



**Kestrel  
Institute**

**Specware™**

**John Anton**

**Kestrel Institute**

**March 29, 2001**



# Suppose

- ◆ ... you could compute solutions to one of the world's largest and most complex computational problems  
by filling in some blanks in a table
- ◆ ... your code ran up to 100 times faster (and more) than typical schedulers for the same problems
- ◆ ... you could prove the solutions are correct
- ◆ ... you could change your system in a fraction of the time required for today's practices



## Computer Aided Mission Planner (CAMPS)

Well, the Air Force could.

The Air Force Mobility Command will field CAMPS with a capability like that by the end of 2001.

CAMPS is built with an **generator** based on Kestrel Institute's software synthesis technology.



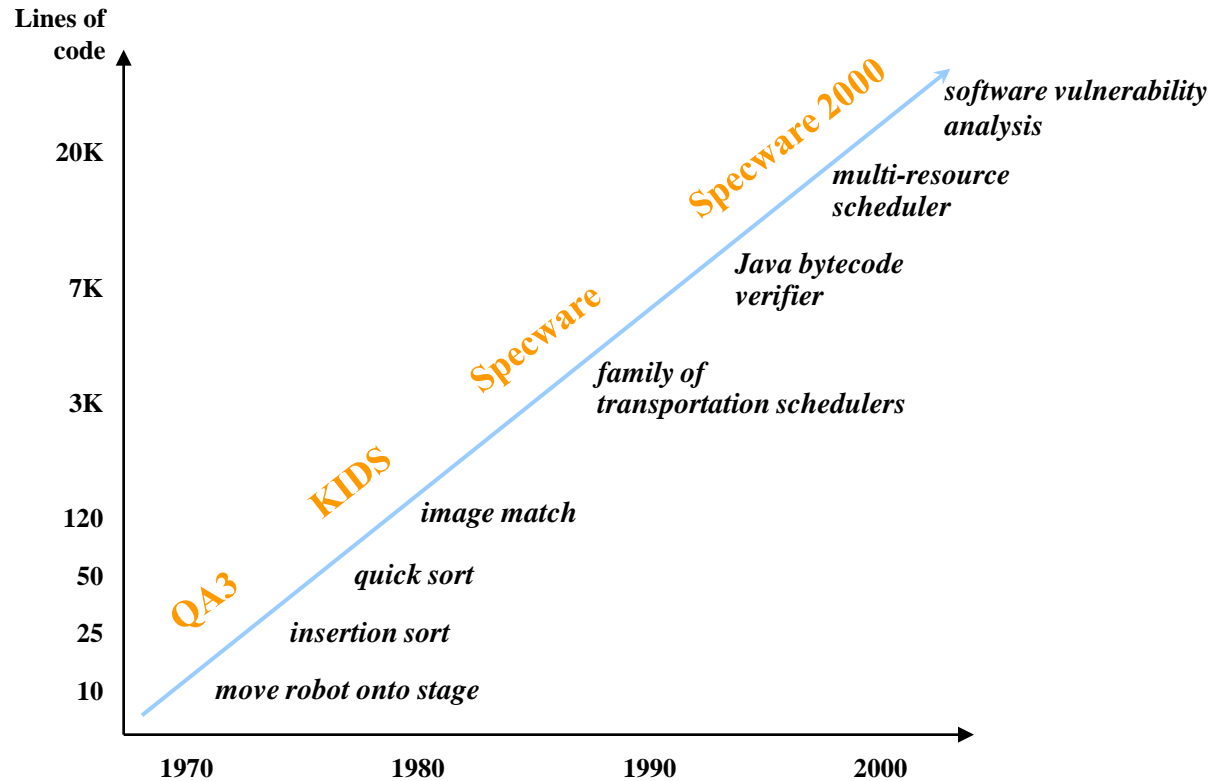
## Kestrel's mission is wide spectrum

- ◆ Conduct basic computer science research
- ◆ Build prototype applications
- ◆ Transfer technology
- ◆ Incubate technology
- ◆ Spin-out technology companies

...and our focus on program synthesis is obsessive



# 30 year technology path





# Presentation Outline

- ◆ What is Specware?
- ◆ What can you do with it?
- ◆ How do you use it?
- ◆ How does it supply leverage?
- ◆ Who has used it?
- ◆ How do you work with us?



# Specware provides an environment...

...for **high assurance computing**

...by means of **clear expression of design theories**

...and for those theories

- ◆ ...a computing framework to evaluate them
- ◆ ...tools for refining them
- ◆ ...inference systems to reason about them
- ◆ ...semantic rigor to trust them



# What can you do with Specware?

- ◆ **Build specifications**
  - ◆ Write, parse, compile, save
  - ◆ Combine, reuse, revise, import, refine
  - ◆ Parametrize on other specifications
- ◆ **Refine specifications to code**
  - ◆ Specware 2000, Boeing equipment layout, etc.
  - ◆ Lisp, C (under re-construction), Java (under development)
- ◆ **Prove correctness of the specifications**
  - ◆ Choice of several provers: Snark, Gandalf, other
- ◆ **Analyze specifications and code**
  - ◆ Motorola AIM
  - ◆ Java BCV, SVA (NSA), MoBIES (DARPA)





# How do you build specifications?

- ◆ Use the basic Specware language to:
  - ◆ Introduce sorts, constants, definitions, operations
  - ◆ Express axioms to restrict the behavior of components
  - ◆ Build a domain specification language
- ◆ Get system help for:
  - ◆ Defining, importing, and revising specifications
  - ◆ Composing and refining specifications
  - ◆ Proving theorems about specifications
- ◆ Use built-in automation to:
  - ◆ Assist construction of composite specifications from their component modules



## Example specification from bootstrapping Specware

**spec** Category =

**import** translateSpec ReflexiveGraph

["Node"  $\mapsto$  "Obj",

"Edge"  $\mapsto$  "Arr"]

**sort** Composable = { (f, g) : Arr  $\times$  Arr | dom(f) = cod(g) }

**op** compose : Composable  $\rightarrow$  Arr

**axiom** dom-compose **is**  $\forall$  (f, g) (dom  $\circ$  compose)(g,f) = dom(f)

**axiom** cod-compose **is**  $\forall$  (f, g) (cod  $\circ$  compose)(g,f) = cod(g)

**axiom** assoc **is**  $\forall$  (f, g, h) compose(h, compose(g,f)) =  
compose(compose(h, g), f)

**axiom** r-unit **is**  $\forall$  (f) compose(f, (ident  $\circ$  dom) f) = f

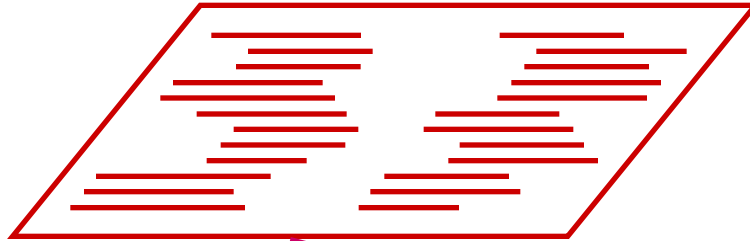
**axiom** l-unit **is**  $\forall$  (f) compose((ident  $\circ$  cod) f, f) = f

