

# Private Disclosure of Information in Health Tele-monitoring

Daniel Aranki, Ruzena Bajcsy

University of California, Berkeley  
{daranki,bajcsy}@eecs.berkeley.edu

May 7, 2015

# Motivation



# Example

- 1 Patient Bob wants to update his physician Alice about his Body Mass Index (BMI) and weight ( $x$ ).



# Example

- 1 Patient Bob wants to update his physician Alice about his Body Mass Index (BMI) and weight ( $x$ ).
- 2 Alice already knows the BMI category of Bob ( $c$ ).



# Example

- 1 Patient Bob wants to update his physician Alice about his Body Mass Index (BMI) and weight ( $x$ ).
- 2 Alice already knows the BMI category of Bob ( $c$ ).
- 3 Alice and Bob want to keep the BMI category  $c$  private from Eve, a passive eavesdropper, after observing the communication.

# Setting and Threat Model

## Setting

## Disclosed Identity

The identity of the sender ( $s$ ) is attached to each disclosed piece of information.

# Setting and Threat Model

## Setting

### Disclosed Identity

The identity of the sender ( $s$ ) is attached to each disclosed piece of information.

### Intended Recipient's Knowledge

The sender belongs to a class ( $c$ ) that is known to the intended recipient.

# Setting and Threat Model

## Setting

### Disclosed Identity

The identity of the sender ( $s$ ) is attached to each disclosed piece of information.

### Intended Recipient's Knowledge

The sender belongs to a class ( $c$ ) that is known to the intended recipient.

## Threat Model

Adversary is a passive man in the middle interested in inferring the class  $c$  of the sender  $s$  based on the disclosed information.



## Idea

The sender discloses an encoded version  $z$  of  $x$ , where the encoding depends on her class  $c$ .

# Objectives

## Decoding Condition

The intended recipient can make full use of the sent information  $z$ , i.e. obtain the original message  $x$  from the transmitted message  $z$ .

# Objectives

## Decoding Condition

The intended recipient can make full use of the sent information  $z$ , i.e. obtain the original message  $x$  from the transmitted message  $z$ .

## Hiding Class Condition

The adversary's ability to make inference about  $c$  given  $s$ , based on the sent information  $z$  is minimized.

# Some Definitions

- $\mathcal{S}$  is the set of senders' identities

# Some Definitions

- $\mathcal{S}$  is the set of senders' identities
- $\Sigma$  is the set of senders' classes

# Some Definitions

- $\mathcal{S}$  is the set of senders' identities
- $\Sigma$  is the set of senders' classes
- $\mathcal{I}$  is the set of pieces of information

# The Process

## The Disclosure Process

Let  $R : \Sigma \rightarrow \mathcal{I}^{\mathcal{I}}$  (Privacy Mapping Function)

# The Process

## The Disclosure Process

Let  $R : \Sigma \rightarrow \mathcal{I}^{\mathcal{I}}$  (Privacy Mapping Function) (Equivalent to  $R : \Sigma \times \mathcal{I} \rightarrow \mathcal{I}$  being injective in the second argument)



# The Process

## The Disclosure Process

Let  $R : \Sigma \rightarrow \mathcal{I}^{\mathcal{I}}$  (Privacy Mapping Function) (Equivalent to  $R : \Sigma \times \mathcal{I} \rightarrow \mathcal{I}$  being injective in the second argument)

## Sending Information

- Sender  $s \in \mathcal{S}$  (from class  $c \in \Sigma$ ) wants to send information  $x \in \mathcal{I}$ .

# The Process

## The Disclosure Process

Let  $R : \Sigma \rightarrow \mathcal{I}^{\mathcal{I}}$  (Privacy Mapping Function) (Equivalent to  $R : \Sigma \times \mathcal{I} \rightarrow \mathcal{I}$  being injective in the second argument)

## Sending Information

- Sender  $s \in \mathcal{S}$  (from class  $c \in \Sigma$ ) wants to send information  $x \in \mathcal{I}$ .
- Let the sender encode  $z = [R(c)](x)$ , and send  $z$ .

