Robust Verification Tools for Improved Secure System Evaluation



A COMPUTATIONAL LOGIC ACL 2 APPLICATIVE COMMON LISP



galois

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SLIDE 1



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ADVANCED COMPUTING SYSTEMS

- Rockwell Collins Introduction
- AAMP7G Microprocessor
 - MILS Certification
- vFaat Program
- SHADE Program
 - AAMP7G Instruction Set Formal Model
 - AAMP7G tools
 - Microcryptol Verifying Compiler
 - Compositional Cutpoint Reasoning
- Summary

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ADVANCED COMPUTING SYSTEMS

A World Leader in Aviation Electronics and Airborne/ Mobile Communications Systems for Commercial and Military Applications

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- Communications
- Navigation
 - **Automated Flight Control**
 - Displays / Surveillance
 - Aviation Services
 - In-Flight Entertainment

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- Integrated Aviation Electronics
 - Information Management Systems



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The Problem – High-Assurance for Security Applications

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- Flawed implementations can have grave consequences
 - —So NSA performs intensive evaluations of critical encryption devices
- Evaluation process is difficult
 - —Increasingly numerous crypto implementations
 - **—**Trusted experts are scarce
 - -Review process is time-consuming and expensive
 - Optimized crypto algorithms are complex, easy to overlook corner cases
- Highest Evaluation Assurance Level requires formal proofs
 Industry has very little practical experience in this area

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Rockwell Collins AAMP7G CPU

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- Used in RCI GPS and Information Assurance products
- High Code Density
- Low Power Consumption (250 mW)
- 100 MHz operation
- Screened for full military temp range
- Implements intrinsic partitioning

Intrinsic partitioning

- Computing Platform Enforces Data
 Isolation
- "Separation Kernel in Hardware"

AAMP7 in GPS SAASM MCM



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AAMP7G Formal Verification

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Common Criteria EAL7 Proof Obligations





AAMP7G Intrinsic Partitioning Formal Verification

Program Accomplishments

 Developed formal description of separation for uniprocessor, multipartition system

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- Modeled trusted AAMP7G microcode
- Constructed machine-checked proof that separation holds of AAMP7G model, using ACL2
- Model subject of intensive code-to-spec review
- Satisfies NSA MILS formal methods evaluation requirements patterned after Common Criteria EAL7+ with respect to ADV

•NSA MILS certificate granted in May 2005

AAMP7G can concurrently process
 Unclassified through Top Secret Codeword
 information

- RCI IR&D funded
- Capability developed in multiyear RCI formal methods research program











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vFaat: von Neumann Formal Analysis and Annotation Tool

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Program Objectives

- Extend imperative code analysis techniques to push the state-of-the-art in formal analysis
- Increase automated analysis integration into standard development practices
- Demonstrate these techniques on RC-relevant examples that provide assurance required in current evaluation efforts and help identify future certification standards











- Build on Experience
 - Codify Successful Techniques
- Focus on Proof Structure
 - Driven by Control/Data Flow
 - Encourage Hierarchy and Abstraction
 - Emphasizes Compositional Reasoning
- Target Independence
 - State Machines and Data Paths
 - Assembly/Object Code
 - Microcode
 - Software
- Theorem Prover Independence
 - Definitional Principle
 - Conditional Rewrite Rules

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Philosophy

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- A multi-language IDE
 - World-class Java IDE (JDT)
 - Also C and C++ (CDT), Perl, Ada, etc.
- A tool development platform
 - Stand-alone Java tools using the JDT
 - Plug-in development using the PDE and existing Eclipse components
- A tool integration platform
 - Forms the basis for a highly integrated engineering environment
 - Use a variety of integration methods (invocation, GUI only, full)
 - Support integration of both legacy and new tools



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Eclipse Overview

"an open source software development project dedicated to providing a robust, full-featured, commercial-quality, industry platform for the development of highly integrated tools."



- Proof
 - Two Axis Structure
 - Function Composition
 - Proof Composition
 - vFaat Exploits This Duality
- Function Composition
 - Big Functions from Smaller Functions
 - Managed by Views and CANs
 - Encourages Good Library Development
- Proof Composition
 - Big Proofs from Smaller Proofs
 - Managed by Strata and Links
 - Encourages Generic Proof Development (Reusable Specifications)



Composition

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- Imperative Code Emphasis
 Typical of Low Level Models
- Control Flow Node
 - Temporal Abstraction of Imperative Execution
 - Hierarchical
 - Defines Proof Structure
- Purpose
 - Model of Execution
 - Provides Template for
 - Proof Structure
 - Clock Function
 - Branch Function
 - Assumptions
 - Functional Composition



vFaat CFN

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Design and Verification Flow



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C Source Code Implementation