**end-spec**

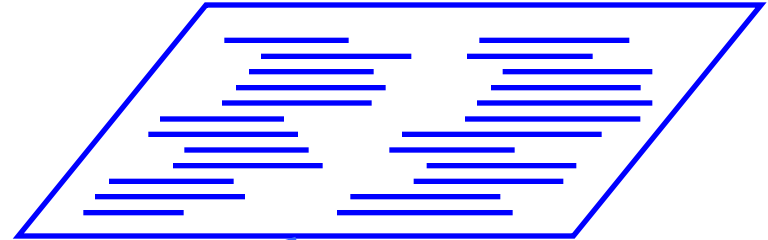


# Main spec activity: composition and refinement

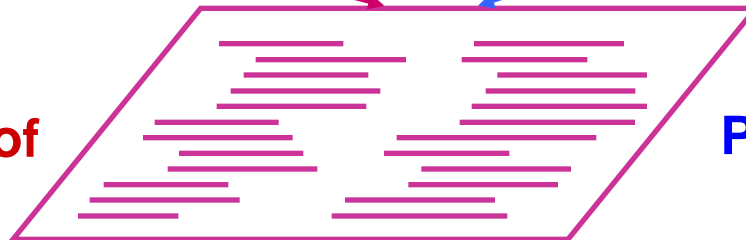
Sets



Passwords



Sets of



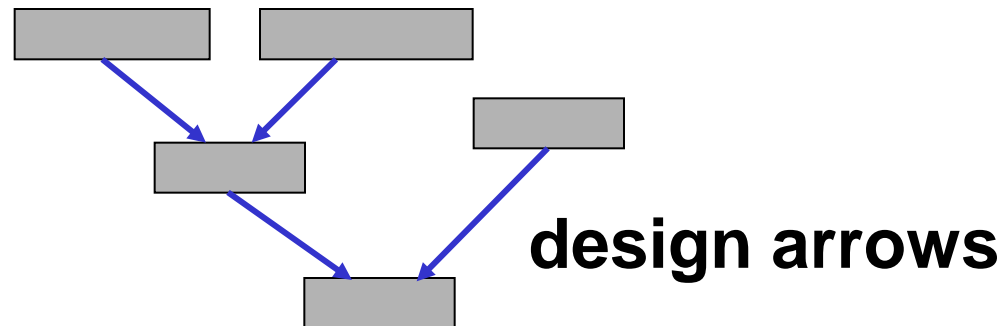
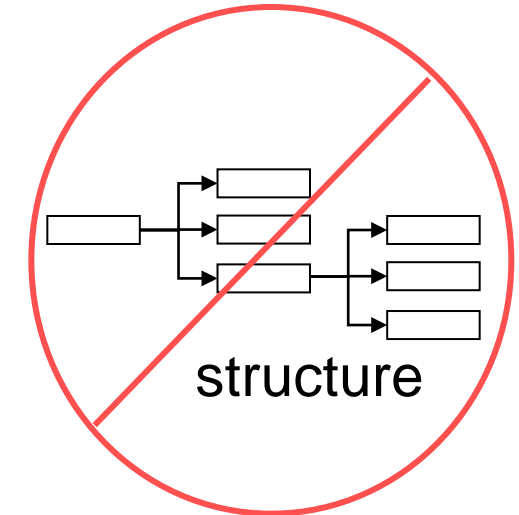
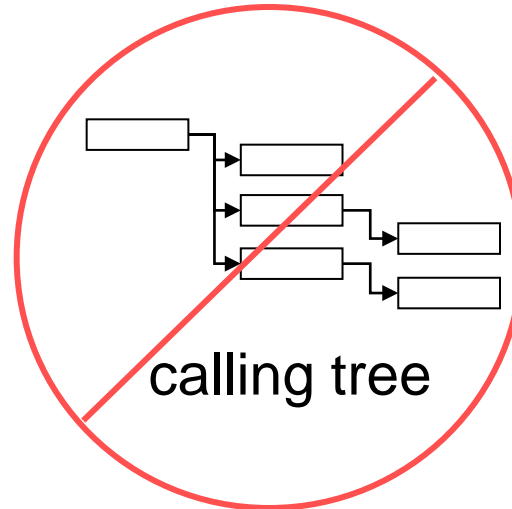
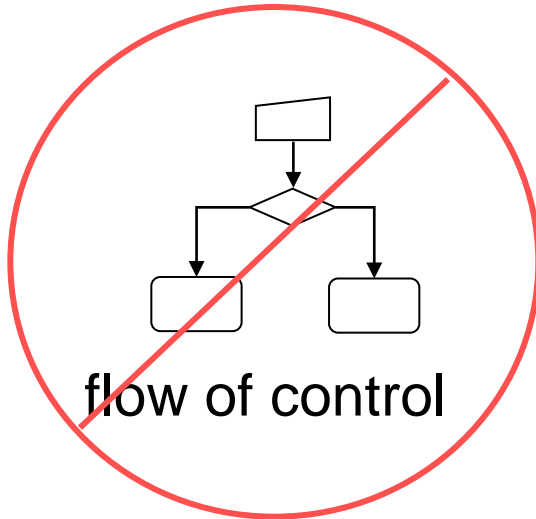
Passwords

Refinement??





# Specware diagrams have *design* arrows



Composition & refinement with semantics = Design → You reach executability via design.

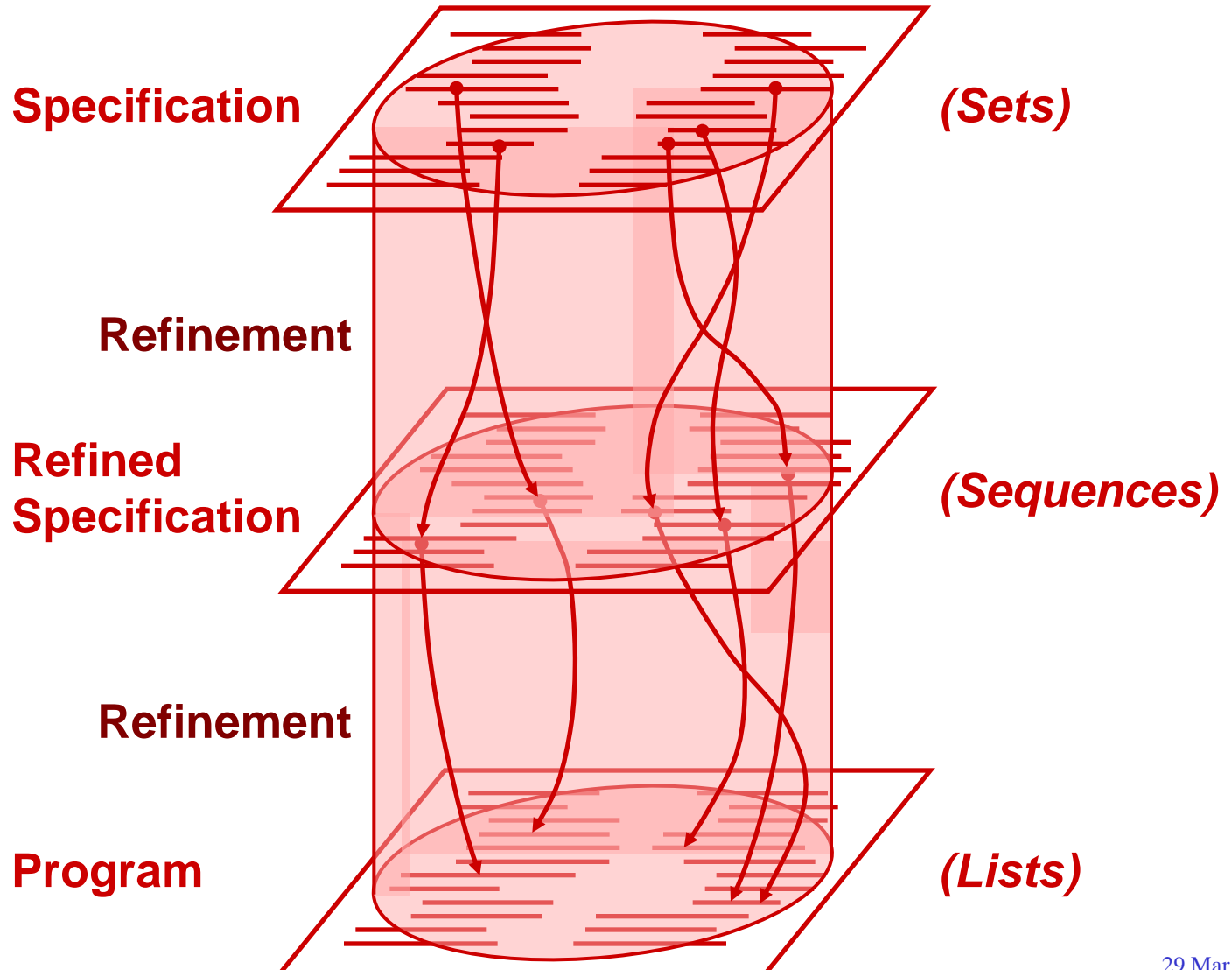


# Benefits

- ◆ Keep concepts isolated
  - ◆ Smaller, clearer, more tractable
- ◆ Efficient composition
  - ◆ Common elements unified
- ◆ Separation
  - ◆ “what” from “how”
  - ◆ “how” can be implemented in stages (stepwise refinement)
- ◆ Assurance
  - ◆ Proof obligations identified