# The Process

## The Disclosure Process

Let  $R : \Sigma \rightarrow \mathcal{I}^{\mathcal{I}}$  (Privacy Mapping Function) (Equivalent to  $R : \Sigma \times \mathcal{I} \rightarrow \mathcal{I}$  being injective in the second argument)

## Sending Information

- Sender  $s \in \mathcal{S}$  (from class  $c \in \Sigma$ ) wants to send information  $x \in \mathcal{I}$ .
- Let the sender encode  $z = [R(c)](x)$ , and send  $z$ .

## Receiving Information

- The intended recipient knows the identity of  $s$  and her class  $c$ .

# The Process

## The Disclosure Process

Let  $R : \Sigma \rightarrow \mathcal{I}^{\mathcal{I}}$  (Privacy Mapping Function) (Equivalent to  $R : \Sigma \times \mathcal{I} \rightarrow \mathcal{I}$  being injective in the second argument)

## Sending Information

- Sender  $s \in \mathcal{S}$  (from class  $c \in \Sigma$ ) wants to send information  $x \in \mathcal{I}$ .
- Let the sender encode  $z = [R(c)](x)$ , and send  $z$ .

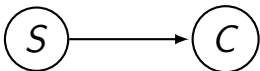
## Receiving Information

- The intended recipient knows the identity of  $s$  and her class  $c$ .
- The intended recipient then can decode  $x \leftarrow [R(c)]'(z)$ .

# Statistical Graphical Model

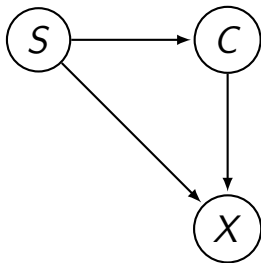
 $P(S)$

# Statistical Graphical Model



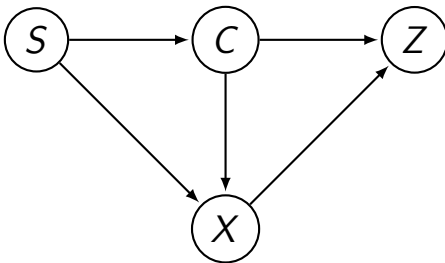
$$P(C|S)$$

# Statistical Graphical Model



$$P(X|C, S)$$

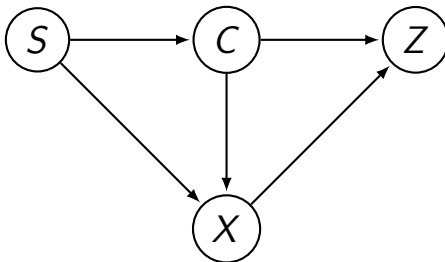
# Statistical Graphical Model



$$p(Z = z | X = x, C = c) \triangleq \delta(z - [R(c)](x))$$



# Statistical Graphical Model



$$P(S) \quad P(C|S) \quad P(X|C, S)$$

# Formulation of Problem

$$\begin{aligned} & \text{minimize } I(C, Z|S; R) \\ & \text{w.r.t } R \in (\Sigma \rightarrow \mathcal{I}^{\mathcal{I}}) \end{aligned}$$

# Formulation of Problem

$$\begin{aligned} & \text{minimize } I(C, Z|S; R) \\ & \text{w.r.t } R \in (\Sigma \rightarrow \mathcal{I}^{\mathcal{I}}) \end{aligned}$$

## ① Properties?

# Formulation of Problem

$$\begin{aligned} & \text{minimize } I(C, Z|S; R) \\ & \text{w.r.t } R \in (\Sigma \rightarrow \mathcal{I}^{\mathcal{I}}) \end{aligned}$$

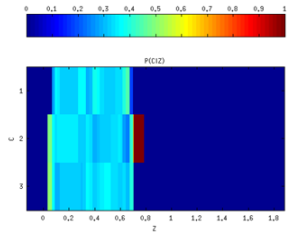
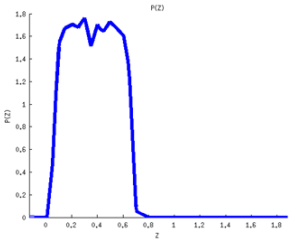
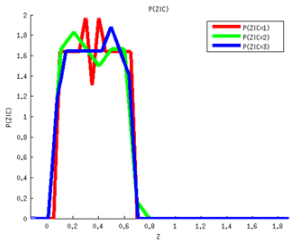
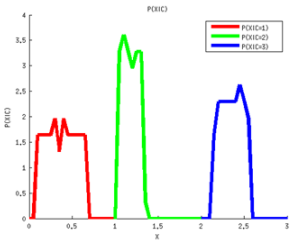
- 1 Properties?
- 2 How do we learn such a privacy mapping function,  $R$ ?

