

Applying the Framework for Improving Critical Infrastructure Cybersecurity

April 11, 2018

NIST

**National Institute of
Standards and Technology**
U.S. Department of Commerce

Greg Witte
Senior Security Engineer
G2 Inc. &
Guest Researcher, NIST IT Laboratory

Audience Poll:

**How many here are using
the NIST Framework?**

There Are Actually Several Relevant Frameworks to Leverage

- Cyber-Physical Systems (CPS) Framework
- Privacy Engineering Framework
- Baldrige Excellence Framework
- Framework for Improving Critical Infrastructure Cybersecurity (or the Cybersecurity Framework)
- Risk Management Framework
- NICE Framework (Workforce)



We have several objectives to cover during the workshop

- Learn a little of the history of the Cybersecurity Framework and why it has been developed in the way that it has
- Learn how the Cybersecurity Framework helps an enterprise to develop a business-centric view of information security
- Learn how the elements of the Cybersecurity Framework can be applied to help your organization understand and manage risk
- Identify pointers to many resources that are available to help organizations implement the Cybersecurity Framework
- Understand how to use the 7 CSF Steps – especially the Profile component - to help the organization document status and goals



Cybersecurity Framework Charter

February 12, 2013

“It is the policy of the United States to enhance the security and resilience of the Nation’s critical infrastructure and to maintain a cyber environment that encourages efficiency, innovation, and economic prosperity while promoting safety, security, business confidentiality, privacy, and civil liberties”



Executive Order 13636

December 18, 2014

Amends the National Institute of Standards and Technology Act (15 U.S.C. 272(c)):

*“...on an ongoing basis, facilitate and support the development of a **voluntary, consensus-based, industry-led** set of standards, guidelines, best practices, methodologies, procedures, and processes to cost-effectively reduce cyber risks to critical infrastructure”*



Cybersecurity Enhancement Act of 2014



Executive Order 13636 asked for the creation of a Cybersecurity Framework applicable to all sectors

- Executive Order
 - Be flexible
 - Be non-prescriptive
 - Leverage existing approaches, standards, practices
 - Be globally applicable
 - Focus on risk management vs. rote compliance
- Framework for Improving Critical Infrastructure Cybersecurity
 - Referred to as “The Cybersecurity Framework”
 - Informally referred to as the NIST CSF
 - Issued by NIST on February 12, 2014



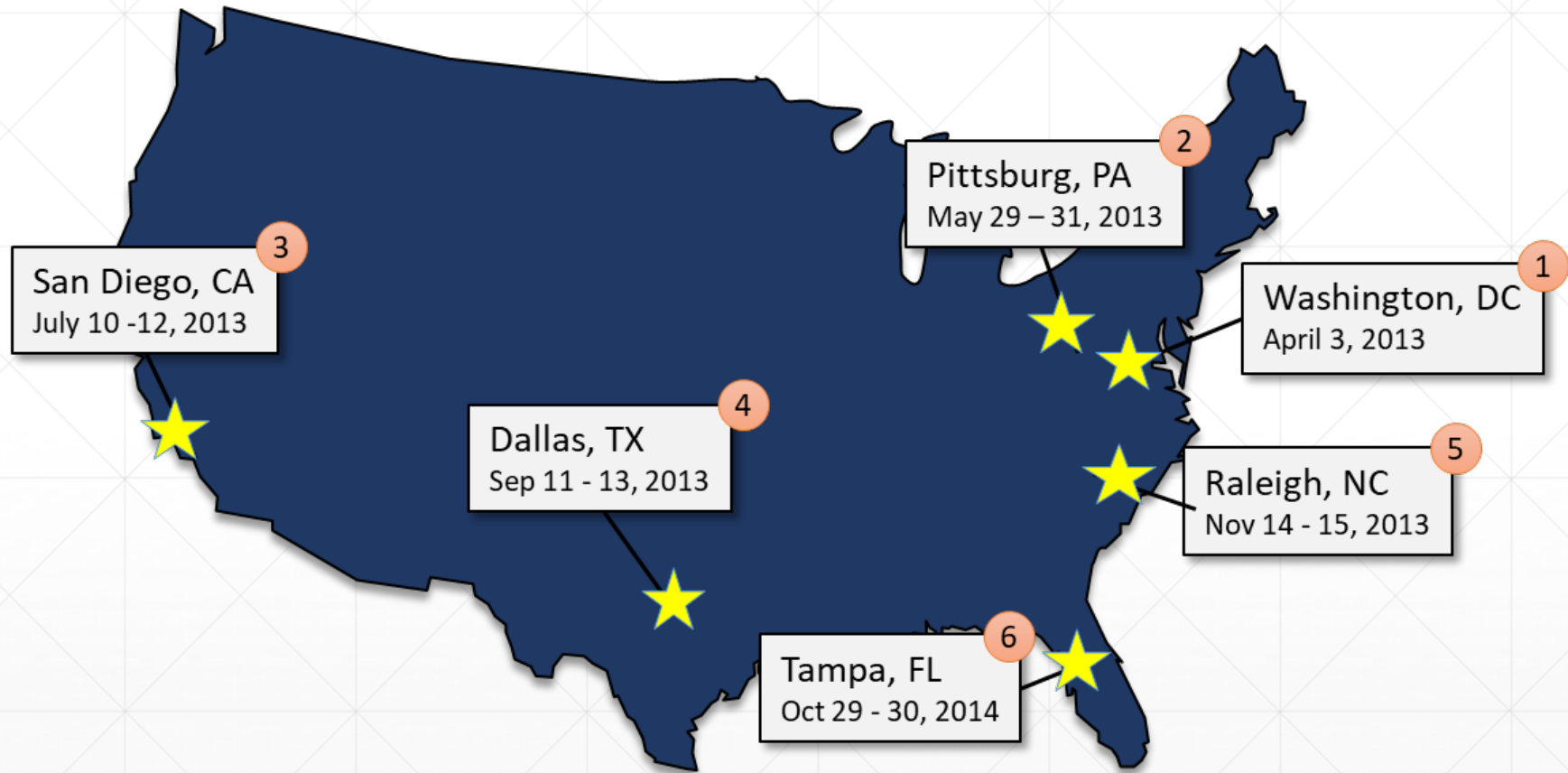
One More Pop Quiz ...



Who wrote the
NIST
Cybersecurity
Framework?