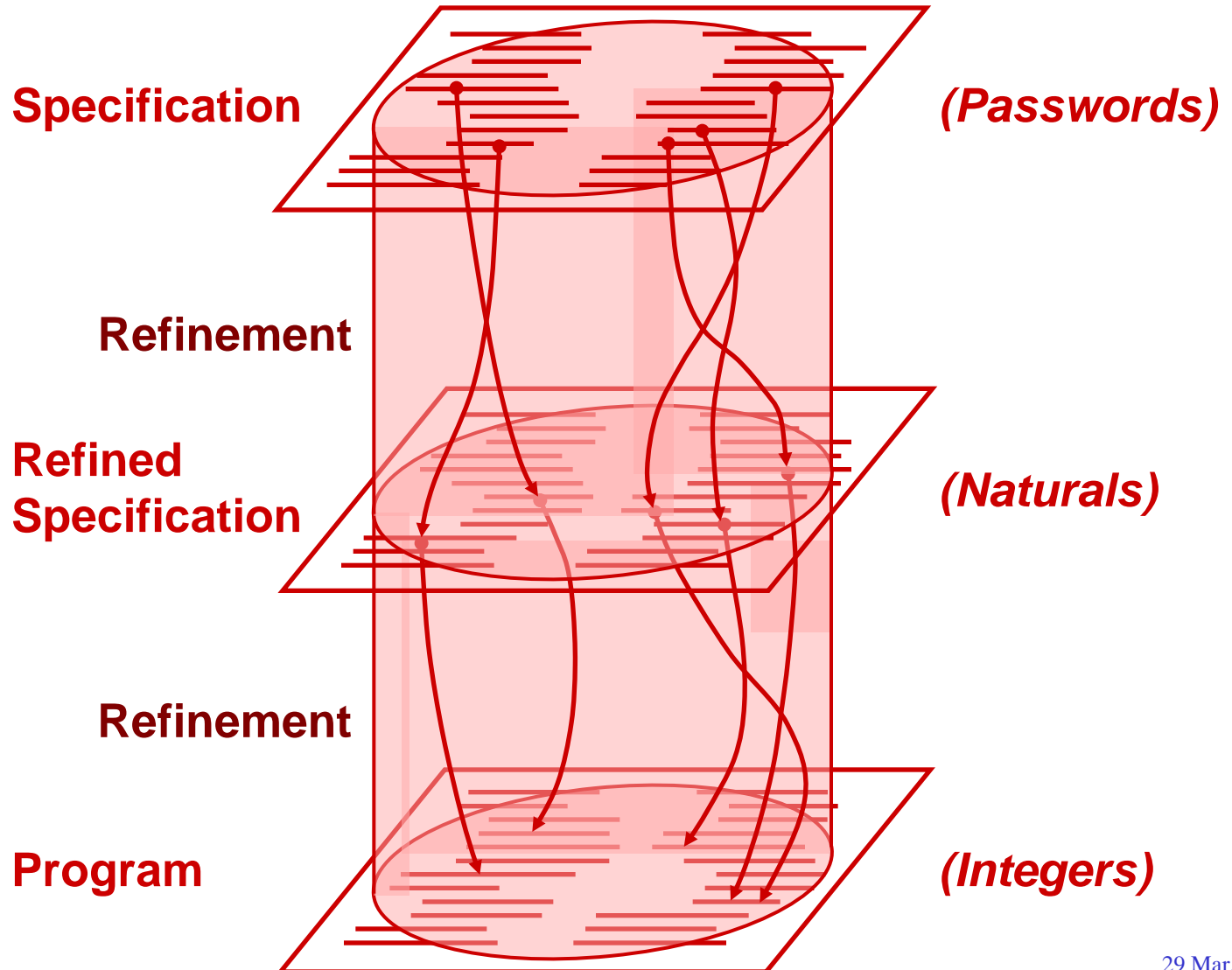


# Refinement example: Sets





# Refinement example: Passwords



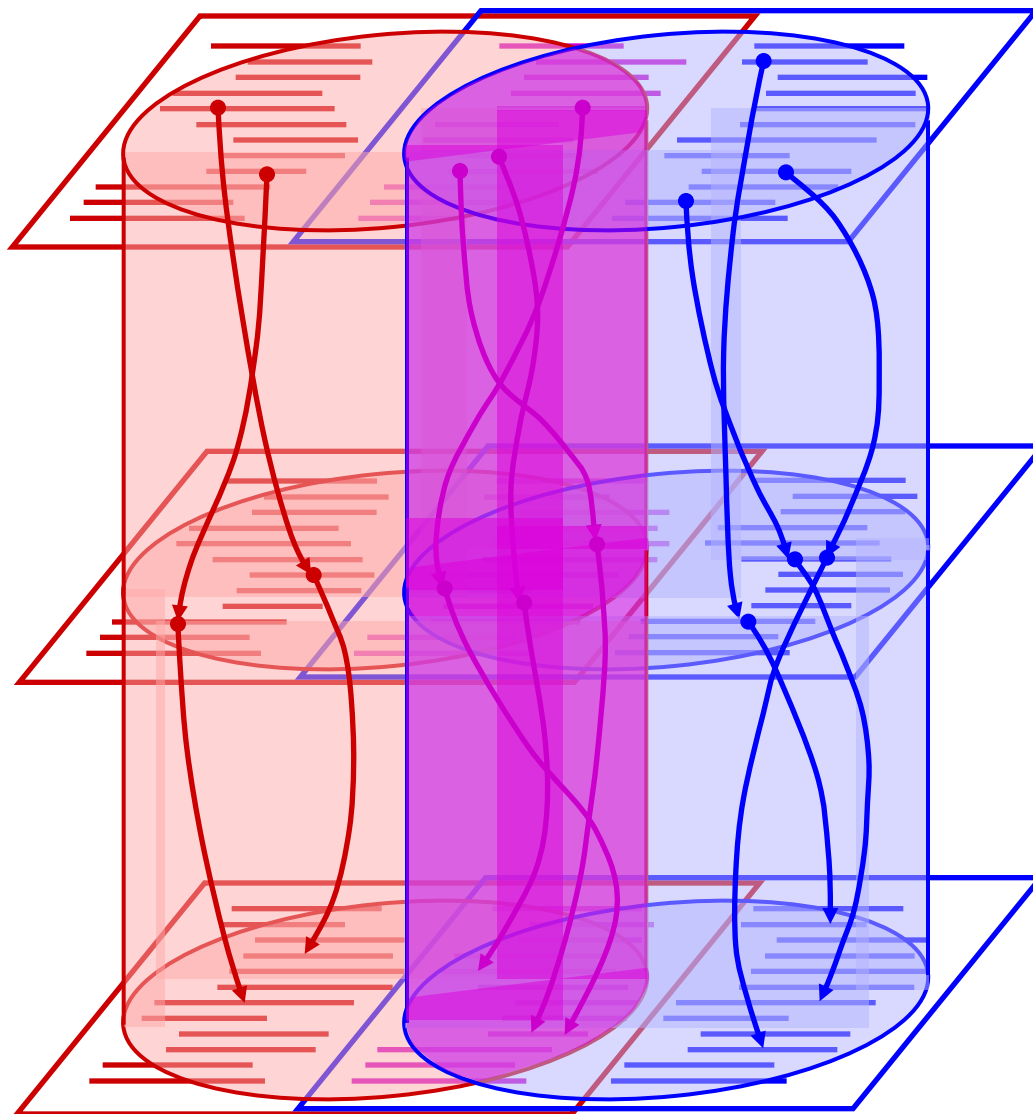


# Refinement of a composition

**Sets  
of  
Passwords**

**Sequences  
of  
Naturals**

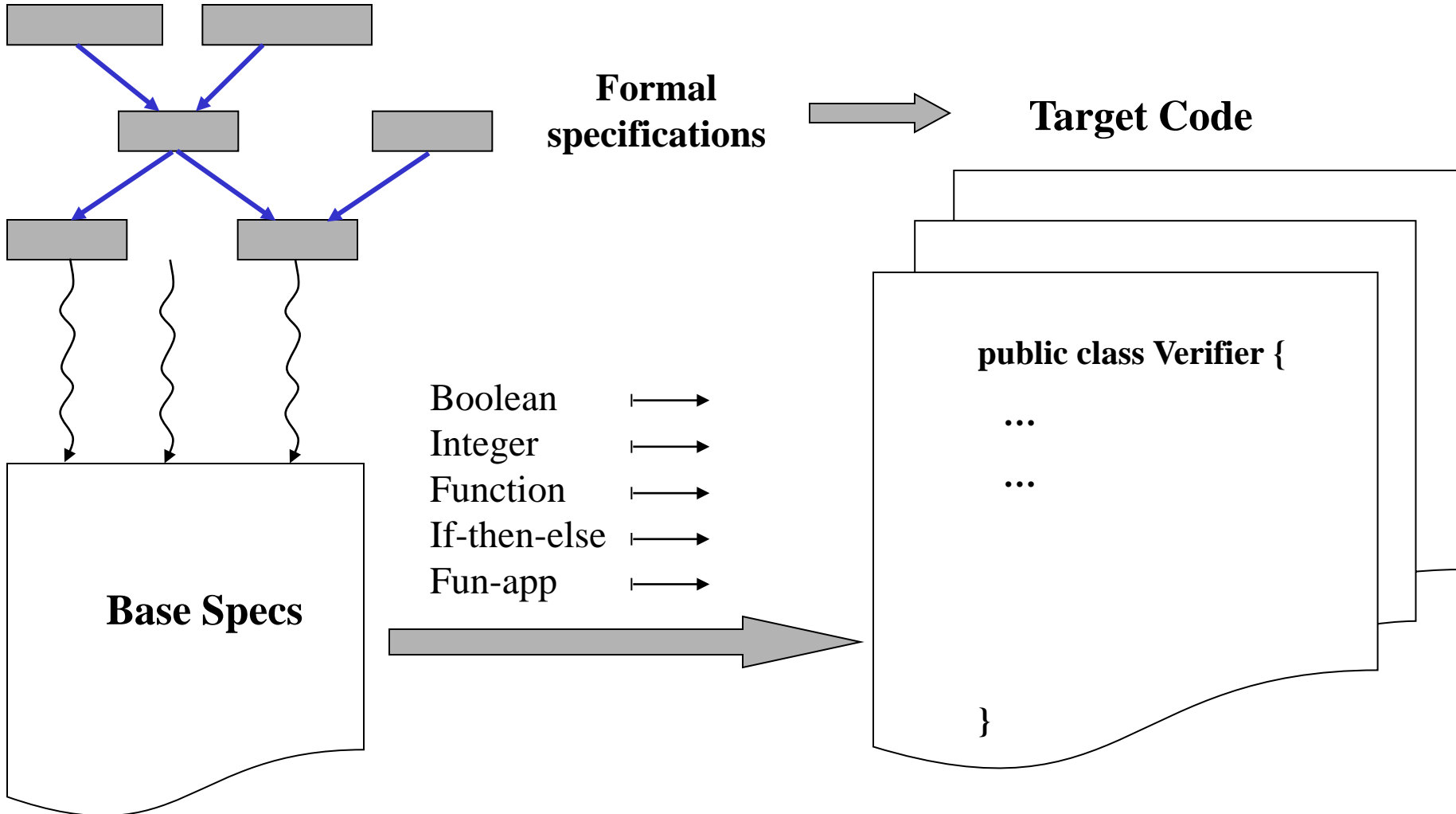
**Lists  
of  
Integers**





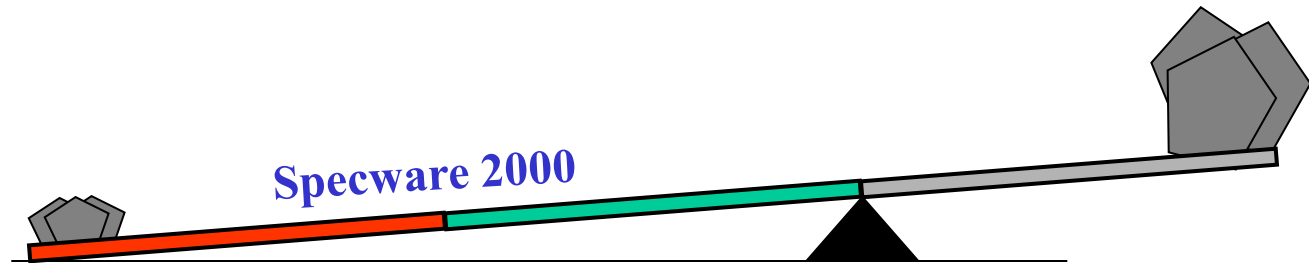


# Code Synthesis





# Source of Specware's leverage



## Sound Mathematical Foundation

— Composition & refinement engine

- Categories
- Diagrams of diagrams
- Logics
- Morphisms
- Grothendieck construction
- p-specs
- Colimits
- Natural transformations
- ...and more

