) BTV_update(BTV_empty_map,x,y)  
  
op BTV_domainToList  
op domain(m: Map(a,b)) =  
    foldl (λ(x,s) set_insert(x,s))  
        empty_set (BTV_domainToList m)  
  
op BTV_eval  
...  
endspec
```

Proof obligation: all  
map axioms remain provable  
under translation



# BTVectors

Datatype to represent maps with backtrack info

delta vectors

current map	next delta	domain element	saved value
-------------	------------	----------------	-------------

map m

0	0	1	0	1	1	1	0
---	---	---	---	---	---	---	---

		-	-
--	--	---	---



# BTVectors

Datatype to represent maps with backtrack info

delta vectors

current map	next delta	domain element	saved value
-------------	------------	----------------	-------------

map m

1	0	1	0	1	1	1	0
---	---	---	---	---	---	---	---

		-	-
--	--	---	---

$$m(0) \leftarrow 1$$

		0	0
--	--	---	---



# BTVectors

Datatype to represent maps with backtrack info

delta vectors

current map	next delta	domain element	saved value
-------------	------------	----------------	-------------

map m

1	0	0	0	1	1	1	0
---	---	---	---	---	---	---	---

		-	-
--	--	---	---

$$m(0) \leftarrow 1$$

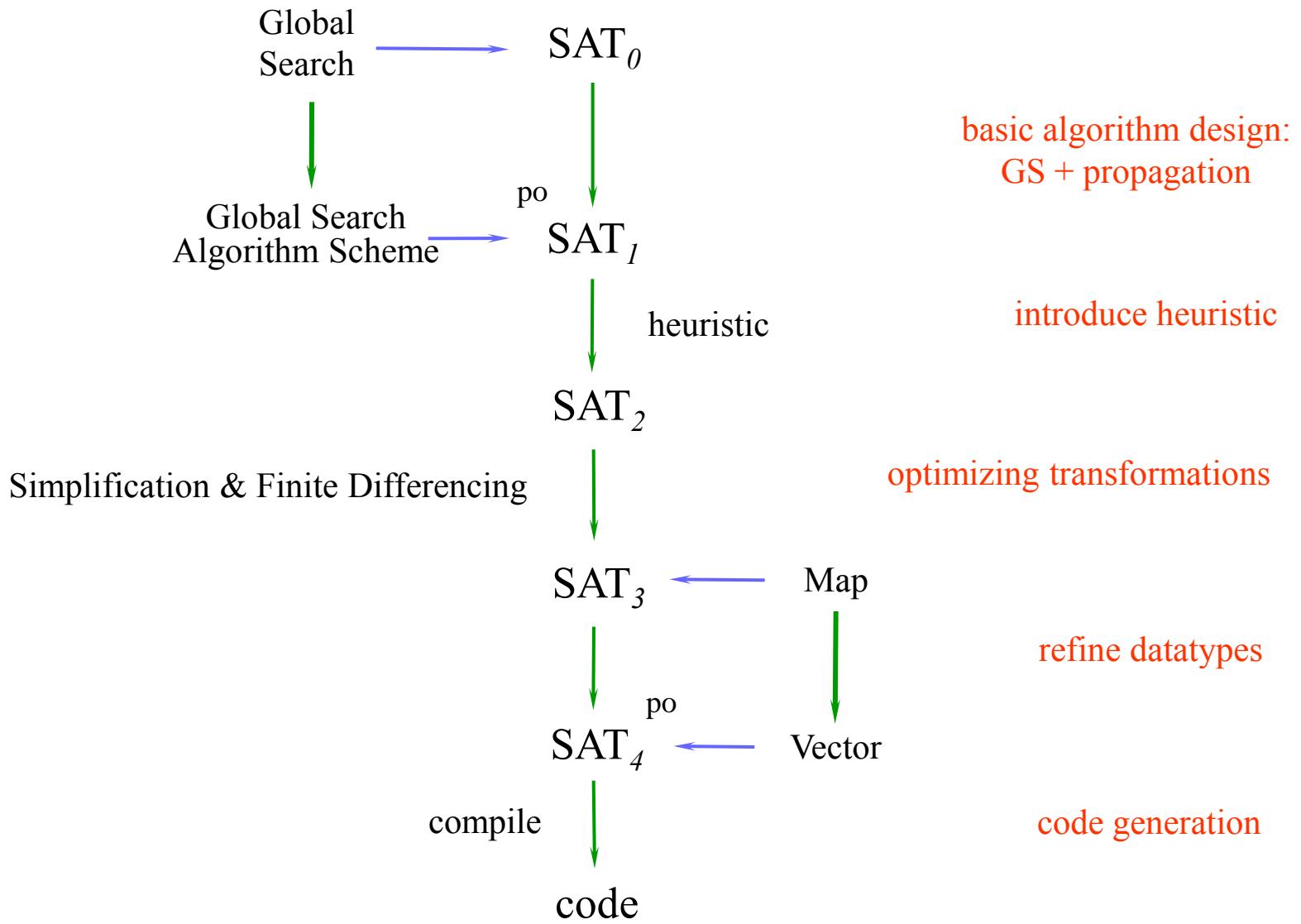
		0	0
--	--	---	---

$$m(2) \leftarrow 0$$

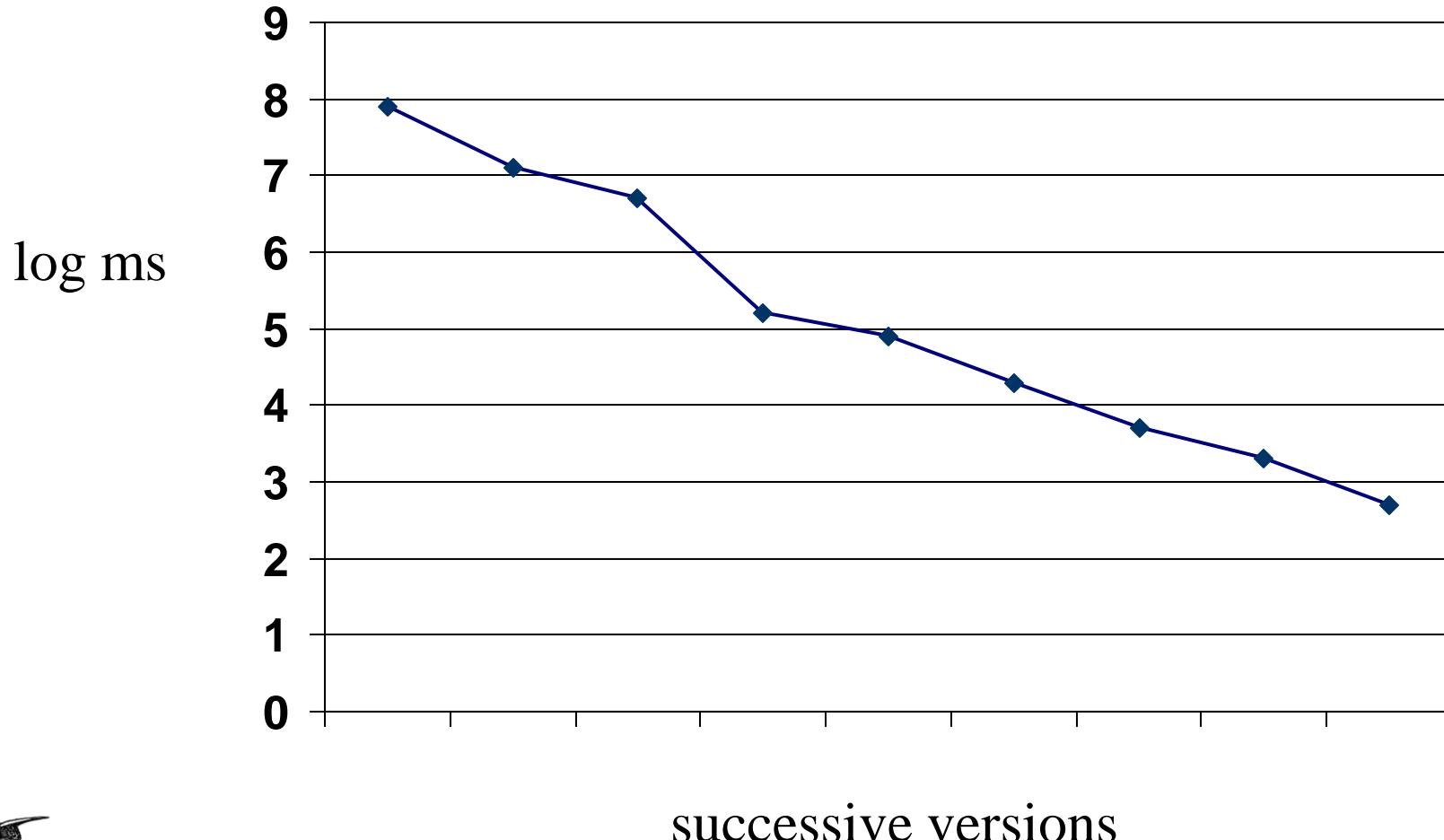
		2	1
--	--	---	---



# Derivation Structure



# Log Plot of Runtimes for Consecutive Versions



# What's Next

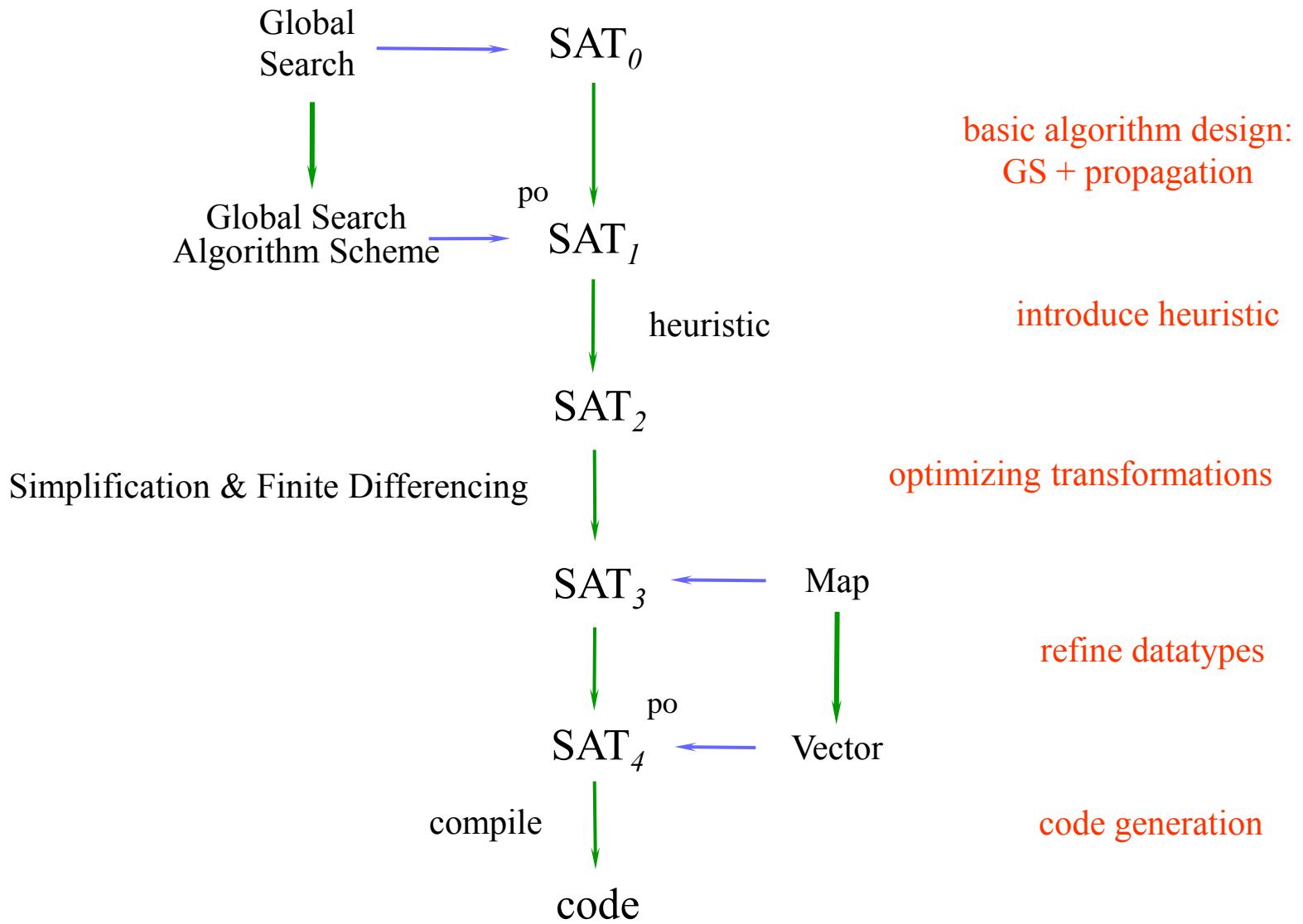
- better conflict analysis
- learning
- restarts
- preprocessing
- watched literals
- locality tuning
- better data structures

General Goals:

1. Capture best-practice abstract design theories
2. Apply theories to generate native solvers for other problems



# Derivation Structure



# Extras



# SAT-like Problems

- k-SAT (all clauses have size k)
- max-SAT (find an assignment that maximizes the satisfied clauses)
- QBF (Quantified Boolean Formula)
- 2QBF (QBF restricted to 2 quantifiers)
- Pseudo Boolean SAT (counting constraints + objectives: 0,1-ILP)
- Horn-SAT (clauses have at most one positive literal)
- game-SAT
- SMT (Satisfiability Modulo Theories)

non-SAT constraint problems, e.g.

Discrete CSP

Knapsack

Integer Linear Programming

Scheduling

Set Covers