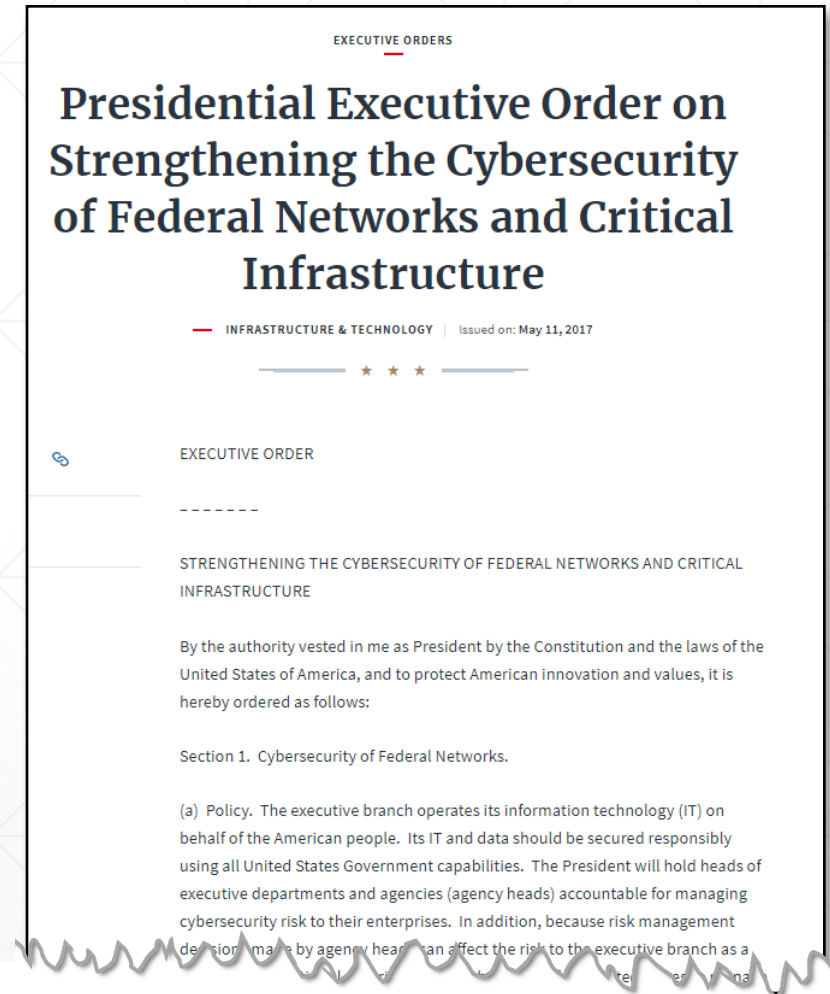


The Framework was developed in partnership among industry, academia, and government



Executive Order 13800 reconfirmed commitment to strengthening cybersecurity for Federal and CI

- EO 13800 - Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure
- Risk Management
 - (ii) “...agency head shall use The Framework” and
 - “...provide a risk management report within 90 days containing a description of the “...agency's action plan to implement the Framework.”
- Signed: May 11, 2017



Defining cybersecurity programs is often about compliance



NERC CIP v5



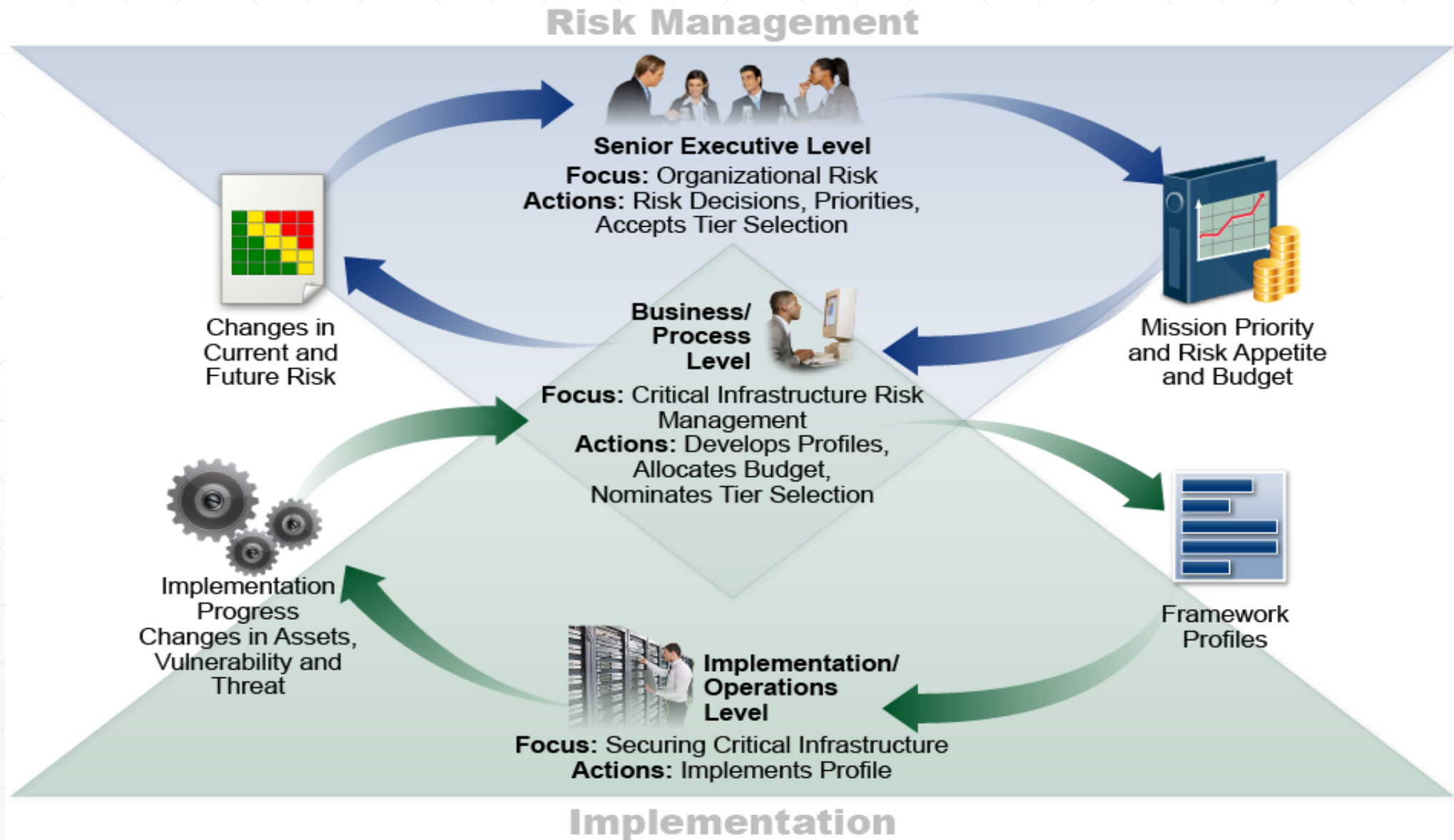
Compliance does not always mean secure



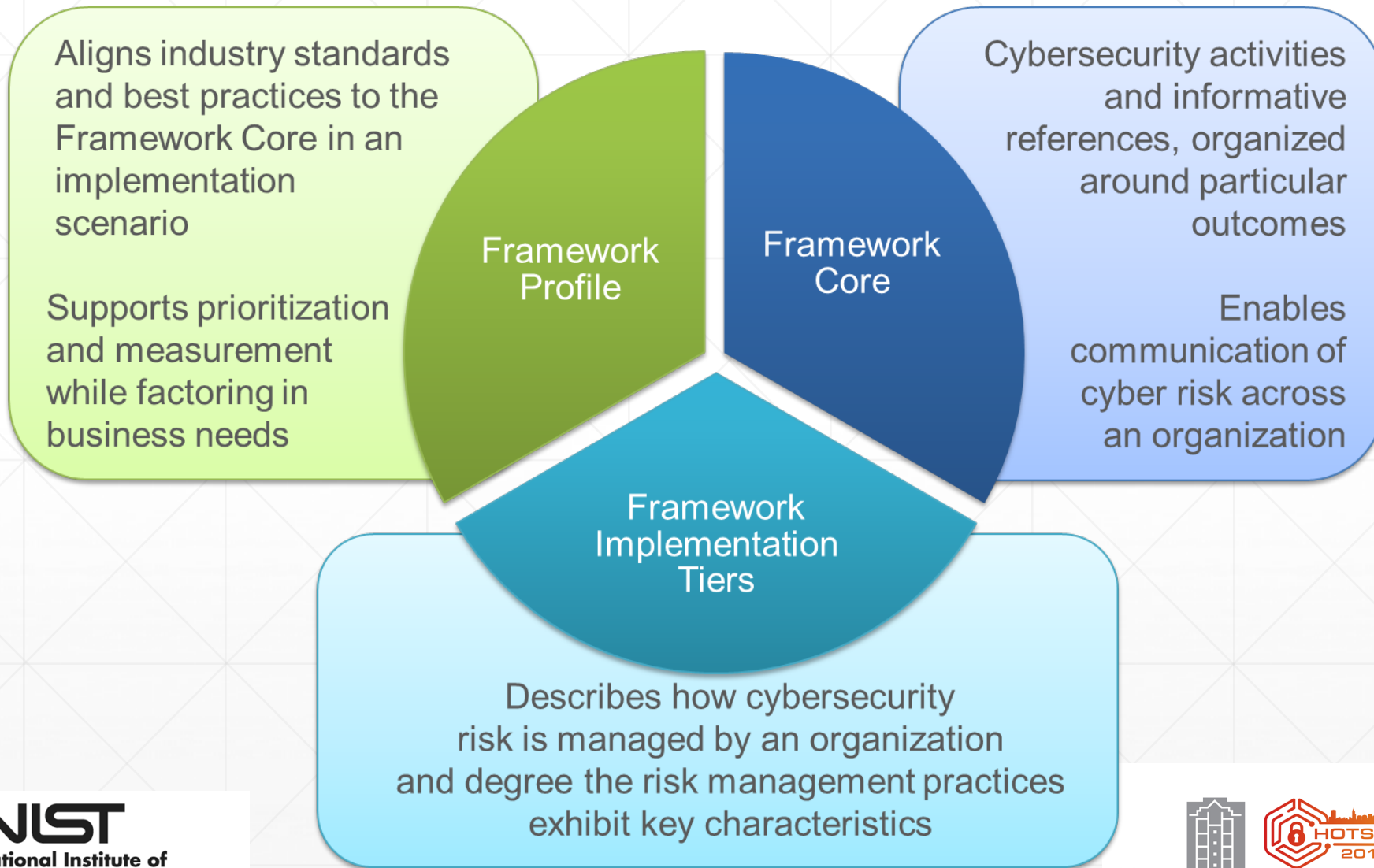
Other times security is not commensurate with the risk