## Software Design Knowledge

— Libraries of optimizations, tactics, design strategies

- Divide & conquer
- Context dependent simplification
- Partial evaluation
- Global search
- Finite differencing
- ...and more



# Using Specware's leverage

## Payoff:

- Assurance
- Maintainability
- Reuse
- Performance

Application  
Domain  
Knowledge

- Theory #1
- Theory #2
- 
- 
- Theory #T

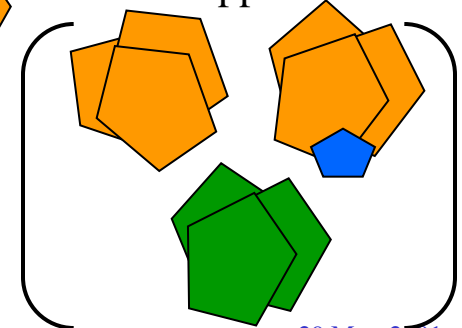


Specware 2000

Engine

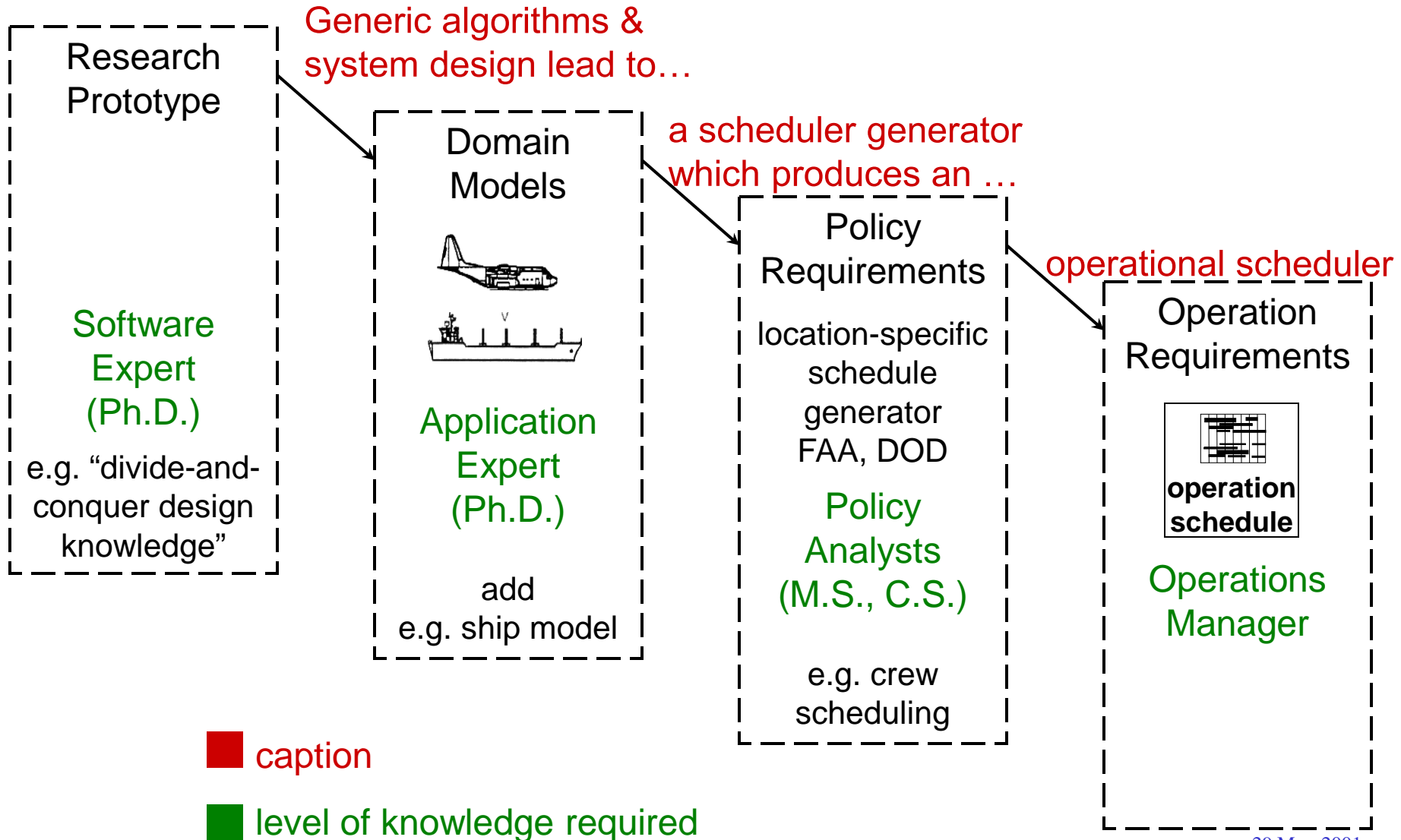
Libraries

Plus ... family of  
related applications



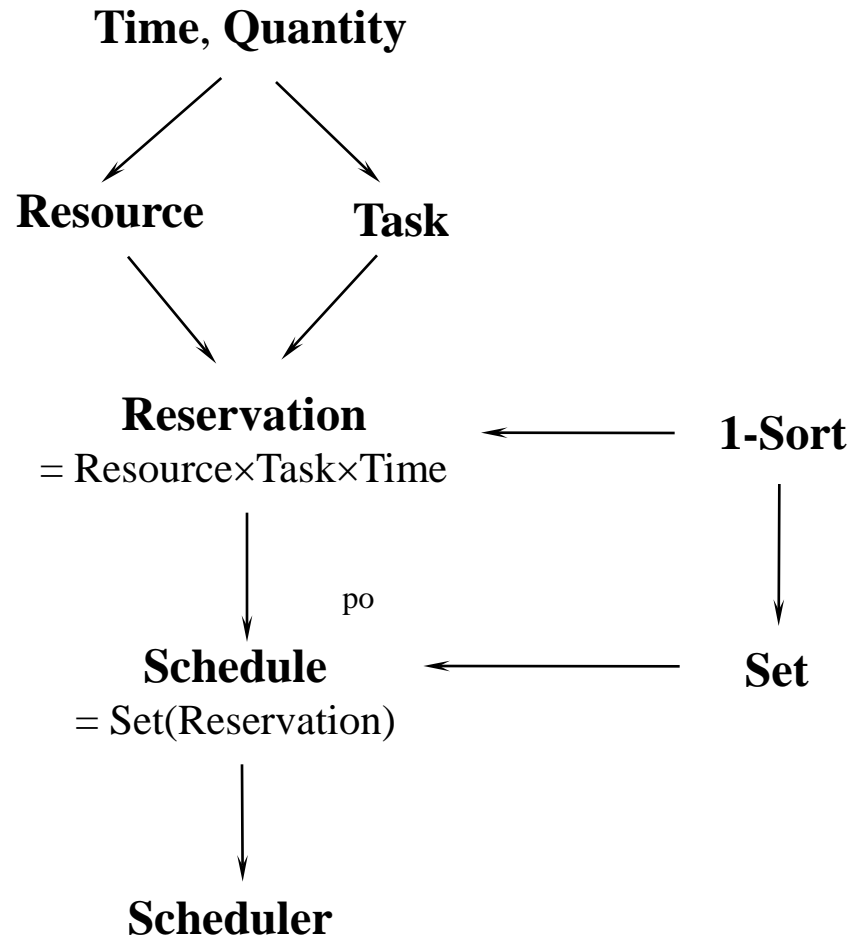


# From research to operations





# A structured spec for scheduling





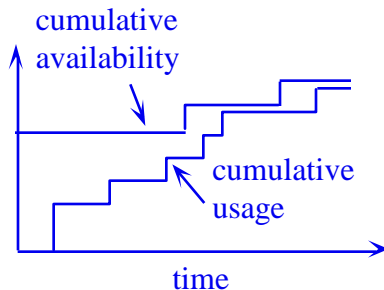
# Transportation domain modeling

## Resource

### Consumable

examples: fuel, crew time

constraint: cum. use  $\leq$  cum. avail



### Reusable

examples: parking lots, ramp space,  
parallel processors, power  
constraints: upper bound on capacity  
finite usage intervals