## Theorem 1

If there exists a privacy mapping function  $R$  such that  $p(Z = z|C = c, S = s; R) = f(z, s)$  for all  $c \in \Sigma$  then:

- 1  $I(C, Z|S; R) = 0$  (global optimum)
- 2  $p(C = c|Z = z, S = s; R) = p(C = c|S = s)$  (Bayesian updates prevented)

# Intuition



# Gaussian Information

## Theorem 2

If  $X|C = c, S = s \sim N(\mu_c, \Sigma_c)$  (Normal distribution) for every  $c \in \Sigma$  and  $s \in \mathcal{S}$ , then  $[R(c)](x) = \Sigma_c^{-\frac{1}{2}} \cdot (x - \mu_c)$  yields  $I(C, Z|S; R) = 0$  and “prevents Bayesian updates”.

# Exponentially Distributed Information

## Theorem 3

If  $X|C = c, S = s \sim \text{Exp}(\lambda_c)$  (Exponential distribution) for every  $c \in \Sigma$  and  $s \in \mathcal{S}$ , then  $[R(c)](x) = \lambda_c x$  yields  $I(C, Z|S; R) = 0$  and “prevents Bayesian updates”.



# Gamma Distributed Information

## Theorem 4

If  $X|C = c, S = s \sim \text{Gamma}(k, \theta_c)$  (Gamma distribution with shape and scale parameters) for every  $c \in \Sigma$  and  $s \in \mathcal{S}$ , then  $[R(c)](x) = \frac{x}{\theta_c}$  yields  $I(C, Z|S; R) = 0$  and “prevents Bayesian updates”.

# Uniform Information

## Theorem 5

If  $X|C = c, S = s \sim U(a_c, b_c)$  (Uniform distribution) for every  $c \in \Sigma$  and  $S \in \mathcal{S}$ , then  $[R(c)](x) = \frac{x - a_c}{b_c - a_c}$  yields  $I(C, Z|S; R) = 0$  and “prevents Bayesian updates”.

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

# The Learning Problem

Hard problem:

- ①  $I(C, Z|S; R)$  is non-convex in  $R$ .
- ② Search space is hard to compute over.

MATLAB Implementation as a toolbox:

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.
- 2 Treat all subjects as “equal”

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.
- 2 Treat all subjects as “equal”
  - $p(S)$  is uniform.



# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.
- 2 Treat all subjects as “equal”
  - $p(S)$  is uniform.
  - $p(C|S = s)$  is invariant in  $s$ .

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.
- 2 Treat all subjects as “equal”
  - $p(S)$  is uniform.
  - $p(C|S = s)$  is invariant in  $s$ .
  - $p(X|C = c, S = s)$  is invariant in  $s$ .

# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.
- 2 Treat all subjects as “equal”
  - $p(S)$  is uniform.
  - $p(C|S = s)$  is invariant in  $s$ .
  - $p(X|C = c, S = s)$  is invariant in  $s$ .
- 3 minimize  $I(C, Z; R(\cdot; \theta))$  w.r.t.  $\theta \in \Theta$

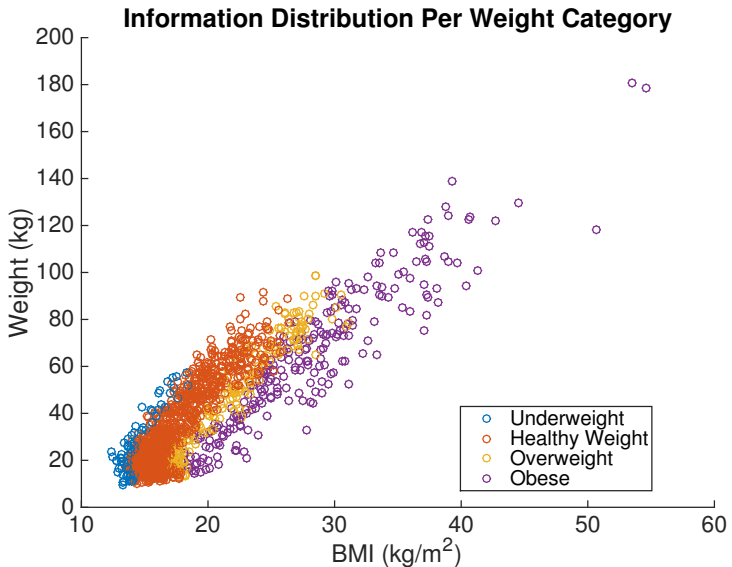
# The Learning Problem

Hard problem:

- 1  $I(C, Z|S; R)$  is non-convex in  $R$ .
- 2 Search space is hard to compute over.