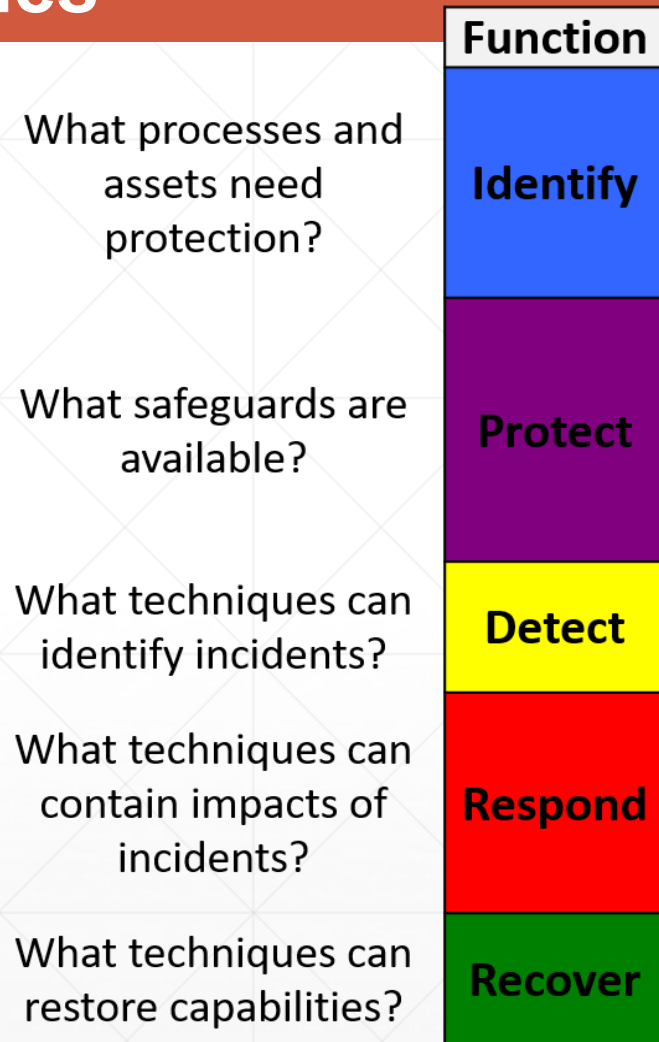
The Framework establishes a common language within organizations and among external partners



The Framework established three primary components used to develop a holistic cybersecurity program



The Framework Core establishes a catalog of cybersecurity outcomes



- Understandable by everyone
- Applies to any type of risk management
- Defines the entire breadth of cybersecurity
- Spans both prevention and reaction



The Framework Categories provide groupings of cybersecurity outcomes

	Function	Category
What processes and assets need protection?	Identify	Asset Management
		Business Environment
		Governance
		Risk Assessment
		Risk Management Strategy
What safeguards are available?	Protect	Access Control
		Awareness and Training
		Data Security
		Information Protection Processes & Procedures
		Maintenance
		Protective Technology
What techniques can identify incidents?	Detect	Anomalies and Events
		Security Continuous Monitoring
		Detection Processes
What techniques can contain impacts of incidents?	Respond	Response Planning
		Communications
		Analysis
		Mitigation
		Improvements
What techniques can restore capabilities?	Recover	Recovery Planning
		Improvements
		Communications



Framework subcategories describe expected outcomes

Example

Framework Core

Function	Category	Subcategory	Informative References
IDENTIFY (ID)	Asset Management (ID.AM): The data, personnel, devices, systems, and facilities that enable the organization to achieve business purposes are identified and managed consistent with their relative importance to business objectives and the organization's risk strategy.	ID.AM-1: Physical devices and systems within the organization are inventoried	<ul style="list-style-type: none"> CCS CSC 1 COBIT 5 BAI09.01, BAI09.02 ISA 62443-2-1:2009 4.2.3.4 ISA 62443-3-3:2013 SR 7.8 ISO/IEC 27001:2013 A.8.1.1, A.8.1.2 NIST SP 800-53 Rev. 4 CM-8
		ID.AM-2: Software platforms and applications within the organization are inventoried	<ul style="list-style-type: none"> CCS CSC 2 COBIT 5 BAI09.01, BAI09.02, BAI09.05 ISA 62443-2-1:2009 4.2.3.4 ISA 62443-3-3:2013 SR 7.8 ISO/IEC 27001:2013 A.8.1.1, A.8.1.2 NIST SP 800-53 Rev. 4 CM-8
		ID.AM-3: Organizational communication and data flows are mapped	<ul style="list-style-type: none"> CCS CSC 1 COBIT 5 DSS05.02 ISA 62443-2-1:2009 4.2.3.4 ISO/IEC 27001:2013 A.13.2.1 NIST SP 800-53 Rev. 4 AC-4, CA-3, CA-9, PL-8
		ID.AM-4: External information systems are catalogued	<ul style="list-style-type: none"> COBIT 5 APO02.02 ISO/IEC 27001:2013 A.11.2.6 NIST SP 800-53 Rev. 4 AC-20, SA-9

There are several Proposed Category updates in the draft Framework Version 1.1

Framework Core			
Function Unique Identifier	Function	Category Unique Identifier	Category
ID	Identify	AM	Asset Management
		BE	Business Environment
		GV	Governance
		RA	Risk Assessment
		RM	Risk Management
PR	Protect	AC	Access Control
		AT	Awareness and Training
		DS	Data Security
		IP	Information Protection Processes and Procedures
		PT	Protective Technology
DE	Detect	AE	Anomalies and Events
		CM	Security Continuous Monitoring
		DP	Detection Processes
RS	Respond	CO	Communications
		AN	Analysis
		MI	Mitigation
		IM	Improvements
RC	Recover	RP	Recovery Planning
		IM	Improvements
		CO	Communications