#### Synchronously Reusable

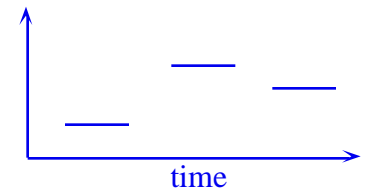
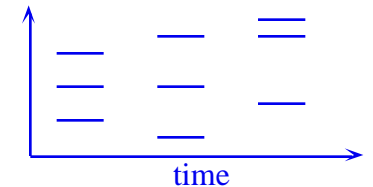
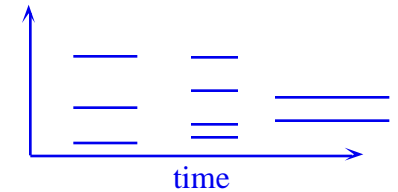
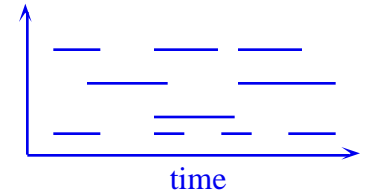
examples: ship, aircraft, truck  
constraints: synchronized blocks of reservations  
min separation between blocks

#### Exact Capacity

example: wafer oven  
constraint: lb = ub on capacity

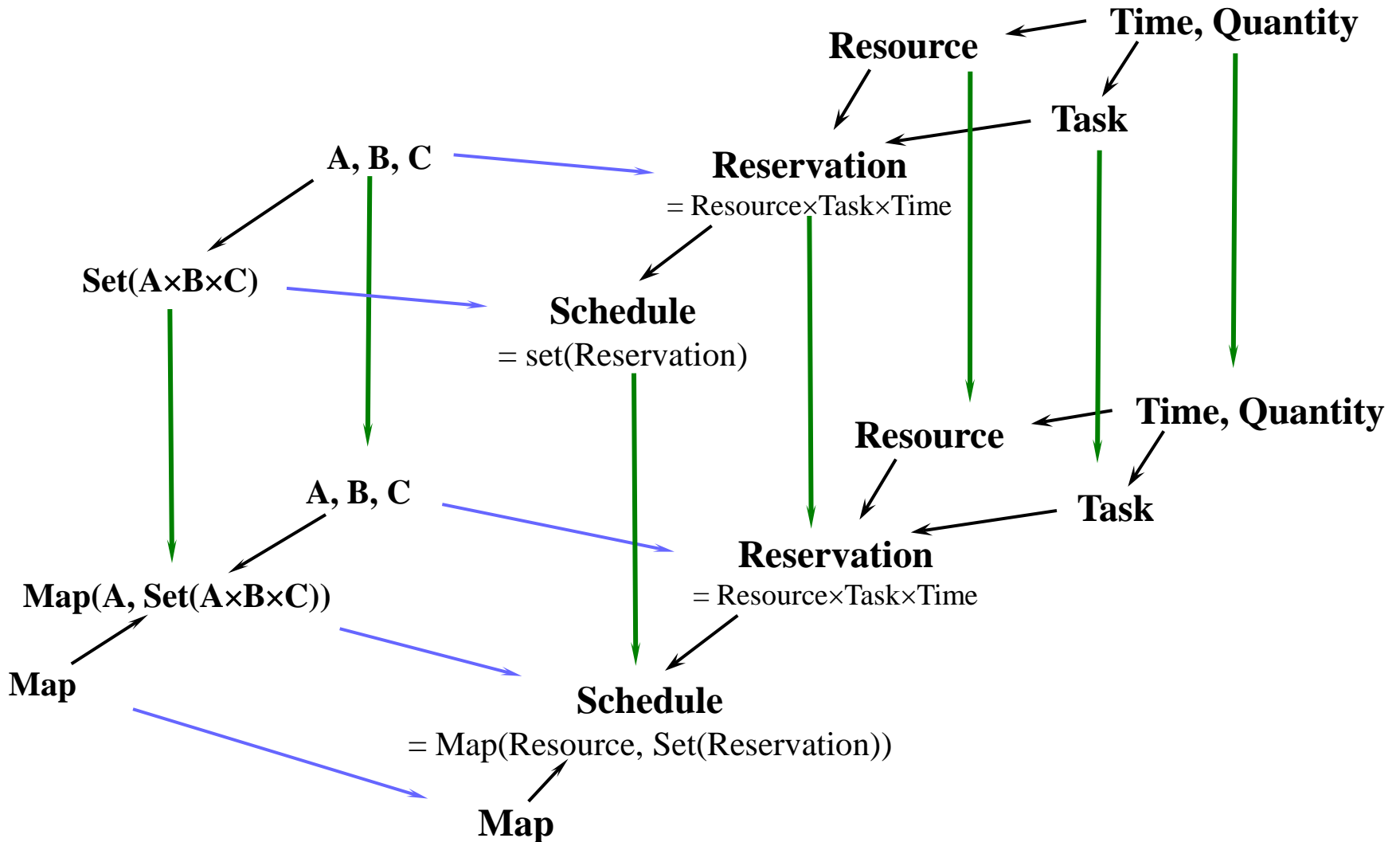
#### Nonsharable

examples: berth, runway, crew  
constraint: capacity = 1





# Diagram Refinement





# Planware Generator

## *Resource*

<b>Parameter</b>	<b>Lower Bound</b>	<b>Exact Value</b>	<b>Upper Bound</b>
<i>Start Time</i>	<i>Task.release</i>		<i>Task.pick-up</i>
<i>Resource-type</i>		<i>Multi-choice menu</i>	<i>Sum of task req'd resources</i>
<i>Instantaneous Demand</i>		<i>Sum of task demands</i>	





# Planware Generator-2

*Resource*  
↓  
*Reusable  
resource*

<b>Parameter</b>	<b>Lower Bound</b>	<b>Exact Value</b>	<b>Upper Bound</b>
<i>Start Time</i>	<i>Task.release</i>	<i>Finish - Dur</i>	<i>Task.pick-up</i>
<i>Resource-type</i>		<i>Multi-choice menu</i>	<i>Sum of task req'd resources</i>
<i>Instantaneous Demand</i>	<i>min-cap</i>	<i>Sum of task demands</i>	<i>max-cap</i>
<i>Duration</i>	<i>0</i>	<i>Finish - Start</i>	
<i>Finish Time</i>	<i>Task.ead</i>	<i>Start + Dur</i>	<i>Task.due-date</i>
<i>Max-capacity</i>		<i>r.r-type.max-cap</i>	

Also: *Precedes, Min-capacity*



# Planware Generator-3

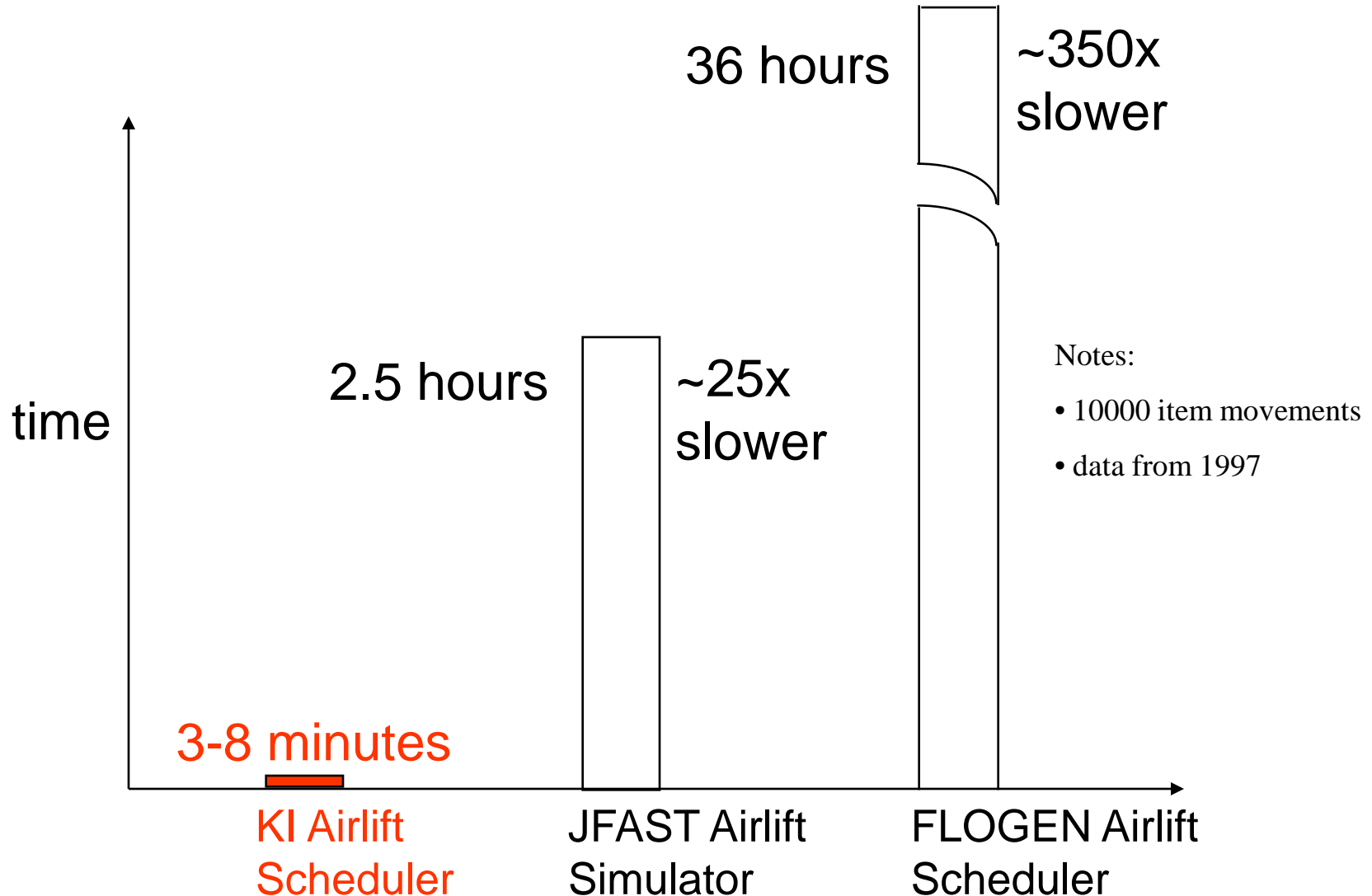
*Resource*  
↓  
*Reusable  
resource*  
↓  
*Synchronous  
resource*