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mailbox_copy() {

uword32_t input_data; uword32_t output_data;

while (1) { // forever

if (CHARACTER_INPUT_0_READY && OUTPUT_1_CONSUMED) {

// Consume the character and allow the producer to continue
input_data = READ_INPUT_0;
NOTIFY_INPUT_0_CONSUMED;

WRITE_OUTPUT_1(input_data);

// Notify the consumer that there is output NOTIFY_OUTPUT_1_READY;

if (CHARACTER_INPUT_1_READY && OUTPUT_0_CONSUMED) {

// Consume the character and allow the producer to continue
output_data = READ_INPUT_1;
NOTIFY_INPUT_1_CONSUMED;

WRITE_OUTPUT_0(output_data);

// Notify the consumer that there is output NOTIFY_OUTPUT_0_READY;

} // forever

Object Code CFG





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Verification Hypotheses



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Statement of Correspondence

- -Proof is Driven by CFG
 - Much Like Single Stepping a Debugger

(defthm mailbox_copy_21_22_implements_app-io-spec (implies Hypotheses C Function (data-structures -102 aamp::st) CFunction (lmplementation) vFaat Generated (equal (lift (mailbox_copy_21_22_comp kst)) (app::app-io-spec (lift kst))))))





Translating HW Specifications to vFaat

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Leveraging Existing Translation Platform

- -Supports Model Based Development Tools
 - Simulink/Scade
- -Primary focus is Model Checking



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- vFaat Translation outputs:
 - -Control Flow Graph (CFG) for evaluation order
 - **—**Data Structure Description (DSD)
 - -Data Flow Description (DFD)



Example Simulink Hardware Component

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Generated ACL2 code

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Secure, High Assurance Development Environment (SHADE)

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Program Objectives

- Provide a "nuts-and-bolts" partitioned development environment.
- Develop tools and techniques to provide formal analysis at the instruction level for the AAMP7 processor
- Develop a verifying compiler for an "embeddable" subset of the Cryptol cryptographic language targeting the AAMP7
- Demonstrate a convenient, high-assured toolchain path from high-level algorithm description to load image.

RCI subcontractors: Galois Connections, University of Texas at Austin





AAMP7G development board



Eclipse-based AAMP7G development environment

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SHADE Summary

AAMP7

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Debugger

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Configuration



SHADE Software Components

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- Eclipse-Based AAMP7G Partitioning Development Tools
 - Target Monitor
 - Target Board Editor
 - Multipartition Builder
 - Eclipse: Very large and capable Java-based IDE construction framework
- µCryptol -> AAMP7 verifying compiler
 - Generates ACL2, as well as AAMP7 assembly, AAMP7 binary
 - OCaml-based
- Instruction-level formal AAMP7G model
 - Written in the language of the ACL2 Theorem Prover
 - Applicative subset of Common Lisp
- AAMP Legacy Tools
 - Compilers, Linkers, Assemblers, etc.
 - Mostly Ada

AAMP7 Instruction-Set Formal Model

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- Provides instruction-level simulator for the AAMP7
- Written in ACL2
 - ~100 KSLOC with all RCI support books
 - ~750 MB Lisp heap required
- Can be used as a processor simulator, as well as a vehicle for proof
 - Validated by loading AAMP processor diagnostic tests into (simulated) memory, and running the model
- Utilizes ACL2 single threaded object (stobj) to model CPU state; stobj updates are performed "in place", greatly reducing garbage generation at model execution time
- GACC (Generalized Accessor) library used to model memory, same as used in AAMP7 separation proofs
- New bitvector library, "super-ihs", extends ACL2 Integer Hardware Specification (IHS) library

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Cryptol

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- Galois' domain-specific language for cryptography algorithms http://www.cryptol.net
- Cryptol features:
 - Purely functional
 - Size-indexed bitvector types, no limits on bitvector size
 - Lazy infinite streams
 - Not Turing-complete
- µCryptol
 - Cryptol subset, tailored for systems with constrained memory
 - Formal semantics
 - Designed for verification
 - Creating a verifying compiler targeting the AAMP7G
 - See paper in HCSS06 Proceedings

Why a verifying compiler for µCryptol?

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- Cryptographic systems need to be correct
 - NSA is a demanding customer
- Cryptographic systems are difficult, expensive to certify
 - A verifying compiler could markedly reduce code-to-spec review costs and reduce time-to-market for cryptographic devices
- Reference Cryptol specifications for common crypto algorithms are available
- A domain-specific language, such as Cryptol, seems to present lower risk than attempting a verifying compiler for a general-purpose programming language
- Cryptol is a Galois Connections design, so we can state its specification precisely
- The AAMP7G is an "easy" code generation target (think JVM)
- The AAMP7G is a Rockwell Collins design with a precise specification
- Theorem prover technology has matured sufficiently to make this program feasible



Compiler Architecture

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SHADE Compiler





Example: factorial (mod 2⁸)

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Stream values:

idx = [1, 2, 3, 4, 5, 6, 7, 8, ...] facs = [1, 1, 2, 6, 24, 120, 208, 176, ...]