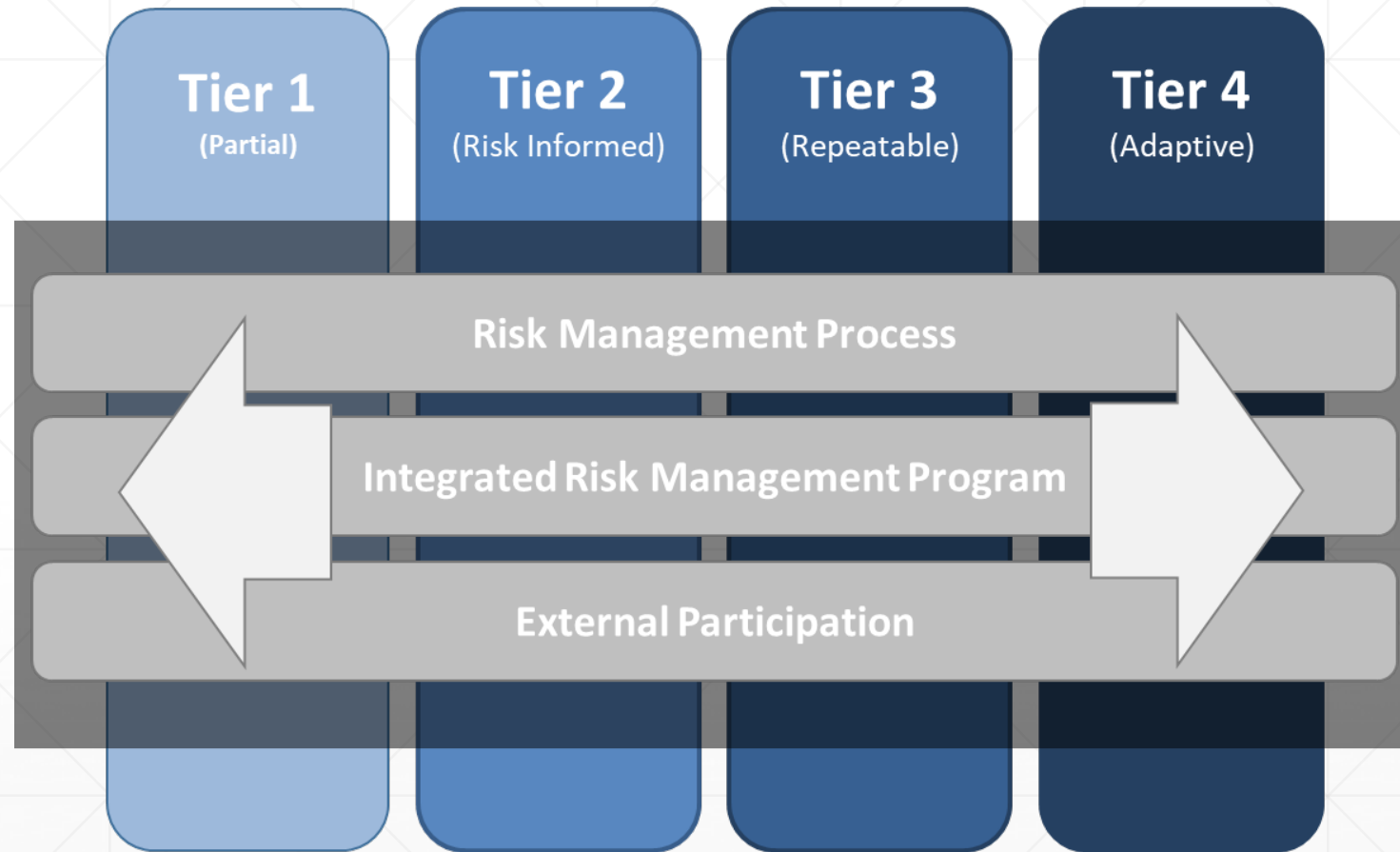
Supply Chain Risk Management

- Functions: 5 → 5
- Categories: 22 → 23
- Subcategories: 98 → 108

Identity Management & Access Control



Organizations select an Implementation Tier based on their risk threshold



Organizations have applied the Implementation Tiers in different ways and at different levels

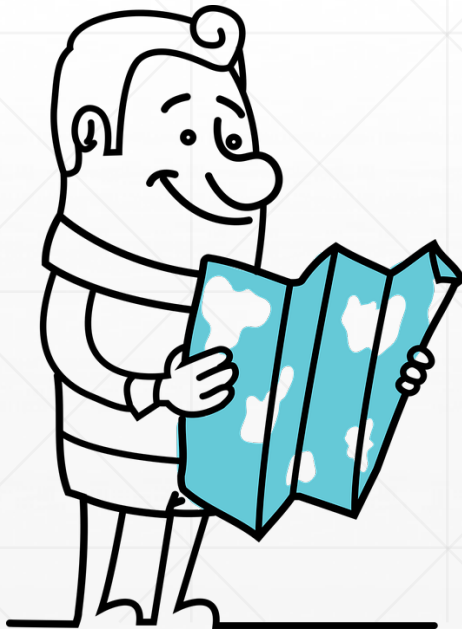


Profiles help organizations align & prioritize cybersecurity activities



Current and Target state Profiles help organizations capture their cybersecurity program

- Current State Profile
 - Present state of the organization's unique cybersecurity program
- Target State Profile
 - Captures the to-be state for the organization's cybersecurity program



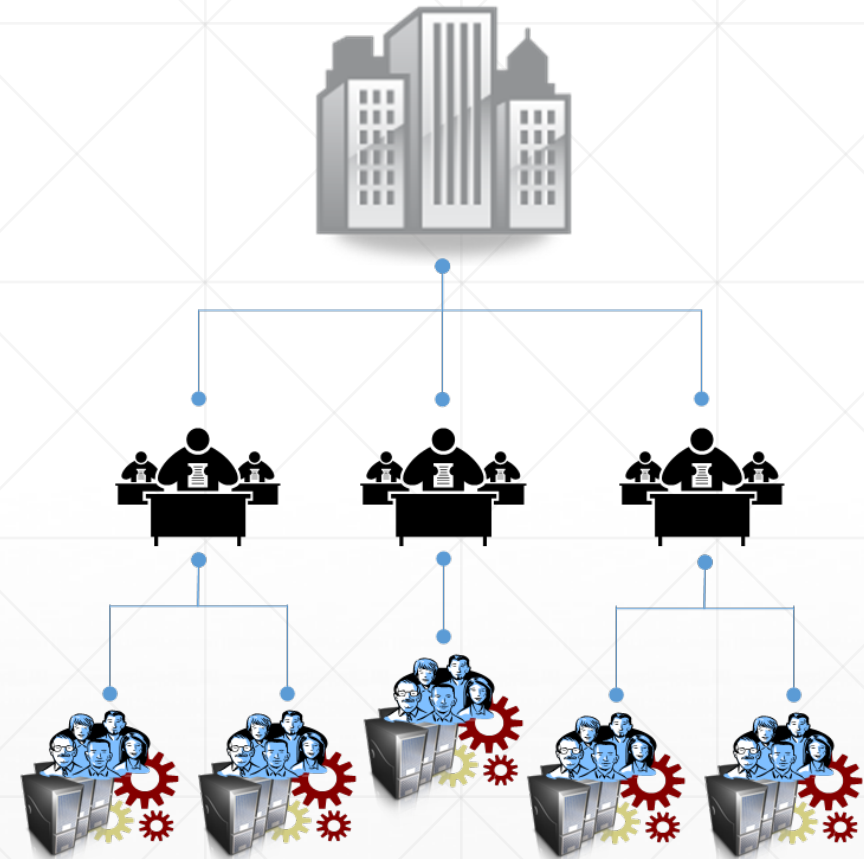
Cybersecurity Framework target state profiles can help distribute and organize labor

Subcats	Reqs	Priorities	Who	What	When	Where	How
1	A, B	High					
2	C, D, E, F	High					
3	G, H, I, J	Low					
...					
98	XX, YY, ZZ	Mod					
	Reqs	Priorities					



Organizations identify business and mission objectives to initiate the process

Step 1: Prioritize and Scope



The orient step aligns the business goals, assets, systems, and regulatory requirements for the program