<b>Parameter</b>	<b>Lower Bound</b>	<b>Exact Value</b>	<b>Upper Bound</b>
<i>Start Time</i>	<i>Task.release</i>	<i>Finish - Dur</i>	<i>Task.pick-up</i>
<i>Resource-type</i>		<i>Multi-choice menu</i>	<i>Sum of task req'd resources</i>
<i>Instantaneous Demand</i>	<i>min-cap</i>	<i>Sum of task demands</i>	<i>max-cap</i>
<i>Duration</i>	<i>0</i>	<i>Finish - Start</i>	
<i>Finish Time</i>	<i>Task.ead</i>	<i>Start + Dur</i>	<i>Task.due-date</i>
<i>Max-capacity</i>		<i>r.r-type.max-cap</i>	
<i>Separation</i>	<i>0</i>	<i>r.r-type.separation</i>	

Also: *Precedes, Min-capacity*



# Performance





# Important users

- ◆ Motorola
- ◆ NSA
- ◆ Boeing
- ◆ Kestrel Institute (KI)
- ◆ Kestrel Technology LLC (KT)
- ◆ Other (Georgia Tech, Lockheed Martin, ...)



## Motorola work

- ◆ Peter White, Conan Dailey, et al.
- ◆ Used Specware 1.x to create a specification for an OS separation kernel
- ◆ Successful application
  - ◆ Security proven to NSA
  - ◆ Embedded in commercially available AIM processor



# NSA experiment

## Bake-Off : Two teams given

- same requirements document
- same time
- same funds

Each team implemented the system independently, and a third party tested code and awarded reliability scores.

## Methodologies Used

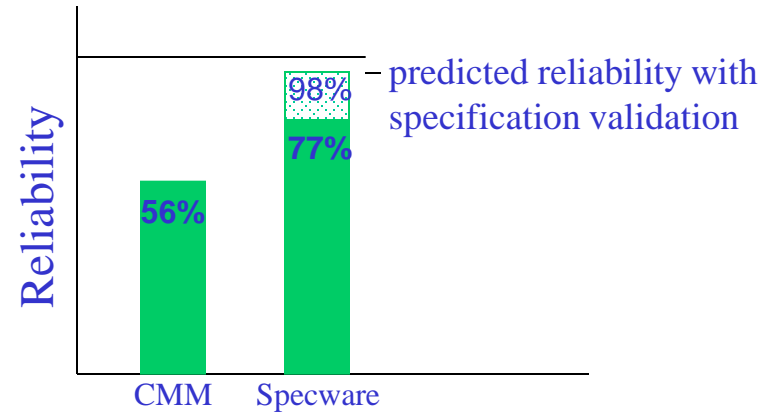
### *Specware*

Specware specification & code synthesis

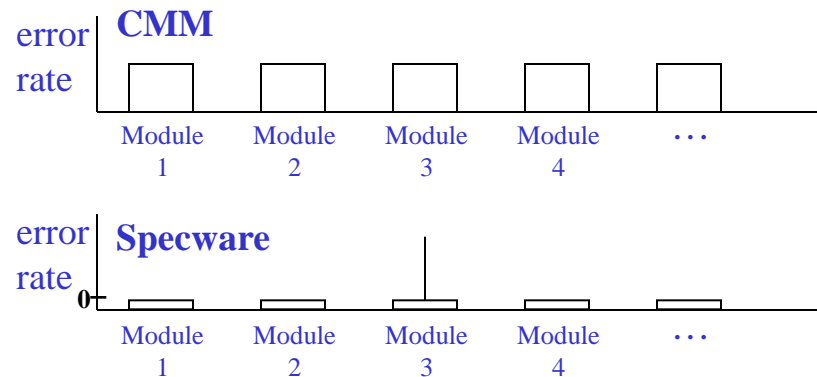
### *CMM*

Software Engineering Institute Capability Maturity Model Level 4 with UML specification & initial design