MATLAB Implementation as a toolbox:

- 1 Parametrize  $R(\cdot) \rightarrow R(\cdot; \theta)$  where  $\theta \in \Theta$  a (vector) of parameter(s) from a parameter space.
- 2 Treat all subjects as “equal”
  - $p(S)$  is uniform.
  - $p(C|S = s)$  is invariant in  $s$ .
  - $p(X|C = c, S = s)$  is invariant in  $s$ .
- 3 minimize  $I(C, Z; R(\cdot; \theta))$  w.r.t.  $\theta \in \Theta$
- 4 Non-parametric modeling of  $p(X|C)$  and  $p(C)$



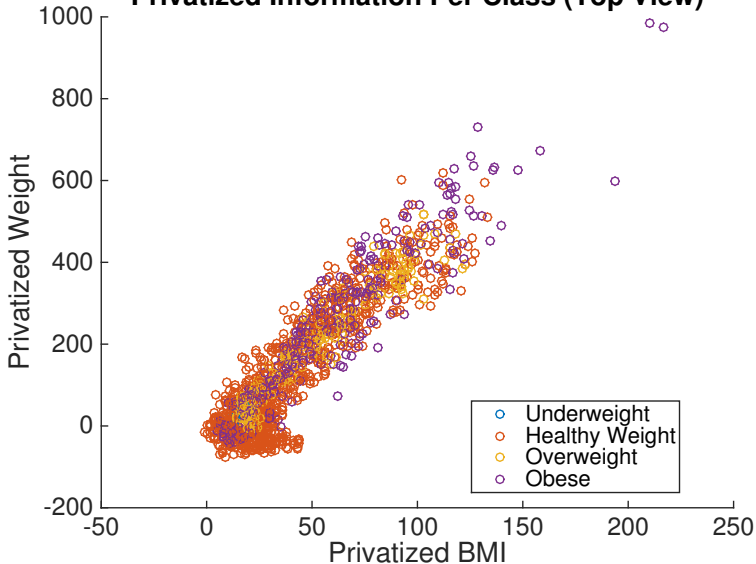
**Table:** Confusion Matrix. UW = Underweight, HW = Healthy Weight, OW = Overweight, OB = Obese

		Ground Truth Category			
		UW	HW	OW	OB
Predicted Category	UW	47	20	0	0
	HW	14	1203	66	1
	OW	0	45	194	47
	OB	0	2	37	308

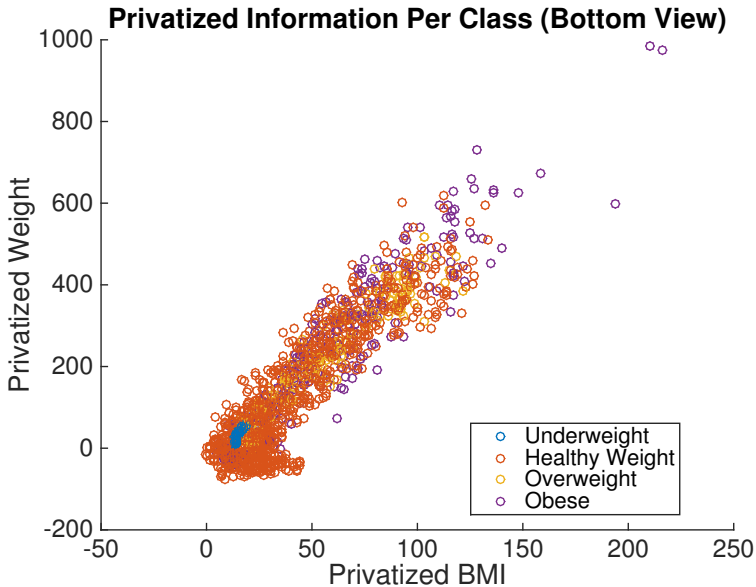
$$\text{trace}(\text{Confusion Matrix}) / \text{sum}(\text{Confusion Matrix}) = 88.31\%$$

```
pdi_begin
% data/information space
pdi_dimension BMI 0:2:60;
pdi_dimension weight 0:5:180;
% define classes
pdi_class underweight healthy_weight overweight obese
% provide data
pdi_datapoints underweight fv_uw
pdi_datapoints healthy_weight fv_hw
pdi_datapoints overweight fv_ow
pdi_datapoints obese fv_ob
% parameter space
pdi_var shift(pdi_nrdimensions, pdi_nrclasses);
pdi_var scale(pdi_nrdimensions, pdi_nrclasses);
% z = scale.*(x-shift)
pdi_reference @(x, cn) bsxfun(@times, bsxfun(@minus,
    x, shift(:,cn)), scale(:,cn));
% such that
scale(:,1) == 1; % entry-wise
shift(:,1) == 0; % entry-wise
scale>=.1; % entry-wise
shift>=0; % entry-wise
pdi_end
```