**Step 2:
Orient**



Risk Thresholds



A Current Profile captures the organizations policies, procedures, and practices

Step 3: Current Profile

Category	Subcategory	Org Policy	Current State Profile Org Practices	Risk Notes
Asset Management	ID A&A-1: Physical devices	Policy: POA-Configuration Management v2.1, section Information System Component Inventory (CMI-8), states that information systems must be inventoried and relevant ownership information must be kept. It states what type of information must be documented, and when the inventory should be updated. It also states the need for an automated detection system which can identify unauthorized hardware, software, and firmware.	Physical device inventorying is inconsistently performed across Division. Some departments have automated systems in place to manage physical device inventories. Many other IT managers maintain a spreadsheet of the assets under their purview. System owners are not required to notify the IT managers if they acquire new systems and the procurement process is not integrated into the ISO. Equipment may be purchased, repurposed, or removed from the department without proper sanitization. Additionally, the Information Security Office uses Qualys to periodically scan department networks and forms its own inventory list, but there are many devices not found using this method. Division is in the process of implementing an automated mechanism to monitor Division's networks for new devices, however it is not fully implemented at this time.	<ul style="list-style-type: none"> Unknown devices on network Not possible to get a complete network baseline Creates issues with assigning responsibility or accountability
	Software systems and applications within the organization are inventoried	Policy: POA-Configuration Management v2.1, section Information System Component Inventory (CMI-8), states that information systems must be inventoried and relevant ownership information must be kept. It states what type of information must be documented, and when the inventory should be updated. It also states the need for an automated detection system which can identify unauthorized hardware, software, and firmware.	Software device inventorying is not performed in a consistent manner across Division departments. No department interviewed appears to have any form of software inventory system other than basic patch management.	<ul style="list-style-type: none"> Potential for unknown malicious software Possible software vulnerabilities
	ID A&A-2: Organizational communication and data flows are mapped	Policy: POA-Security Assessment and Authorization (v2.1), section Information System Connections sets the requirement for Interconnection System Agreements to permit connections outside of the accreditation boundary. Security requirements for the interconnected system must be documented as well as the nature of the information being shared. The system connections must be continually monitored. Division has the stance of "deny-all, permit-by-exception."	There is an informal understanding of whom to contact in the event of a situation, but unclear communication flow. Very few divisions interviewed claimed to be mapping information data flows.	<ul style="list-style-type: none"> Increased response times Possible that not all stakeholders are made aware of incidents
Asset Management		No policy was provided for baselining network / system information for or for mapping information security		

Current profile

A Current Profile captures the organizations policies, procedures, and practices

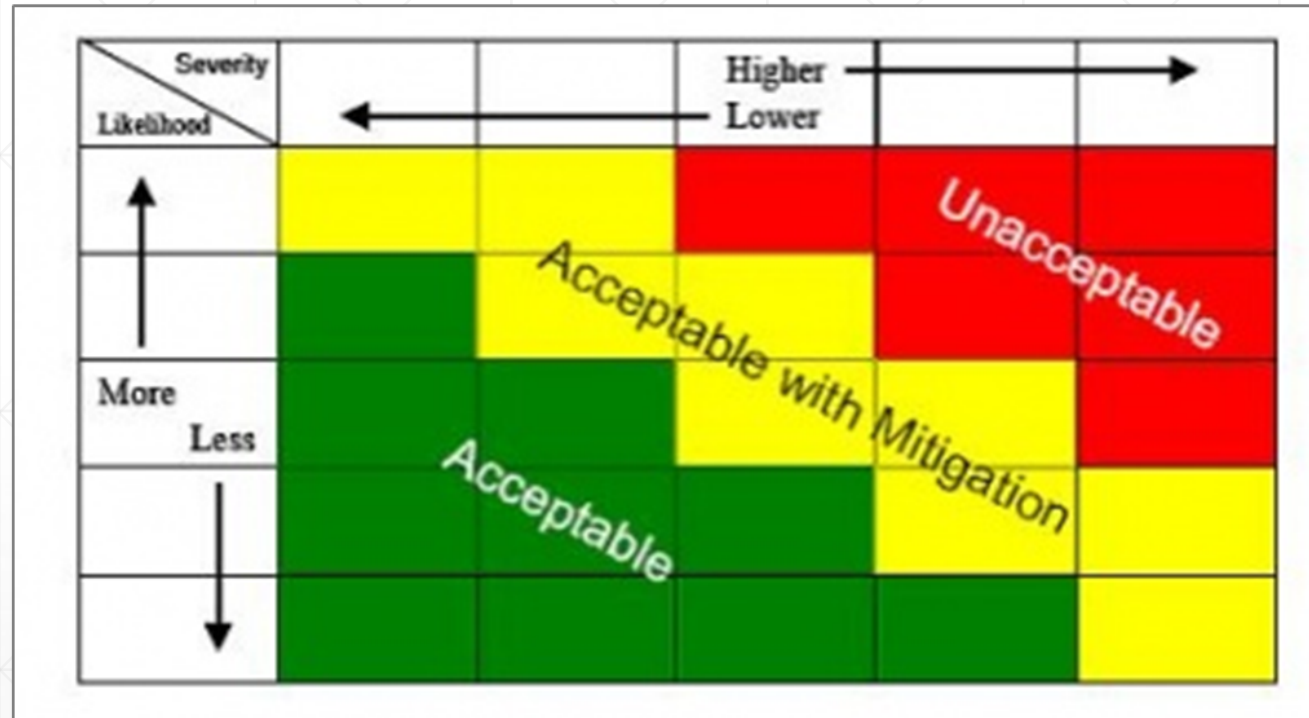
**Step 3:
Current Profile**

Category	Subcategory	Org Policy	Current State Profile Org Practices	Risk Notes
			Physical device inventorying is inconsistently performed across Division. some departments have automated systems in place to manage physical device inventories. Many other IT managers maintain a spreadsheet of the assets under their purview. System owners are not required to notify the IT managers if they acquire new systems and the procurement process is not integrated into the ISO. Equipment may be purchased, repurposed, or removed from the department without proper sanitization. Additionally, the Information Security Office uses Qualys to periodically scan department networks and forms its own inventory list, but there are many devices not found using this method.	<ul style="list-style-type: none"> Unknown devices on network Not possible to get a complete network baseline Control issues with assigning responsibility
		<p>ID.AM-1</p> <p>Policy POL-CM Configuration Management v2.1, section Information System Component Inventory (CM-8), states that information systems must be inventoried and relevant ownership information must be kept. It states what type of information must be documented, and when the inventory should be updated. It also states the need for an automated detection system which can identify unauthorized hardware, software, and firmware.</p>	<p>Physical device inventorying is inconsistently performed across Division. some departments have automated systems in place to manage physical device inventories. Many other IT managers maintain a spreadsheet of the assets under their purview. System owners are not required to notify the IT managers if they acquire new systems and the procurement process is not integrated into the ISO. Equipment may be purchased, repurposed, or removed from the department without proper sanitization. Additionally, the Information Security Office uses Qualys to periodically scan department networks and forms its own inventory list, but there are many devices not found using this method.</p> <p>Division is in the process of implementing an automated mechanism to monitor Division's networks for new devices; however it is not fully implemented at this time.</p>	<ul style="list-style-type: none"> for unknown malicious software software vulnerabilities
		<p>ID.AM-2</p> <p>Policy POL-CM Configuration Management v2.1, section Information System Component Inventory (CM-8), states that information systems must be inventoried and relevant ownership information must be kept. It states what type of information must be documented, and when the inventory should be updated. It also states the need for an automated detection system which can identify unauthorized hardware, software, and firmware.</p>	<p>Software device inventorying is not performed in a consistent manner across Division departments.</p> <p>No department interviewed appears to have any form of software inventory system other than basic patch management.</p>	<ul style="list-style-type: none"> Response times not all stakeholders are made aware



A security risk assessment identifies those risks the organization must address

Step 4: Conduct a Risk Assessment



NIST 800-30, Guide for Conducting Risk Assessments, can help define risk to Acme's infrastructure