## Reliability Scores for Critical Functionality



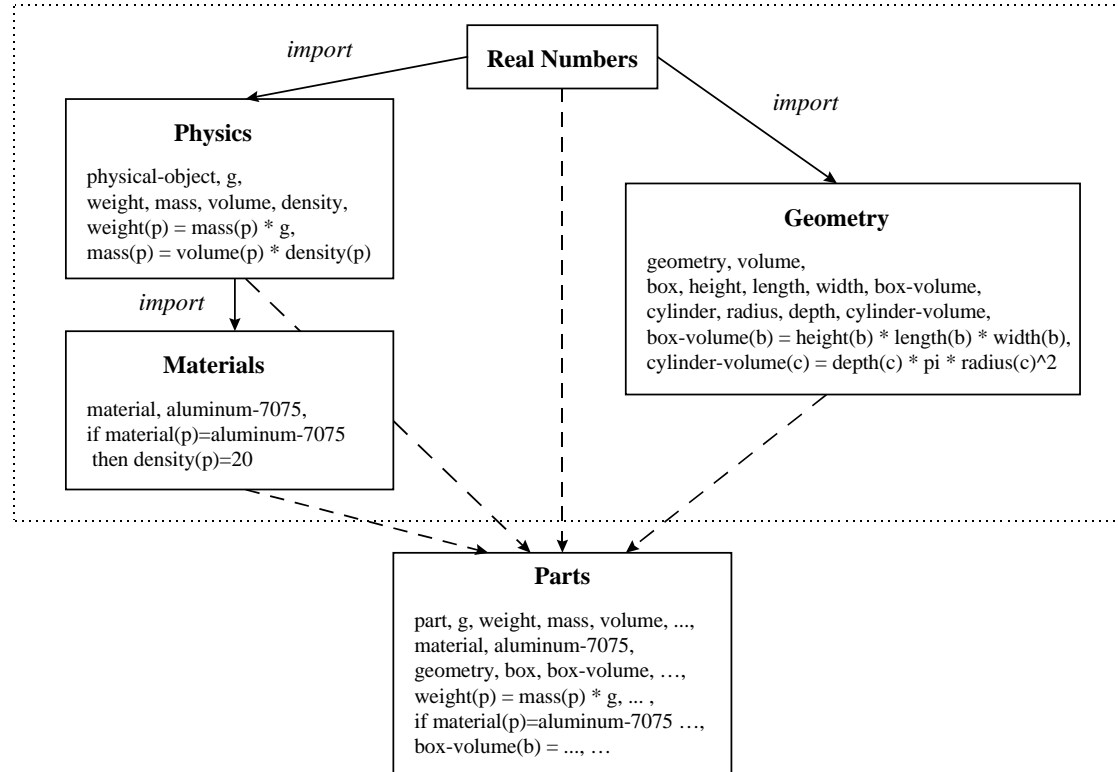
## Distribution of Code Errors





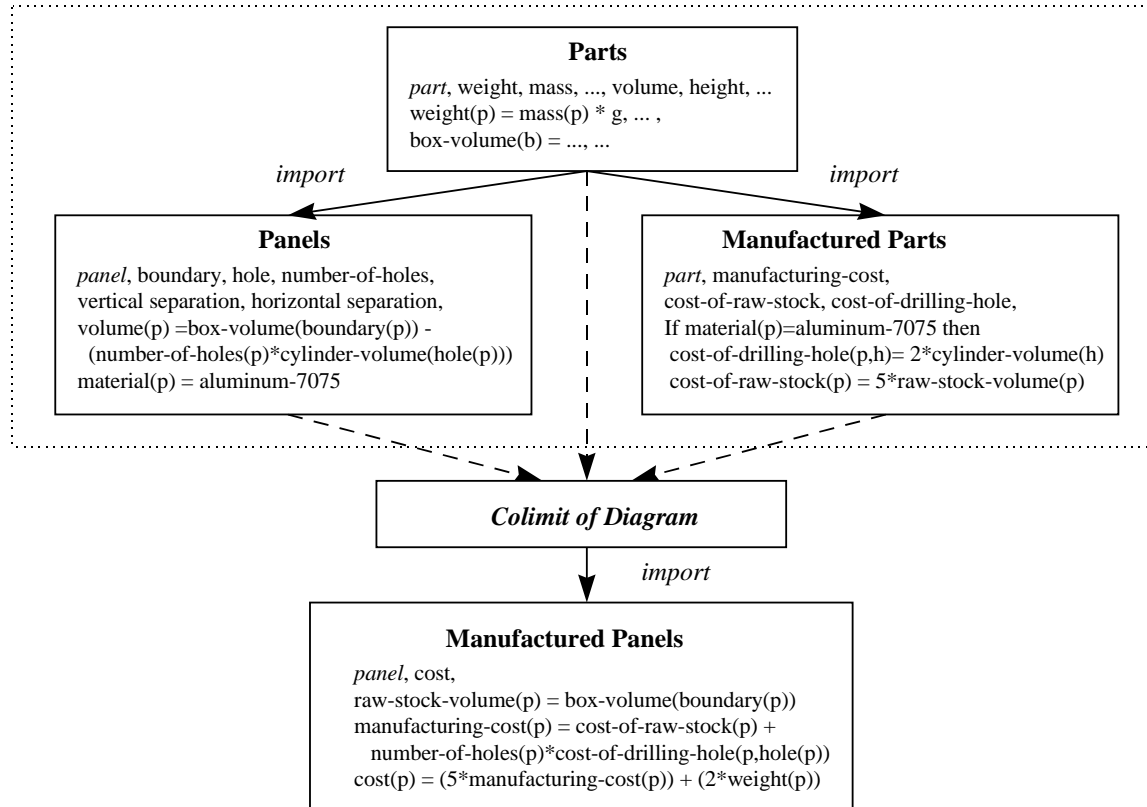
# Boeing work

- ◆ FAA-compliant electronic equipment rack layout
  - ◆ Maintain separations
  - ◆ Maintain redundancy
  - ◆ Maintain ease of access
  - ◆ Minimize costs
    - Cable length, etc.
  - ◆ Etc., etc.





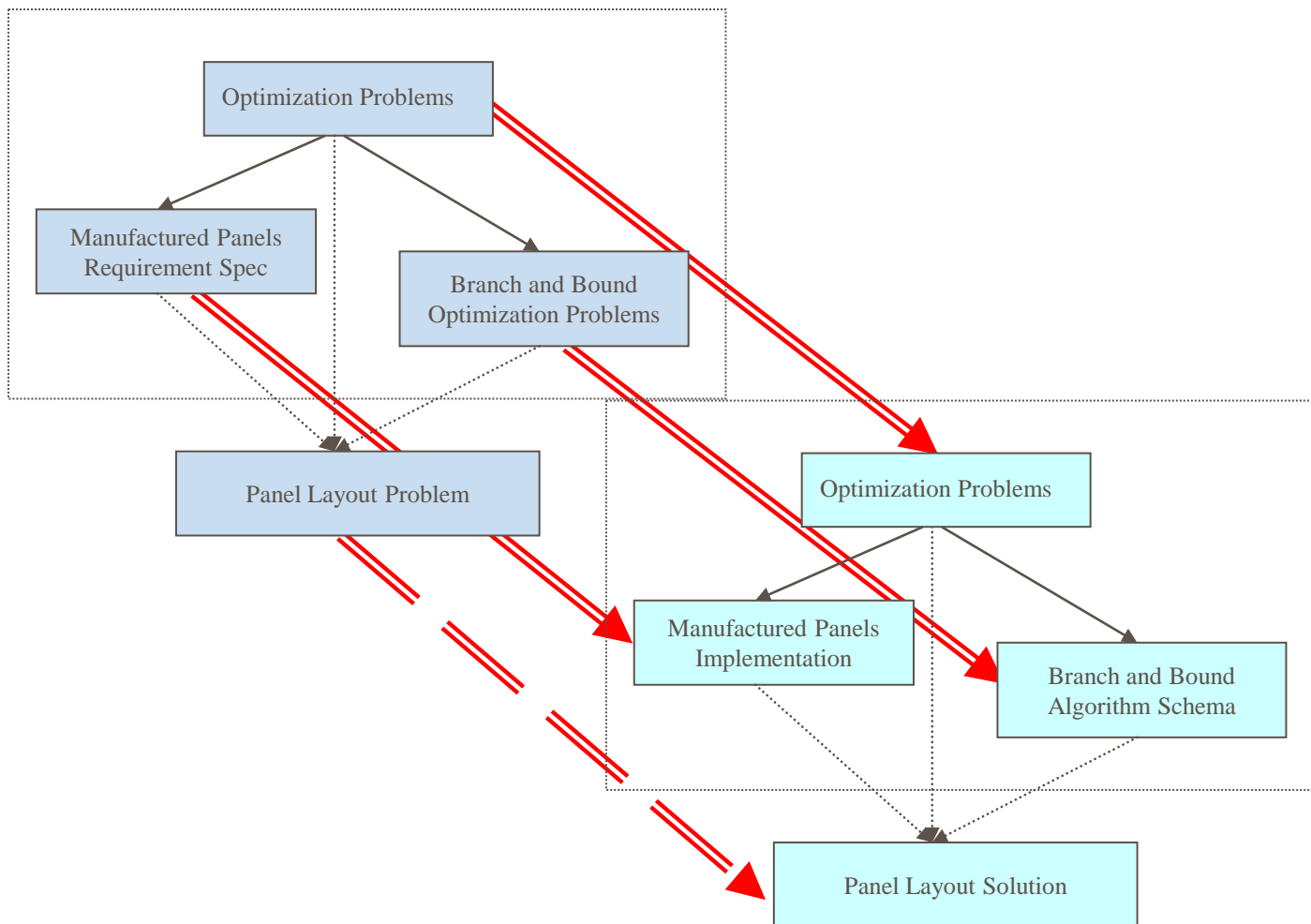
# Boeing (cont'd)







# Boeing (cont'd)





## Kestrel's recent work using Specware

- ◆ High assurance Java virtual machine
- ◆ Network vulnerability analysis
- ◆ Bootstrapping Specware in its own language



# Java bytecode verifier

- ◆ First complete formal executable specification and validation of bytecode verification
- ◆ Uncovered several flaws in the informal specification
- ◆ Designed & tested specification modifications to eliminate those flaws and enhance the performance
- ◆ By-product: reusable components, e.g, a data flow analysis engine

Described later in this workshop



# Network vulnerability analysis

- ◆ Detects vulnerabilities in COTS software applications
- ◆ Works on byte code → usable even when sources aren't available
- ◆ Early stages of work

Described later in this workshop



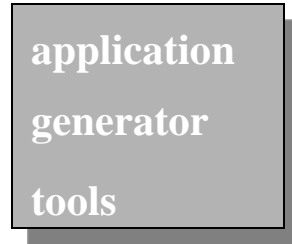
# What's new in Specware?

- ◆ Language features
  - ◆ 1<sup>st</sup> order polymorphism
  - ◆ automatic relax/restrict
  - ◆ imperative constructs
- ◆ Much more compact & readable syntax
  - ◆ record notation
  - ◆ named co-products
  - ◆ infix operations
- ◆ Bootstrapped system
- ◆ Larger test suite (including Specware)
- ◆ Meta-language for programmable tactics & replay
- ◆ Prover Integration {Snark, Gandalf, ...}
- ◆ Hosting on Wintel & Linux
- ◆ Refine-free (simpler licensing)

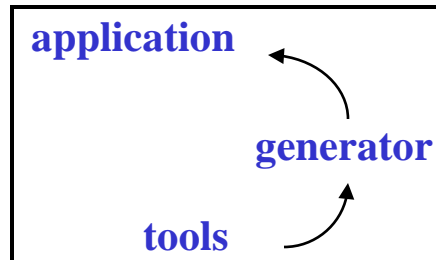


# Roles of KI and KT

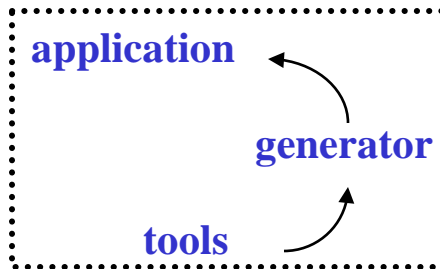
**NewCo:  
COTS**



**KT: tech  
transition**



**KI: basic  
research**



## ◆ Kestrel Institute (KI)

- ◆ Non-profit R&D
- ◆ Emphasis on basic and exploratory research
- ◆ Contained growth
- ◆ Core technology feeding diversity of applications
- ◆ Academic spirit

## ◆ Kestrel Technology LLC (KT)

- ◆ For-profit R&D
- ◆ Emphasis on service for using and extending KI technology
- ◆ Growth-oriented
- ◆ Narrow application focus
- ◆ Commercial spirit
- ◆ Spin-out companies



BACKUP SLIDES AFTER HERE



# Development plans

- ◆ Ongoing work
  - ◆ Hereditary diagrams
  - ◆ Optimizations
  - ◆ C code synthesis
- ◆ Language extensions
  - ◆ Dependent types
  - ◆ Relax constraint on morphisms and sort-structure
  - ◆ Non-deterministic operators
- ◆ Inference
  - ◆ Extend Gandalf
  - ◆ Extend inference tactics
- ◆ Spec categories
  - ◆ Support for theory slicing
  - ◆ Support for targeting imperative and OO languages
- ◆ High performance output code
  - ◆ C, Java
- ◆ Designware
  - ◆ Application support libraries
  - ◆ Move Slang-based libraries into MetaSlang
- ◆ Java-based GUI
  - ◆ Interface to diagrams
  - ◆ Interface to Designware