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Stage 1: Compile to indexed form

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Stage 1: Compile to indexed form

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- Each stream represented as first-order function taking index to stream element
- Nested definitions lambda-lifted to top-level
- Pattern-matching and stream/vector comprehensions compiled away
- Program can now be shallowly embedded into ACL2

```
idx : nat -> B^8;
idx n = if n = 0 then 1
        else idx (n-1) + 1;
facs : nat -> B^8;
facs n = if n = 0 then 1
        else facs (n-1) * idx (n-1);
fac : B^32 -> B^8;
fac i = facs (toNat i);
```

Stage 2: Compile to canonical form

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- Each clique of mutually recursive stream functions represented by single tail-recursive function
- Each tail-recursive function takes an extra tuple of *history buffers*
- Stream dependency analysis calculates minimum length of each history buffer
- Complex Cryptol primitives left unchanged, some simple ones are inlined





- Factorial program contains two single-element history buffers
- Running time
 - Factorial in indexed form:
 - Factorial in canonical form:



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Stage 2: Verification Architecture

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Use ACL2 to verify compiler middle-end transformations





Results

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- ACL2 macro that can automatically prove equivalence of indexed to canonical forms, for all examples
 - -factorial, alt-factorial
 - -Fibonacci, 3-Fibonacci, 5-Fibonacci
 - **TEA, AES, RC6**
- AES proof takes about 20 minutes on a 1.5 GHz G4 Powerbook
- See paper in HCSS06 Proceedings for more details



Stage 3: Generate machine code

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Stage 3: Generate machine code

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- History buffers represented as circular imperative arrays
 - —Optimized away if history length is small
- Compiler statically allocates history buffers
- Calls library routines for multiple-word Cryptol primitives such as arithmetic, shift, rotate, etc.



Stage 3: Verification Architecture









Desired Theorems (in general)

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- If machine starts at a state satisfying program's precondition (entrypoint assertion), then
 - -*Partial correctness*: if the machine ever reaches an exitpoint state, then the first exitpoint reached satisfies the program's postcondition (exitpoint assertion).
 - Termination: the machine will eventually reach an exitpoint
- However, we don't want to
 - -write and verify a VCG
 - -manually define a clock function
 - computes for each program state exactly how many steps are needed to reach the next exitpoint
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Underlying Verification Method – Compositional Cutpoint Technique

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- Sound and automatic theorem proving technique for generating verification conditions from a small-step operational semantics
- Inspired by J Moore presentation at HCSS 2004
- Cutpoints and their state assertions for a given subroutine must be specified
- Symbolic simulation of processor model takes us from cutpoint to cutpoint, until we reach subroutine exit
- Compositionality: Once cutpoint proof is done for a given subroutine, we don't have to reason about it again if it's called by another subroutine
- No Verification Condition Generator required
- See Verification Condition Generation via Theorem Proving John Matthews, J Moore, Sandip Ray, Daron Vroon, 2006 (submitted for publication)
- Has been used it to verify a 600-line JVM program implementing a generic CBC-mode encryption



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AAMP7G Machine Code Proofs using Compositional Cutpoint Method

- ADVANCED COMPUTING SYSTEMS
- Preconditions, e.g.
 - Code to be proved is loaded into memory
 - Input parameter is within range for a given algorithm
- Postconditions
 - e.g., fact(x) on top of stack after running AAMP7G machine code for factorial
- Frame Conditions
 - e.g., Only local variables and operand stack memory needed to implement factorial are modified by executing AAMP machine code for factorial
- Compositional Cutpoint Proof Technique
 - No Verification Condition Generator required
- Generation of the above information can be done mostly automatically

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Example Program – Iterative Factorial

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#x02 ;; Proc Header -- 2 words of locals #x00 ; #x10 ;; LIT4 0 #x11 ;; T.TT4 1 ; local0 is a counter counting from 1 up to local2 ;; ASNDL 0 #xc0 accumulator lives on stack; initialize it to 1 ; #x10 ;; LIT4 0 #x11 ;; LIT4 1 L2 loop top ---------- CUTPOINT ; ;; REFDL 0 #x30 #x32 ;; REFDL 2 if local0>local2, goto L ; #xa5 #x0e ;; GRUD #x5b ;; SKIPNZI #x0c ;; L (+12) #x30 ;; REFDI 0 multiply local0 into the accumulator on the stack #xa5 #x2a ;; MPYUD increment local0 #x30 ;; REFDL 0 #x10 ;; TTT4 0 #x11 ;; TTT4 1 #xa5 #x28 ;; ADDUD #xc0 ;; ASNDL 0 #x19 ;; LIT8N ;; L2 (-18) #x11 #x59 ;; SKIP #x14 ;; LIT4 4 #x5f ;; RETURN