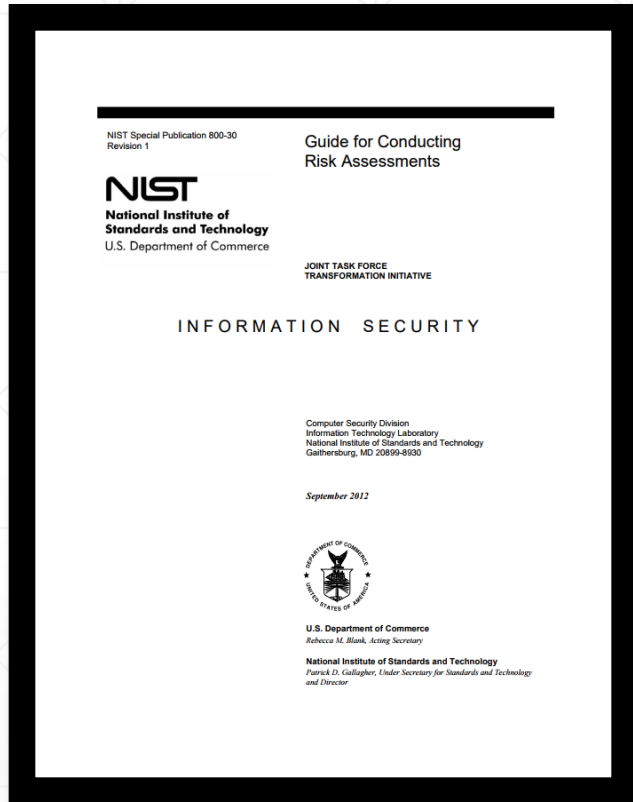


TABLE H-3: ASSESSMENT SCALE – IMPACT OF THREAT EVENTS

Qualitative Values	Semi-Quantitative Values		Description
Very High	96-100	10	The threat event could be expected to have multiple severe or catastrophic adverse effects on organizational operations, organizational assets, individuals, other organizations, or the Nation.
High	80-95	8	The threat event could be expected to have a severe or catastrophic adverse effect on organizational operations, organizational assets, individuals, other organizations, or the Nation. A severe or catastrophic adverse effect means that, for example, the threat event might: (i) cause a severe degradation in or loss of mission capability to an extent and duration that the organization is not able to perform one or more of its primary functions; (ii) result in major damage to organizational assets; (iii) result in major financial loss; or (iv) result in severe or catastrophic harm to individuals involving loss of life or serious life-threatening injuries.
Moderate	21-79	5	The threat event could be expected to have a serious adverse effect on organizational operations, organizational assets, individuals other organizations, or the Nation. A serious adverse effect means that, for example, the threat event might: (i) cause a significant degradation in mission capability to an extent and duration that the organization is able to perform its primary functions, but the effectiveness of the functions is significantly reduced; (ii) result in significant damage to organizational assets; (iii) result in significant financial loss; or (iv) result in significant harm to individuals that does not involve loss of life or serious life-threatening injuries.
Low	5-20	2	The threat event could be expected to have a limited adverse effect on organizational operations, organizational assets, individuals other organizations, or the Nation. A limited adverse effect means that, for example, the threat event might: (i) cause a degradation in mission capability to an extent and duration that the organization is able to perform its primary functions, but the effectiveness of the functions is noticeably reduced; (ii) result in minor damage to organizational assets; (iii) result in minor financial loss; or (iv) result in minor harm to individuals.
Very Low	0-4	0	The threat event could be expected to have a negligible adverse effect on organizational operations, organizational assets, individuals other organizations, or the Nation.

TABLE G-2: ASSESSMENT SCALE – LIKELIHOOD OF THREAT EVENT INITIATION (ADVERSARIAL)

Qualitative Values	Semi-Quantitative Values		Description
Very High	96-100	10	Adversary is almost certain to initiate the threat event.
High	80-95	8	Adversary is highly likely to initiate the threat event.
Moderate	21-79	5	Adversary is somewhat likely to initiate the treat event.
Low	5-20	2	Adversary is unlikely to initiate the threat event.
Very Low	0-4	0	Adversary is highly unlikely to initiate the threat event.



Incorporating regulatory requirements with risks establishes a robust cybersecurity program

**Step 5:
Create a Target Profile**



Sub-category	Priority	Gaps	Budget	Year 1 Activities	Year 2 Activities
1	moderate	small	\$\$\$		X
2	high	large	\$\$	X	
3	moderate	medium	\$	X	
...		
98	moderate	none	\$\$		reassess

Framework supports operating decisions and improvement



Next organization assess their current and target cybersecurity programs to identify gaps

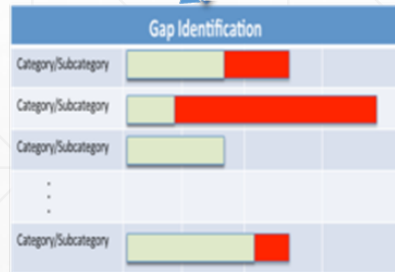
Step 6: Determine, Analyze, and Prioritize Gaps

Current profile

Category	Subcategory	Findings	Risk Notes
ID.AM-1	Physical devices	Physical device inventory is incrementally performed across Division... Physical device inventory is incrementally performed across Division... Physical device inventory is incrementally performed across Division...	Physical devices on network... Physical devices on network... Physical devices on network...
ID.AM-2	Software	Software inventory is not performed in a consistent manner across... Software inventory is not performed in a consistent manner across... Software inventory is not performed in a consistent manner across...	Software inventory is not performed... Software inventory is not performed... Software inventory is not performed...
ID.AM-3	Organizational	Organizational and governance... Organizational and governance... Organizational and governance...	Organizational and governance... Organizational and governance... Organizational and governance...

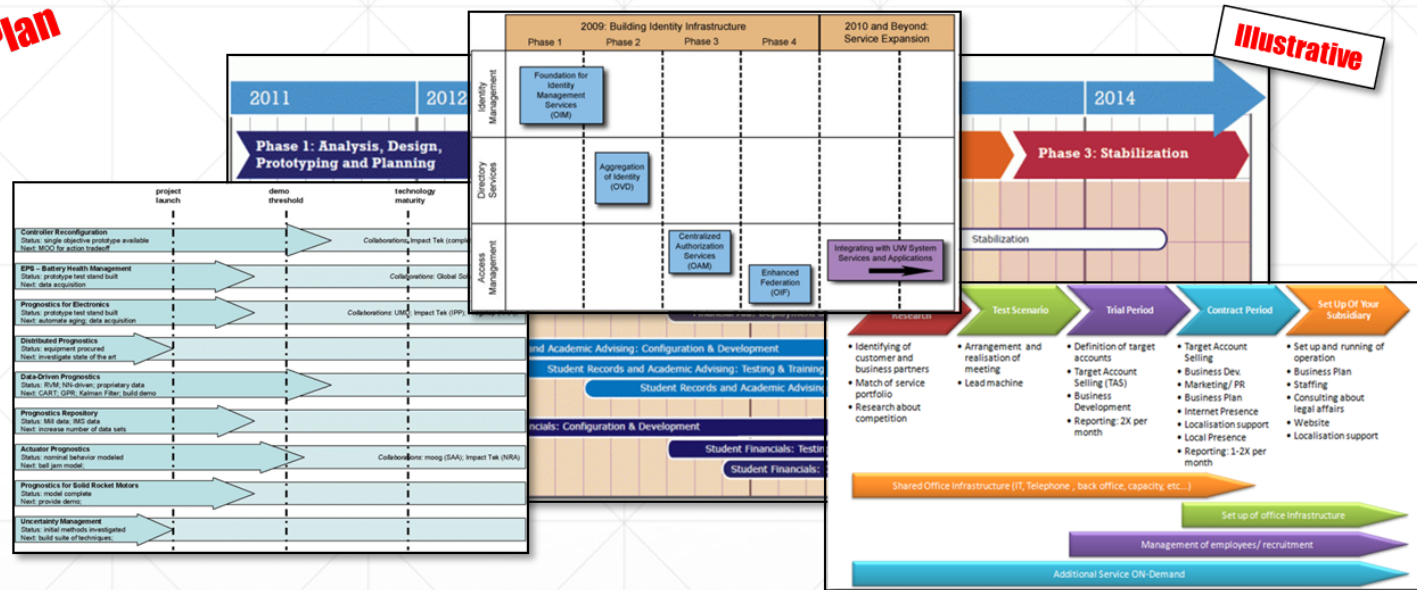
TARGET PROFILE

Function	Category	Subcategory	Target State Profile		Roadmap Action	Implementation Status
			Org Policy	Org Practices		