# Example of a colimit

spec BINARY-RELATION is  
 sort  $E$   
 op  $\_br\_ : E, E \rightarrow Boolean$   
 end-spec

spec REFLEXIVE-RELATION is  
 sort  $E$   
 op  $\_rr\_ : E, E \rightarrow Boolean$   
 axiom reflexivity is  $a rr a$   
 end-spec

spec TRANSITIVE -RELATION is  
 sort  $E$   
 op  $\_tr\_ : E, E \rightarrow Boolean$   
 axiom transitivity is  
 $a tr b \wedge b tr c \Rightarrow a tr c$   
 end-spec

spec PREORDER-RELATION is  
 sort  $E$   
 op  $\leq : E, E \rightarrow Boolean$   
 axiom reflexivity is  
 $a \leq a$   
 axiom transitivity is  
 $a \leq b \wedge b \leq c \Rightarrow a \leq c$   
 end-spec



# World class research

- ◆ Director
  - ◆ Fellow of the ACM
  - ◆ Winner of the Grace Hopper Award
  - ◆ Consultant to the Defense Science Board
  - ◆ Adjunct professor at Stanford
- ◆ CTO
  - ◆ Fellow of the AAI
  - ◆ Former chair of IFIPS 2.1
  - ◆ Adjunct professor at Stanford
- ◆ Staff includes:
  - ◆ Current chair of IFIP 2.1
  - ◆ Several DARPA PIs
  - ◆ Experts in
    - Category theory
    - Program synthesis
    - Functional programming
    - Java security
    - Optimization
    - Algorithm design and synthesis
    - Resource allocation
    - Network optimization
    - Signal processing
    - ...and more

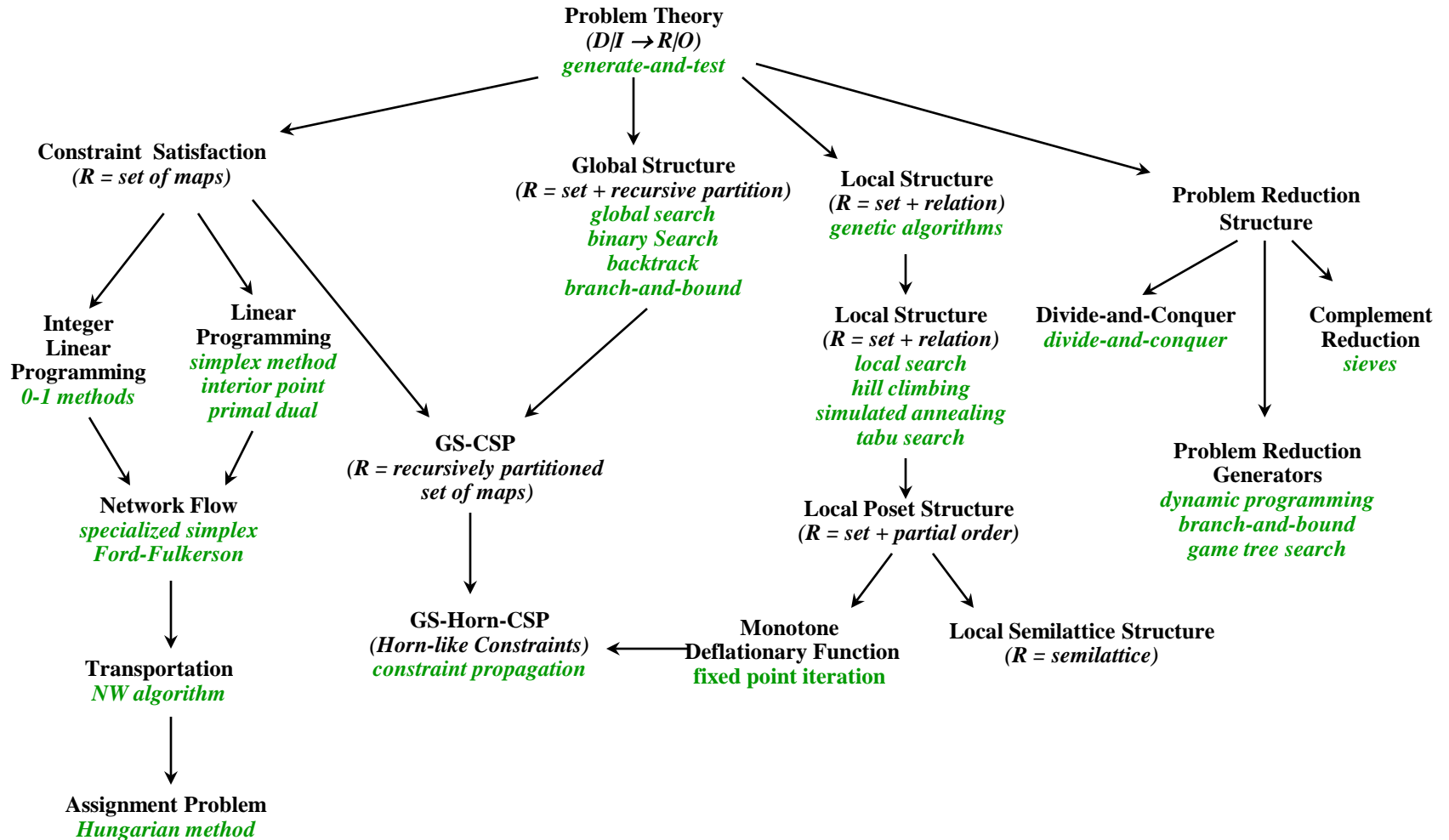


# FAQs

1. Do you have an independent proof of correctness of generated code?
2. Do you think I would write in MetaSlang?
3. Do you expect me to maintain MetaSlang?
4. Why not just use C++, Java, Haskell, B, PVS, ...?
5. What about my existing body of code?
6. Can your output code outperform my hand-crafted code?



# Taxonomy of algorithm theories





# Basic operations specs

```
spec BIN-OP is
  sort U
  op f : U * U -> U
end-spec
```

```
spec COMMUTATIVE-BIN-OP is
  import BIN-OP
  axiom commutativity is fa(x,y) f(x,y) =
    f(y,x)
end-spec
```

```
spec IDEMPOTENT-BIN-OP is
  import BIN-OP
  axiom idempotence is fa(x) f(x,x) = x
end-spec
```

```
spec ASSOCIATIVE-BIN-OP is
  import BIN-OP
  axiom associativity is fa(x,y,z) f(x,f(y,z)) =
    f(f(x,y),z)
end-spec
```

```
spec BIN-OP-w-ID is
  import BIN-OP
  op id : U
  axiom left-identity is fa(x) f(id,x) = x
  axiom right-identity is fa(x) f(x,id) = x
end-spec
```

```
spec BIN-OP-w-ABS is
  import BIN-OP
  op abs : U
  axiom left-absorption is fa(x) f(abs,x) = abs
  axiom right-absorption is fa(x) f(x,abs) = abs
end-spec
```



# Semilattice

```
def SEMILATTICE-import : Spec =  
  diagramColimit("SEMILATTICE-  
    import",  
    [BIN-OP,  
      COMMUTATIVE-BIN-OP,  
      IDEMPOTENT-BIN-OP,  
      ASSOCIATIVE-BIN-OP],  
    [BIN-OP !-->  
      COMMUTATIVE-BIN-OP,  
      BIN-OP !-->  
      IDEMPOTENT-BIN-OP,  
      BIN-OP !-->  
      ASSOCIATIVE-BIN-OP])
```

```
spec SEMILATTICE is  
  
  import SEMILATTICE-import  
  
  op pord1 : U * U -> Boolean  
  def pord1(x,y) = (f(x,y) = x)  
  
  op pord2 : U * U -> Boolean  
  def pord2(x,y) = (f(x,y) = y)  
  
  end-spec
```



# Semilattice

```
def SEMILATTICE-w-ID : Spec =
  diagramColimit("SEMILATTICE-w-ID",
    [BIN-OP,
     SEMILATTICE,
     BIN-OP-w-ID],
    [BIN-OP !-> SEMILATTICE,
     BIN-OP !-> BIN-OP-w-ID])

def SEMILATTICE-w-ABS : Spec =
  diagramColimit("SEMILATTICE-w-ABS",
    [BIN-OP,
     SEMILATTICE,
     BIN-OP-w-ABS],
    [BIN-OP !-> SEMILATTICE,
     BIN-OP !-> BIN-OP-w-ABS])

def SEMILATTICE-w-ID-n-ABS : Spec =
  diagramColimit("SEMILATTICE-w-ID-n-ABS",
    [SEMILATTICE,
     SEMILATTICE-w-ID,
     SEMILATTICE-w-ABS],
    [SEMILATTICE !-> SEMILATTICE-w-ID,
     SEMILATTICE !-> SEMILATTICE-w-ABS])
```

```
def BV-DATA-FLOW : Spec =
  diagramColimit("BV-DATA-FLOW",
    [DATA-FLOW-param,
     DATA-FLOW,
     TRANSFER-FUNCTIONS,
     MAPS],
    [DATA-FLOW-param !->
     DATA-FLOW,
     DATA-FLOW-param --->
     TRANSFER-FUNCTIONS
     where ["U"   |-> "BVSL",
           "f"   |-> "join",
           "id"  |-> "btm",
           "abs" |-> "top",
           "pord1" |-> "gtq",
           "pord2" |-> "ltq"],
     MAPS !-> DATA-FLOW,
     MAPS !-> TRANSFER-
     FUNCTIONS])
```