## Privatized Information Per Class (Top View)







**Table:** Confusion Matrix After Privatizing. UW = Underweight, HW = Healthy Weight, OW = Overweight, OB = Obese

		Ground Truth Category			
		UW	HW	OW	OB
Predicted Category	UW	48	14	8	5
	HW	13	1217	276	290
	OW	0	25	13	29
	OB	0	14	0	32

$$\text{trace}(\text{Confusion Matrix}) / \text{sum}(\text{Confusion Matrix}) = 66.03\%$$

**Table:** Confusion Matrix After Privatizing. UW = Underweight, HW = Healthy Weight, OW = Overweight, OB = Obese

		Ground Truth Category			
		UW	HW	OW	OB
Predicted Category	UW	48	14	8	5
	HW	13	1217	276	290
	OW	0	25	13	29
	OB	0	14	0	32

$\text{trace}(\text{Confusion Matrix}) / \text{sum}(\text{Confusion Matrix}) = 66.03\%$

from 88.31%

**Table:** Confusion Matrix After Privatizing. UW = Underweight, HW = Healthy Weight, OW = Overweight, OB = Obese

		Ground Truth Category			
		UW	HW	OW	OB
Predicted Category	UW	48	14	8	5
	HW	13	1217	276	290
	OW	0	25	13	29
	OB	0	14	0	32

$\text{trace}(\text{Confusion Matrix}) / \text{sum}(\text{Confusion Matrix}) = 66.03\%$

from 88.31%

lower bound:  $\#HW / \text{sum}(\text{Confusion Matrix}) = 64.01\%$

# Future Directions

- Bounds on privacy.

# Future Directions

- Bounds on privacy.
- Sensitivity analysis.

# Future Directions

- Bounds on privacy.
- Sensitivity analysis.
- Relaxing the assumption of perfect classification knowledge for the intended recipient.

# Future Directions

- Bounds on privacy.
- Sensitivity analysis.
- Relaxing the assumption of perfect classification knowledge for the intended recipient.
- Markov-type relaxation.





# Future Directions

- Bounds on privacy.
- Sensitivity analysis.
- Relaxing the assumption of perfect classification knowledge for the intended recipient.
- Markov-type relaxation.
- Study the relationships between  $I(C, Z|S)$  and  $I(X, Z|S)$ .

# Future Directions

- Bounds on privacy.
- Sensitivity analysis.
- Relaxing the assumption of perfect classification knowledge for the intended recipient.
- Markov-type relaxation.
- Study the relationships between  $I(C, Z|S)$  and  $I(X, Z|S)$ .
- Parametric modeling of  $p(X|C)$  for learning.

# References I

-  Daniel Aranki and Ruzena Bajcsy, Differential disclosure of information, Tech. Report UCB/EECS-2014-47, EECS Department, University of California, Berkeley, May 2014.
-  \_\_\_\_\_, Private disclosure of information in health tele-monitoring, arXiv preprint arXiv:1504.07313 (2015).

# Acknowledgments

- Gregorij Kurillo
- Yusuf Erol
- Arash Nourian
- This work was supported in part by TRUST, Team for Research in Ubiquitous Secure Technology, which receives funding support for the National Science Foundation (NSF award number CCF-0424422).