The final step is to implement and monitor an action plan to close identified gaps

Step 7: Implement Action Plan

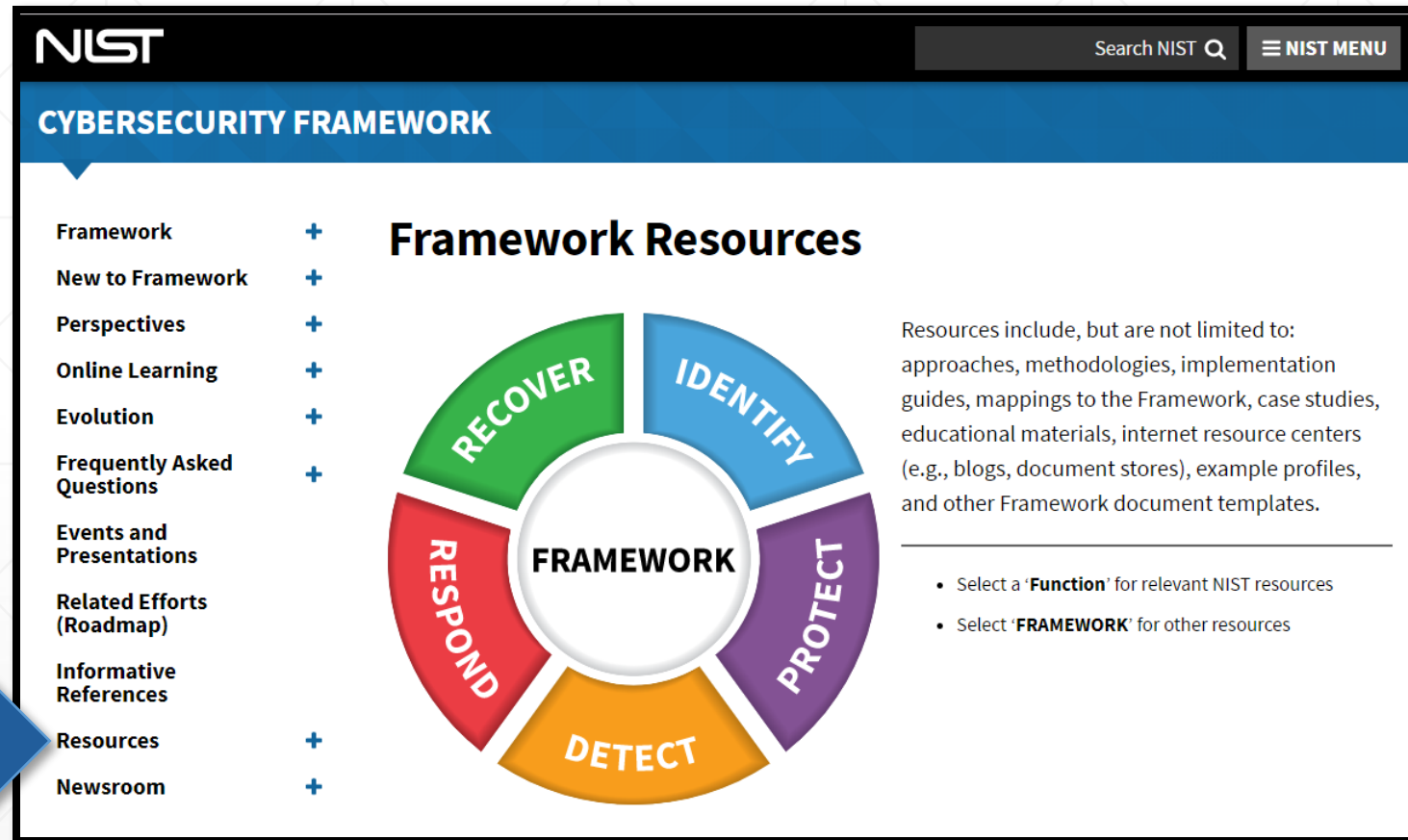


Stakeholders
 Milestones
 Status
 Completion Date
 Priority
 Resources
 Owner
 Action Identifier
 Dependencies
 Rationale
 Specific Action



The NIST Cybersecurity Framework website includes resources to help industry use the Framework

<https://www.nist.gov/cyberframework>




NIST Search NIST Q NIST MENU

CYBERSECURITY FRAMEWORK

Framework +
New to Framework +
Perspectives +
Online Learning +
Evolution +
Frequently Asked Questions +
Events and Presentations
Related Efforts (Roadmap)
Informative References
Resources +
Newsroom +

Framework Resources



Resources include, but are not limited to: approaches, methodologies, implementation guides, mappings to the Framework, case studies, educational materials, internet resource centers (e.g., blogs, document stores), example profiles, and other Framework document templates.

- Select a **Function** for relevant NIST resources
- Select **FRAMEWORK** for other resources



A few Examples of Framework Industry Resources



Italy's National Framework for Cybersecurity



American Water Works Association's Process Control System Security Guidance for the Water Sector



The Cybersecurity Framework in Action: An Intel Use Case

Cybersecurity Risk Management and Best Practices Working Group 4: Final Report



Financial Services Sector Specific Cybersecurity "Profile"



U.S. State & Local governments are also using the Framework



Texas, Department of Information Resources

- Aligned Agency Security Plans with Framework
- Aligned Product and Service Vendor Requirements with Framework

North Dakota, Information Technology Department

- Allocated Roles & Responsibilities using Framework
- Adopted the Framework into their Security Operation Strategy



GREATER HOUSTON
PARTNERSHIP

Making Houston Greater.

Houston, Greater Houston Partnership

- Integrated Framework into their Cybersecurity Guide
- Offer On-Line Framework Self-Assessment

National Association of State CIOs

- 2 out of 3 CIOs from the 2015 NASCIO Awards cited Framework as a part of their award-winning strategy



Representing Chief Information
Officers of the states



NIST recently published additional guidance for using the Framework

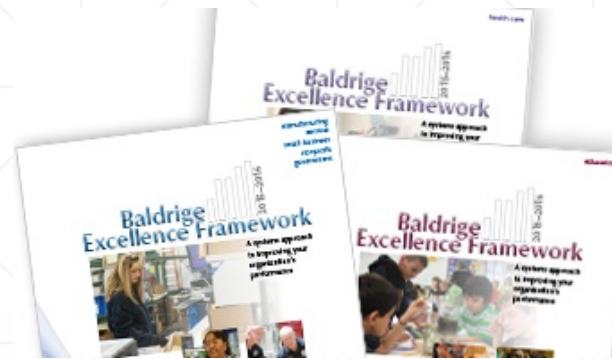


Manufacturing Profile

[NIST Discrete Manufacturing Cybersecurity Framework Profile](#)

Self-Assessment Criteria

[Baldrige Cybersecurity Excellence Builder](#)



Maritime Profile

[U.S. Coast Guard Bulk Liquid Transport Profile](#)



The Roadmap is a companion document to the Cybersecurity Framework

- The Roadmap:
 - identifies key areas of development, alignment, and collaboration
 - provides a description of activities related to the Framework
- Roadmap items are generally:
 - Topics that are meaningful to critical infrastructure cybersecurity risk management
 - Focus areas of both private sector and the federal government
 - Related to Framework, but managed as ¹¹ separate efforts

NIST Roadmap for Improving Critical Infrastructure Cybersecurity February 12, 2014

1. Introduction

This companion Roadmap to the *Framework for Improving Critical Infrastructure Cybersecurity* ("the Framework") discusses NIST's next steps with the Framework and identifies key areas of development, alignment, and collaboration. These plans are based on input and feedback received from stakeholders through the Framework development process particularly on the "Areas for Improvement" section of the Preliminary Framework, which has been moved to this document.