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Machine Code Proofs – Preconditions

Example

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```
(defund f-precondition (s)
(declare (xargs :non-executable t))
(and
```

```
(equal (starting-program-counter) 131394 ;(+ 2 (iter-fact-address)))
(not (equal 4294967295 (aamp::read-two-local-words 2 s)))
;argument isn't the largest int...
```

```
(< (aamp::aamp.lenv s)
  (gacc::read-data-word (aamp::aamp.denvr s) (+ -1 (aamp::aamp.lenv s)) (aamp::aamp.ram s)))</pre>
```

```
;;the operand stack should be empty just after fact is called
;;(the argument is passed in as a "local")
(equal 0 (aamp::stack-height s))
```

```
(aamp::st-p s)
(aamp::aamp-normal-statep s)
(aamp::no-code-data-clash (aamp::aamp.cenvr s) (aamp::aamp.denvr s))
```

```
;;factorial code is loaded where we expect it to be. Since the program
;;begins with two bytes of header, the code actually starts 2 bytes
;;before the first instruction.
(iter-factorial-program-loaded (+ -2 (starting-program-counter)) ;(nth *aamp.pc* st)
(aamp::aamp.ram s))
```

(aamp::code-fetches-allowed 100 #x0002 #x0140 (nth 17 s)) (AAMP::DATA-WRITES-ALLOWED 65536 (NTH 2 S) 0 (NTH 17 S)) (AAMP::DATA-READS-ALLOWED 65536 (NTH 2 S) 0 (NTH 17 S))))

Machine Code Proofs – Postconditions

Example

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(defund f-poststate (s0 s) (declare (xargs :non-executable t)) (aamp::modify s0

> ;;the 4-word stack mark gets popped off along with 4 words of ;;args/locals, then two words of RV get pushed on, so the ;;stack shrinks by 6. :tos (aamp::inc-tos 6 s0)

:pc (get-saved-callers-pc s0) :lenv (get-saved-callers-lenv s0) :cenvr (get-saved-callers-cenv s0)

;; Memory access temporaries – artifact of the applicative model :memtmp (loghead 16 (aamp::aamp.memtmp s)) :memtmp8 (loghead 8 (aamp::aamp.memtmp8 s)) :memtmp32 (logext 32 (aamp::aamp.memtmp32 s))

<<continued on next slide>>

Machine Code Proofs – Postconditions Example (cont'd.)

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:ram (gacc::write-data-words

2

(aamp::aamp.denvr s0) (+ 6 (aamp::aamp.tos s0))

;;the mathematical factorial of the argument: (fact (gacc::read-data-words 2 (aamp::aamp.denvr s0) (+ 2 (aamp::aamp.lenv s0)) (aamp::aamp.ram s0)))

;;This says we are allowed to make a mess of the entire ;;stack. Restrict this to just the amount used ;;(determined from the argument to factorial).

(copy-over-n-words 12 (aamp::aamp.denvr s0) (+ -10 (aamp::aamp.lenv s0)) (aamp::aamp.ram s)

> (aamp::aamp.denvr s0) (+ -10 (aamp::aamp.lenv s0)) ;write starting at address 0 (aamp::aamp.ram s0)))))

> > Rockwell

Issue: Verifying compiler front-end

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Extended Verification Architecture

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Use Isabelle/HOLCF to verify front-end compiler transformations





Translating ACL2 to Isabelle

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- Mike Gordon, Matt Kaufmann, Warren Hunt and James Reynolds are building an ACL2 external oracle for HOL4
 - Defined ACL2 universe as sexp datatype in HOL
 - Used ACL2 axioms to define ACL2 primitives in HOL
 - Expressions and formulas defined over \mathtt{sexp} invoke ACL2
 - Result of ACL2 is trusted
- John Matthews has developed a prototype sexp datatype for Isabelle, and proved equivalence between a shallow embedding of the µCryptol factorial example into Isabelle/HOLCF and a translated version of indexed form using the sexp datatype



Bonus Proof!

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As an extra credit assignment, John Matthews recently proved that the shallow embedding of the µCryptol factorial example into Isabelle/HOLCF provides mathematical factorial, mod 2⁸

```
lemma fac_math_fac_ind:
    "fac$(Def n) = Def (fac_math n mod 2^8)
    /\ ind_idx$(Def n) = Def ((n + 1) mod 2^8)"
```





Roc

Rockwell Collins and partners have developed robust techniques and tools to improve high-assurance system evaluations by:

- Making use of automated theorem provers to provide formal proofs as required by EAL 7
- Producing executable formal models of computing platforms that can also be validated by execution of production tests
- Pioneering techniques for automating hardware, microcode, and software verification
- Designing and implementing a verifying compiler for a subset of the Cryptol language