2. Evolution of the Cybersecurity Framework

Since Executive Order 13636 was issued, NIST has played a convening role in developing the Framework, drawing heavily on standards, guidelines, and best practices already available to address key cybersecurity needs. NIST also relied on organizations and individuals with experience in reducing cybersecurity risk and managing critical infrastructure.

Moving forward, NIST is committed to help organizations understand and use the Framework. Organizations that are part of the critical infrastructure can use the Framework to better manage and reduce its cybersecurity risks.

Not all critical infrastructure organizations have a mature program and the technical expertise in place to identify, assess, and reduce cybersecurity risk. Many have not had the resources to keep up with the latest cybersecurity advances and challenges as they balance risks to their organizations. NIST intends to conduct a variety of activities to help organizations to use the Framework. For example, industry groups, associations, and non-profits can be key vehicles for strengthening awareness of the Framework. NIST will encourage these organizations to become even more actively engaged in cybersecurity issues, and to promote - and assist in the use of - the Framework as a basic, flexible, and adaptable tool for managing and reducing cybersecurity risks. NIST will build on existing relationships and expand its outreach in these areas, in partnership with the Department of Homeland Security's (DHS) Voluntary Program.

The Framework was intended to be a "living document," stating that it "will continue to be updated and improved as industry provides feedback on implementation. As the Framework is put into practice, lessons learned will be integrated into future versions. This will ensure it is meeting the needs of critical infrastructure owners and operators in a dynamic and challenging environment of new threats, risks, and solutions."

NIST will continue to serve in the capacity of "convener and coordinator" at least through version 2.0 of the Framework. This will ensure that the Framework advances steadily and addresses key areas that need further development.



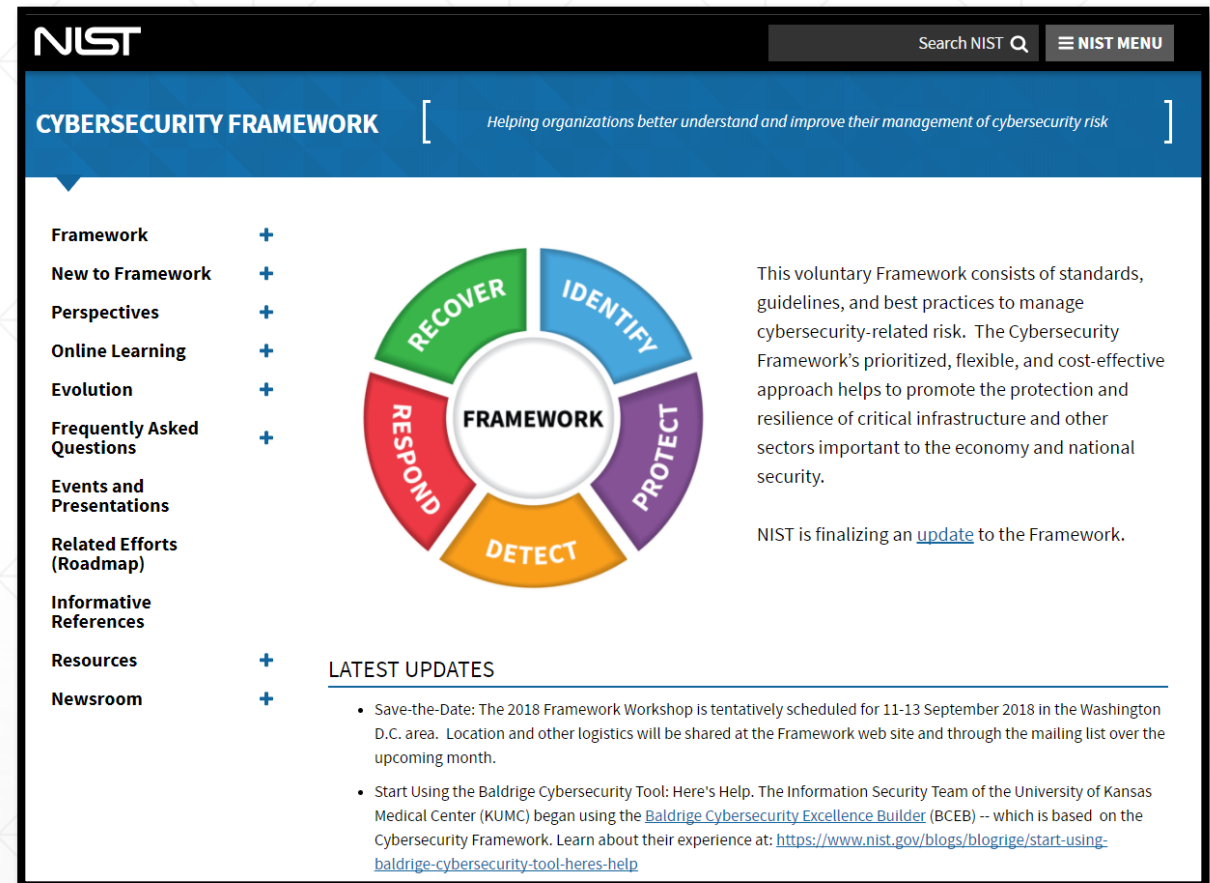
You can help promote and share your experience using the Framework

- Stakeholders should consider activities to:
 - Customize Framework for your sector or community
 - Publish a sector or community Profile or relevant “crosswalk”
 - Advocate for the Framework throughout your sector or community, with related sectors and communities.
 - Publish “summaries of use” or case studies of your Framework implementation.
 - Submit a paper during the NIST call for abstracts
 - Share your Framework resources with NIST at cyberframework@nist.gov.
 - Participate in Framework workshops



More information and resources are available on the Cybersecurity Framework website

- Relevant news and information:
 - <https://www.nist.gov/cyberframework>
- Additional cybersecurity resources:
 - <https://csrc.nist.gov/>
- Questions, comments, ideas:
 - cyberframework@nist.gov




NIST Search NIST Q NIST MENU

CYBERSECURITY FRAMEWORK

Helping organizations better understand and improve their management of cybersecurity risk

- Framework +
- New to Framework +
- Perspectives +
- Online Learning +
- Evolution +
- Frequently Asked Questions +
- Events and Presentations
- Related Efforts (Roadmap)
- Informative References
- Resources +
- Newsroom +



This voluntary Framework consists of standards, guidelines, and best practices to manage cybersecurity-related risk. The Cybersecurity Framework's prioritized, flexible, and cost-effective approach helps to promote the protection and resilience of critical infrastructure and other sectors important to the economy and national security.

NIST is finalizing an [update](#) to the Framework.

LATEST UPDATES

- Save-the-Date: The 2018 Framework Workshop is tentatively scheduled for 11-13 September 2018 in the Washington D.C. area. Location and other logistics will be shared at the Framework web site and through the mailing list over the upcoming month.
- Start Using the Baldrige Cybersecurity Tool: Here's Help. The Information Security Team of the University of Kansas Medical Center (KUMC) began using the [Baldrige Cybersecurity Excellence Builder \(BCEB\)](#) -- which is based on the Cybersecurity Framework. Learn about their experience at: <https://www.nist.gov/blogs/blogrige/start-using-baldrige-cybersecurity-tool-heres-help>

